FLORIDA STATE UNIVERSITY
COLLEGE OF ARTS AND SCIENCES

ESTIMATING THE EFFECTS OF CLIMATE CHANGE
ON TROPICAL CYCLONE ACTIVITY

By

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I dedicate this thesis to my mother, Kandra Garmier.
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<table>
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<th>Abbreviation</th>
<th>Description</th>
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<tr>
<td>AGCM</td>
<td>Atmospheric General Circulation Model</td>
</tr>
<tr>
<td>AMO</td>
<td>Atlantic Multi-Decadal Oscillation</td>
</tr>
<tr>
<td>ASO</td>
<td>August-September-October</td>
</tr>
<tr>
<td>CCSM4</td>
<td>Community Climate System Model Version 4</td>
</tr>
<tr>
<td>CMIP5</td>
<td>Fifth Phase of the Climate Model Intercomparison Project</td>
</tr>
<tr>
<td>COAPS</td>
<td>Center for Ocean-Atmospheric Prediction Studies</td>
</tr>
<tr>
<td>EPac</td>
<td>Northeast Pacific</td>
</tr>
<tr>
<td>FSU</td>
<td>Florida State University</td>
</tr>
<tr>
<td>IITrACS</td>
<td>International Best Track Archive for Climate Stewardship</td>
</tr>
<tr>
<td>ITCZ</td>
<td>Inter-Tropical Convergence Zone</td>
</tr>
<tr>
<td>MDR</td>
<td>Main Development Region</td>
</tr>
<tr>
<td>NASH</td>
<td>North Atlantic Sub-Tropical High</td>
</tr>
<tr>
<td>NAtl</td>
<td>North Atlantic</td>
</tr>
<tr>
<td>NIn</td>
<td>North Indian</td>
</tr>
<tr>
<td>NTC</td>
<td>named tropical cyclone</td>
</tr>
<tr>
<td>PDI</td>
<td>Power Dissipation Index</td>
</tr>
<tr>
<td>RCP</td>
<td>Representative Concentration Pathway</td>
</tr>
<tr>
<td>SAtl</td>
<td>South Atlantic</td>
</tr>
<tr>
<td>SHem</td>
<td>Southern Hemisphere</td>
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<tr>
<td>SST</td>
<td>sea surface temperature</td>
</tr>
<tr>
<td>TC</td>
<td>tropical cyclone</td>
</tr>
<tr>
<td>WPac</td>
<td>Northwest Pacific</td>
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</table>
The effects of two different future warming climate scenarios on global and North Atlantic named tropical cyclone (NTC) activity is examined using the Florida State University/Center for Ocean-Atmospheric Prediction Studies (FSU/COAPS) atmospheric general circulation model (AGCM). The two warming scenarios are based on the Representative Concentration Pathways (RCPs) 2.6 and 8.5 from the Coupled Model Intercomparison Project phase 5 (CMIP5). Previously published studies show that the FSU/COAPS AGCM has statistically significant skill at reproducing the observed interannual variability of NTC and hurricane counts in the North Atlantic basin given observed sea surface temperatures (SSTs). In this study, the FSU/COAPS model is forced with bias-corrected, monthly-varying, annual climatology SSTs derived from the fourth version of the Community Climate System Model’s (CCSM4) RCP2.6 and RCP8.5 simulations. In addition, the FSU/COAPS model’s CO$_2$ concentration is modified to reflect the average CO$_2$ concentration over the 2006–2100 time period. For each warming experiment, a 14 member ensemble (differing by initial atmospheric conditions) is made to develop the NTC statistics. In addition, a 14 member control experiment is performed using observed climatological SSTs from the Hadley Centre. An objective detection/tracking algorithm is used to identify and track the NTCs from the model output. For the North Atlantic, a statistically significant increase (14.9%) in the NTC frequency for the RCP2.6 experiment compared to the control experiment is projected by the model. It is also found that with increasing SSTs and CO$_2$ concentrations, North Atlantic NTC intensity (as determined by the NTC maximum 10-meter wind speed) and daily storm-centered precipitation also increase. NTC genesis is found to move away from regions of increasing vertical wind shear and decreasing mid-level relative humidity for both future warming climate scenarios. Differences in the track densities between the warming experiments with the control experiment show an increase in landfall potential in the Southeast United States.
CHAPTER 1

INTRODUCTION

The overall increase in global temperatures (especially noticeable in sea surface temperatures, or SSTs) over the late 20th century is a well known fact. Also, raw observational data shows an increase in tropical cyclone (TC) activity during this period for the North Atlantic basin, and it has been speculated that global warming might be the cause for these two trends (Webster et al. 2005). However, the transition from a speculation to a conclusion is complicated by factors such as internal variability, atmospheric/oceanic dynamics and thermodynamics, teleconnections, and data integrity. Currently, the exact effect that a warming climate has on TC activity is still unclear. Fortunately, there are atmospheric models that are able to approximately reproduce the historical TC climatology in certain basins (from the satellite era forward) using the observed SSTs from that period. This provides hope that given predicted SSTs for the future climate, those models that performed well during historical climate estimations can provide reasonable future climate estimations. The model used in this study has known skill in reproducing historical TC climatology in the North Atlantic basin using observed SSTs. Thus, by using SSTs from multiple climate change scenarios, the model is used to estimate potential changes in TC activity as a result of a warming climate.

1.1 The Predictability of Tropical Cyclone Climatology

1.1.1 Theory

According to the theory of chaos (Lorenz 1963), the smallest possible difference in initial conditions from the actual state of the atmosphere prevents computer models from accurately predicting conditions beyond about one week, and that is assuming all of the physics and dynamics used in the model are exactly correct and complete. Since no model is perfect, how could anyone claim to be able to model the future climate? Large-scale meteorological patterns/circulations vary much more slowly than local-scale daily conditions and can be more easily predicted relatively far into the
future (Shukla 1998). When predictability of these large-scale patterns is dependent on boundary conditions, such as SSTs, it is called predictability of the second kind.

Large-scale time-mean atmospheric patterns in the tropics, such as rainfall anomalies, are strongly influenced by slowly changing boundary conditions, such as SSTs (Charney and Shukla 1981). Therefore, if long-term SST patterns could be accurately predicted, then so could the time-averaged tropical atmospheric patterns. The reasons that this theory holds in the tropics are related to the shape of the Clausius-Clapeyron curve (which determines the saturation vapor pressure for a given temperature) and the type of instabilities that are present. The Clausius-Clapeyron curve has a very steep slope near the range of temperatures which are common for relatively warm SSTs found in the tropics. Thus, a small change in SSTs leads to a large change in the moisture holding capacity of the overlying atmosphere and controls large-scale patterns such as rainfall. Additionally, baroclinic instability is relatively weak in the tropics with weaker horizontal temperature gradients and less vertical wind shear. Therefore, flow instability does little to affect time-averaged atmospheric patterns, leaving boundary conditions to have the most influence on parameters such as rainfall anomalies (Shukla 1998). This leads to the question of what other tropical phenomena can be predicted using boundary conditions. The potential for a model to accurately capture interannual variability in TC activity given observed SSTs has already been shown by various published studies (Emanuel et al. 2008; Knutson et al. 2008; LaRow et al. 2008; Zhao et al. 2009).

1.1.2 Modeling Tropical Cyclone Climatology

The Florida State University/Center for Ocean-Atmospheric Prediction Studies (FSU/COAPS) general circulation model (Cocke and LaRow 2000) used by LaRow et al. (2008, 2010) and LaRow (2013) is used in this study and briefly discussed in Chapter 2. In LaRow et al. (2008), observed Reynolds OIv2 SSTs were used to force the model and were updated on a weekly basis. Using these observed SSTs, the FSU/COAPS model had a 0.78 ensemble mean rank correlation for North Atlantic basin interannual tropical storm variability for the years 1986–2005. Also, in LaRow (2013), the model was able to reproduce the interannual variability of hurricane counts for the years 1982–2009 with a mean rank correlation of 0.74. Although this model has shown skill in reproducing the interannual variability of tropical storms in the North Atlantic, it does not simulate moderate to intense hurricanes (maximum wind speeds of 45 ms\(^{-1}\) or greater) with the observed frequency. This is the case for all global models, and the problem results from relatively large model resolutions.
compared to the scale of internal storm dynamics that enable higher intensities. Some studies have been performed where model downscaling has been used for regional areas and have been able to better produce more intense hurricanes (Emanuel et al. 2008; Knutson et al. 2008; Bender et al. 2010; Emanuel 2013). However, the focus of this study is on TC frequency and relative intensity changes between historical conditions and future climate scenarios.

1.2 Environmental Influences on Tropical Cyclone Activity

In order to understand how TC activity will change in future climates, one must know the factors that lead to or inhibit genesis and that sustain or weaken a storm. The most basic parameters that enable genesis listed by Gray (1975) are:

1. Adequate low-level relative vorticity
2. Adequate planetary vorticity/coriolis parameter
3. Weak vertical wind shear
4. A deep thermocline with high thermal energy and SSTs being 26°C or greater
5. Conditional instability up to at least 500 mb
6. High relative humidity through the middle troposphere

The power dissipation index (PDI), which is the integral over the lifetime of the storm of the maximum surface wind speed raised to the third power, was examined as a proxy for TC activity by Emanuel (2007). He suggested that future changes in PDI will be dependent on surface radiative flux, tropopause temperature (impacts storms outflow temperatures), surface wind speed (impacts heat flux off of the ocean), low-level vorticity, and vertical wind shear. Furthermore, differential warming in the North Atlantic basin (as compared to tropical mean warming) is suggested to have a possible relationship with trends in TC activity and PDI (Swanson 2008; Knutson et al. 2010; Villarini and Vecchi 2012; Zhao and Held 2012; Villarini and Vecchi 2013). This information means that an expanding 26°C isotherm does not necessarily mean an expanding area of TC genesis. Climatological variables such as the ‘tropics-relative SST’ will need to be investigated in the model to help understand why certain changes in TC activity are or are not occurring.
1.3 Motivation

Observations suggest that there has been an increase in TC activity (in terms of global intensity and North Atlantic frequency) over the 20th century (Webster et al. 2005; Vecchi and Knutson 2008), and the roles of climate change (increases in CO$_2$ and other greenhouse gas concentrations and changes in aerosol concentrations), internal variability, and observational issues have been questioned as causes for these apparent trends.

Statistical analyses by Mann and Emanuel (2006) and Holland and Webster (2007) have concluded that observed increases in North Atlantic TC numbers over the past 100 years is at least partially due to anthropogenic forcing toward warmer SSTs. Increases between 0.32°C and 0.67°C over the 20th century for the particularly active North Pacific and North Atlantic basins have been observed and been statistically shown not to be due entirely to internal variability (Santer et al. 2006). Also, SST data is generally considered accurate (although not as widespread during the earlier part of that period). Unfortunately, there is a lack of confidence in historical TC activity data due to multiple observational issues. First, satellite and remote sensing techniques were not available until the 1960s on, and TC observations were only made by passing ships or as storms made landfall. Chang and Guo (2007) estimated that TC frequency was underestimated by up to 2.1 TCs per year during the early 20th century. Landsea et al. (2007) and Vecchi and Knutson (2008) concluded that any positive trend in TC frequency over this period is not statistically significant. Furthermore, even if there were a statistically significant trend, one cannot be sure that it is not due to a possible low-frequency multi-decadal oscillation such as the Atlantic Multi-Decadal Oscillation (AMO) that cannot be resolved by the relatively short data record (Goldenberg et al. 2001). Since no consensus on the observed trend (or cause of the observed trend) in TC frequency can be made for the period when global warming is evident using the available data, the effect a warming climate will have on TC activity remains unclear.

1.4 Results of Similar Research

Many studies have been done to determine the impact that climate change will have on future TC activity for the Globe and for individual basins using various models and climate change scenarios. Earlier experiments such as Bengtsson et al. (1996) and Henderson-Sellers et al. (1998)
predict that future storms might have the potential to reach stronger intensities. While Henderson-Sellers et al. (1998) made no solid conclusion about frequency, Bengtsson et al. (1996) predicted a global reduction in frequency with largest decreases seen in the Southern Hemisphere (possibly due to reduced low-level relative vorticity and increased vertical wind shear in active hurricane regions). Later, Bengtsson et al. (2007) explores TC sensitivity to model resolution. They find that their model at T63 resolution (192 x 96 horizontal grid) predicts a global reduction in frequency of about 20% over the 21st century with no change in intensity. However, their model with T213 resolution (640 x 320 horizontal grid) predicts a reduction of only 10% with an increase in the number of most intense storms. They identify that increased static stability related to large-scale tropical circulation is the cause for the reduction in storm frequency and that the increase in temperature and water vapor content in the atmosphere contributes to the increase in intense storms. They also support the idea that tropics-relative SST is most influential on activity (rather than a simple increase in temperature) because this controls large-scale atmospheric circulations which in turn affect static stability and TC genesis potential. Zhao et al. (2009) also supports the differential warming theory in their study which predicts a 15% decrease in frequency for the Atlantic basin, the Northwest Pacific basin, and the Southern Hemisphere, but a 40% increase in activity in the Northeast Pacific basin. Held and Zhao (2011) examine the partial effects of increased CO$_2$ concentrations and increased SSTs on TC frequency and found that each contributed about equally to total a decrease of about 20%.

Most modeling studies seem to be in agreement that global TC frequency will experience either little change or a decrease over the 21st century (Knutson et al. 2010). However, Emanuel (2013) projects an increase in both frequency and intensity of TCs by using a dynamical downscaling technique and the most recent climate projections. Based on varying results (especially for individual basins), there is still a necessity for further research on this topic.

1.5 Research Goals

The purpose of this study is to examine and compare data representing the current climate and various climate warming scenarios and to determine the effect that a warming climate might have on both global and North Atlantic TC activity. This is done using the FSU/COAPS atmospheric general circulation model (AGCM) (Cocke and LaRow 2000) which has known skill in predicting
interannual TC variability in the current climate (LaRow et al. 2008). The model is forced with SSTs taken from the 5th phase of the Climate Model Intercomparison Project (CMIP5) as well as an observed SST dataset. Three different SST sets from the Community Climate System Model version 4 (CCSM4) representing different climatic scenarios are used and compared with the observed SSTs from the Hadley Centre SST data set. The CCSM4 was chosen since it is one of the better models within CMIP5 at reproducing historical climate interannual SST variability in the equatorial Pacific and annual cycle in the North Atlantic region (Stefanova and LaRow 2011; Liu et al. 2013). The main goals for this research are to:

1. Derive the possible changes in TC activity (frequency, intensity, genesis, etc.) for two different warming scenarios to be discussed in Chapter 2 and compare the results to similar published studies

2. Examine potential physical and thermodynamic reasons for the model to produce those changes

3. Add to the scientific understanding and consensus of climate change and its impacts on tropical cyclone activity
CHAPTER 2

METHOD

This study uses the well-established FSU/COAPS AGCM. This model has previously been shown to have considerable skill in reproducing the interannual variations in named tropical cyclone (NTC) activity for the current climate in the North Atlantic basin given observed SSTs (LaRow et al. 2008, 2010; LaRow 2013). Therefore, if given SSTs for future climate scenarios, the expectation is that the FSU/COAPS model will be able to estimate changes in tropical cyclone activity for that particular scenario (as compared to the current climate) with some degree of skill. To make such future climate estimations, two different future climate scenarios (changes in SSTs and CO\textsubscript{2} concentrations) are used to force this AGCM. Then, the model output is analyzed to determine the effect that different climatic warming scenarios might have on NTC activity and environmental parameters known to affect NTC activity for individual regions and across the Globe.

2.1 Representative Concentration Pathways (RCPs)

The two sets of SSTs representing different future climate scenarios are developed using data from the CCSM4 which is a general circulation model and is part of CMIP5 (Gent et al. 2011). The CMIP5 is an organized collaboration between many different climate modeling centers aimed at examining current climate predictability within models and possible future changes in climate (Taylor et al. 2012). Within the CMIP5, there are four future climate scenarios that are driven by different greenhouse gas concentrations. These scenarios are referred to as Representative Concentration Pathways (RCPs), and represent time-varying concentrations of various greenhouse gases between the years 2006–2300 (Meinshausen et al. 2011). RCP2.6 and RCP8.5 are the upper and lower extremes of the four scenarios and their CO\textsubscript{2} concentrations for the years 2006–2100 were used in this study. The suffixes (2.6 and 8.5) refer to the increase in radiative forcing in units of Wm\textsuperscript{-2} by the year 2100 compared to the pre-industrial period. Greenhouse gas concentrations for RCP2.6 correspond to an average surface temperature increase of about 1.5°C by the year 2100 (compared to the pre-industrial period), and RCP8.5 corresponds to an increase of about 4.5°C
Figure 2.1: Historical CO₂ concentrations (black) and timelines of CO₂ concentrations for RCP2.6 (red), RCP4.5 (blue), RCP6.0 (green), and RCP8.5 (yellow).

(Meinshausen et al. 2011). Historical CO₂ concentrations and the timelines of CO₂ concentrations for each of the four RCPs are shown in Figure 2.1. The CO₂ concentrations used to represent future climates in the FSU/COAPS model are the averages for RCP2.6 and RCP8.5 over the period of 2006-2100 (422 ppm for RCP2.6 and 588 ppm for RCP8.5). These values were obtained from the International Institute for Applied Systems Analysis.

2.2 SST Discussion

The FSU/COAPS model is forced using the climatological monthly averaged annual cycle SSTs from four different datasets as lower boundary conditions in order to represent multiple climate scenarios. The four model experiments are labeled based on the SSTs used and are as follows:

1. **HadSST** (control experiment): Representative of historical climate and uses observed SSTs from the Hadley Centre SST dataset (1870–2005) (Rayner et al. 2003) and a CO₂ concentration of 369 ppm
2. **CCSM4clim**: Uses CCSM4 estimation of historical climate SST conditions (1870–2005) produced from CMIP5 experiment number 3.2 and a CO₂ concentration of 369 ppm

3. **RCP2.6**: Uses bias-corrected CCSM4 SSTs for the RCP2.6 future climate scenario (CMIP5 experiment number 4.3) and a CO₂ concentration of 422 ppm

4. **RCP8.5**: Uses bias-corrected CCSM4 SSTs for the RCP8.5 future climate scenario (CMIP5 experiment number 4.2) and a CO₂ concentration of 588 ppm

The SST set used in the HadSST experiment is the climatological annual cycle of monthly SSTs from the Hadley Centre SST dataset (1870-2005). This experiment is considered the control experiment since it is the 'baseline' used to compare the future climate scenario experiments to the historical climate. As part of CMIP5 experiment number 3.2, the CCSM4 model was run using historical atmospheric compositions (due to anthropogenic and volcanic activity), solar forcing, and land use to get its SST estimate for the years 1850–2005 (Taylor et al. 2009; Gent et al. 2011). Only the years 1870–2005 are used for this study to parallel the time period that the Hadley SSTs are available for. The CCSM4 historical climate SST dataset is used for two reasons. The first reason is to see how the NTC climatology is represented by the FSU/COAPS model using the CCSM4’s estimation of non-bias corrected historical SSTs. The climatology of the CCSM4’s version of current climate SSTs is taken in the same way as previously stated and used to force the CCSM4clim experiment in the FSU/COAPS model. The second use for the CCSM4’s historical SST climatology is to determine its bias so that the CCSM4’s estimation of SSTs in the future climate scenarios can be bias-corrected before averaging them and using them to force the FSU/COAPS model. In order to determine the model’s ability to reproduce the historical climate using observed CO₂ concentrations, and therefore the model bias, the SSTs from the CCSM4’s historical climate experiment were compared to the observed Hadley SST dataset. The difference between the two provides the model bias and is later used to correct the CCSM4’s SSTs for future climate scenarios. Equations 2.1 and 2.2 represent the development and use of the CCSM4’s SST bias. The annual climatology by month is taken for the model bias.

\[
F^* = F - (\overline{H} - \overline{O}) \tag{2.1}
\]

\[
F^* = \overline{O} + (\overline{F} - \overline{H}) = \overline{O} + \Delta RCP \tag{2.2}
\]

where,
F: CCSM4 future projected SSTs (based on RCPs)

H: CCSM4 estimation of historical SSTs

O: Observed historical SSTs (Hadley Centre)

\(\bar{x}\): Monthly climatology is taken

*: Bias-corrected

Figure 2.2 shows the total time-averaged CCSM4 bias, and Figure 2.3 shows the August-September-October (ASO) averaged CCSM4 bias which is most important for the North Atlantic basin. It is important to note that when using any SST climatology to force the FSU/COAPS model, all interannual variability of SSTs (El Niño and La Niña events for example) is removed. Also, the effect of sea ice temperatures on TC activity in the model is not fully understood in this study but is estimated to be small (Zhao et al. 2009).

In the equatorial Pacific, there is generally a cool bias of up to 0.5°C when annually averaged. However, when comparing the annual bias in this area to the ASO season bias, the magnitude of the cool bias increases by around 0.5°C. This demonstrates how important it is to remove the bias month by month in order to accurately represent SSTs during the peak tropical cyclone season for each basin. There is also a noticeable cold bias in the Northwest Pacific which increases in magnitude by about 1°C during the ASO season. Also in the Pacific, there are warm biases apparent for both the yearly averaged and ASO averaged plots near the coasts of North and South America. There is generally a cold bias in the Gulf of Mexico and the Main Development Region (MDR) of the North Atlantic basin (10°N to 20°N and 20°W to 80°W). Again the magnitudes of the cold biases seem to amplify during the ASO season compared to the annual average. Gent et al. (2011) indicate that the cold bias in this region is due to the CCSM4 still having an issue with the location of the Gulf Stream which is not thoroughly examined in this study. In the equatorial Indian Ocean, there is a warm bias off of the west coast of Australia. Both of these biases are stronger in the ASO season than in the annual average. Although these biases are removed from the SSTs used to force the FSU/COAPS model, they are non-trivial in some regions (especially near the Gulf Stream in the North Atlantic) and should be kept in mind.

The two remaining SST datasets (used in the RCP2.6 and RCP8.5 experiments) are also derived from CMIP5 experiments using the CCSM4 model. The CCSM4 estimations of the SSTs for these
two future climate scenarios are bias-corrected and are averaged to create a climatological annual cycle (as shown in Equations 2.1 and 2.2) before being used to force the RCP2.6 and RCP8.5 experiments in the FSU/COAPS model.

Figure 2.4 shows the global SST change that the CCSM4 model estimates to occur between the historical climate (HadSST) and the weaker of the two warming climate scenarios (RCP2.6). For a majority of the tropics and sub-tropics, warming of up to 1.0°C occurs. A few important areas such as the El Niño region in the Pacific, the Gulf of Mexico, and the North American East Coast show warming of up to 1.5°C. An interesting area of cooling is seen in the North Atlantic, south of Greenland. It might be possible that this cooling of up to 1.0°C is a result of a potential change in ocean circulation and the warm Gulf Stream. Although there is an overall warming trend for the Globe, if ocean circulation changes occur as a result of climate change, the Gulf Stream may weaken resulting in reduced warm water transport into the North Atlantic causing cooling of SSTs in that region. Investigating this idea could be an area of continued/further research.

Figure 2.5 is the same as Figure 2.4 but for the RCP8.5 SSTs. For this more extreme warming scenario, the patterns of greatest warming are similar to RCP2.6 but with magnitudes greater than 2°C. Again, relatively small cooling is seen in the far North Atlantic just south of Greenland. As
a reminder, these comparisons are made after the bias correction was applied to the SSTs for the two future climate scenarios. Table 2.1 summarizes the area-averaged SST changes truncated at 30°N and 30°S between the HadSST SSTs and the two RCPs for each of the following domains which are also shown in Figure 2.7:

- **Atlantic**
  - North Atlantic (10°W to 100°W, 0° to 60°N)
  - South Atlantic (10°W to 100°W, 0° to 60°S)

- **North Pacific**
  - Northeast Pacific (100°W to 160°W, 0° to 60°N)
  - Northwest Pacific (100°E to 160°W, 0° to 60°N)

- **North Indian** (40°E to 100°E, 0° to 30°N)

- **Southern Hemisphere** (30°E to 120°W, 0° to 50°S)

Figure 2.6 shows the MDR area-averaged SST comparison between all four experiments. Note that the RCP2.6 and RCP8.5 SSTs are bias-corrected. The SSTs in this region are very important

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Figure 2.3: CCSM4 ASO averaged SST bias (°C).
Figure 2.4: Annually averaged SST difference between the RCP2.6 and HadSST experiments (°C).

Figure 2.5: Annually averaged SST difference between the RCP8.5 and HadSST experiments (°C).
Table 2.1: Area-averaged annual SST differences (°C) between HadSST SSTs and each RCP experiment’s SSTs for each domain defined in Figure 2.7 (0°–30°N and 0°–30°S only). North Atlantic and South Atlantic (NAtl and SAtl): 100°W to 10°W, Northeast Pacific (EPac): 160°W to 100°W, Northwest Pacific (WPac): 100°E to 160°W, North Indian (NIn): 40°E to 100°E, Southern Hemisphere (SHem): 30°E to 120°W.

<table>
<thead>
<tr>
<th>Basin</th>
<th>RCP2.6-HadSST(°C)</th>
<th>RCP8.5-HadSST(°C)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAtl</td>
<td>0.59</td>
<td>1.35</td>
</tr>
<tr>
<td>SAtl</td>
<td>0.42</td>
<td>1.18</td>
</tr>
<tr>
<td>EPac</td>
<td>0.55</td>
<td>1.37</td>
</tr>
<tr>
<td>WPac</td>
<td>0.61</td>
<td>1.41</td>
</tr>
<tr>
<td>NIn</td>
<td>0.52</td>
<td>1.35</td>
</tr>
<tr>
<td>SHem</td>
<td>0.57</td>
<td>1.38</td>
</tr>
</tbody>
</table>

Figure 2.6: Area-averaged SST seasonal cycle for the North Atlantic MDR (10°N–20°N, 20°W–80°W for each experiment (°C).
for TC genesis in the North Atlantic basin. As proposed by Gray (1975), there are six significant
dynamic and thermodynamic factors that contribute to TC genesis. A deep layer of warm water
with SSTs being greater than or equal to 26°C is claimed to enhance TC genesis. Years with warm
SST anomalies could lead to higher instability and greater chances for TC genesis to occur.

The defined location of the MDR does vary between studies, but the one chosen here (10°N
to 20°N and 20°W to 80°W) is based on the area with the highest density of NTC genesis in the
model. NTCs are defined as any tropical cyclone of tropical storm strength or greater. The annual
cycle of CCSM4clim SSTs has a relatively consistent cool bias from month to month (compared to
the HadSST SSTs). The model’s average bias (by month) for this region is 1.0°C with a maximum
of about 1.3°C in December and a minimum of about 0.8°C in August. The cool bias in this region
can be seen spatially in Figures 2.2 and 2.3. The MDR SSTs used in the HadSST experiment reach
or exceed Gray’s 26°C threshold between the months of May and December. This corresponds well
with the North Atlantic hurricane season which runs from June through November. The threshold
is reached or exceeded from July through November for the CCSM4clim experiment, which may
partially explain why unrealistically few storms were produced by the FSU/COAPS model during
that experiment. The period of time that the MDR reaches or exceeds this threshold lengthens for
the warmer RCP2.6 SSTs to April through January. Finally, for the RCP8.5 SSTs, the threshold
is met year-round within the MDR. On average, RCP2.6 SSTs are 0.6°C warmer in the MDR, and
RCP8.5 SSTs are 1.3°C warmer in the MDR compared to HadSST SSTs.

Of course this does not mean that TCs will form year-round in warmer climates since there are
many other factors affecting genesis and TC sustainability. Additionally, along with SSTs, other
oceanic and atmospheric parameters will change, so the well-established 26°C threshold may need
to be revisited. For example, as stated in Chapter 1, some research suggests that tropics-relative
SSTs for individual basins (or the MDR) are more important than the magnitude of the SSTs
themselves. This is because vertical temperature and moisture profiles are affected by local SSTs
near the surface and tropics-average SSTs at upper-levels. Therefore, higher tropics-relative SSTs
for the MDR would act to destabilize the atmosphere, allowing for greater chances of genesis and
greater storm intensities (Tang 2004; Vecchi and Soden 2007; Swanson 2008; Sun et al. 2013).
2.3 Experiment Design

The FSU/COAPS model has a resolution of T126L27 (about 0.9° x 0.9° with 27 vertical levels). This model has already demonstrated skill in producing realistic tropical storm durations and reproducing the interannual variability of hurricane frequency having a correlation of 0.74 using weekly observed SSTs for the years 1982–2009 (LaRow 2013).

Four experiments (HadSST, CCSM4clim, RCP2.6 and RCP8.5) are performed in order to examine how changes in SSTs and CO$_2$ concentrations affect NTC activity. Fourteen integrations (using different January 1st initial conditions) were performed for each of the four experiments. The HadSST and CCSM4clim experiments were forced with their respective monthly SST sets (as described in the previous section). In these two experiments, the CO$_2$ concentration is set at 369 ppm. The RCP2.6 and RCP8.5 experiments were forced with their respective bias-corrected monthly SST sets and their average CO$_2$ concentrations from the years 2006-2100 (as described above).

The same NTC objective detection/tracking algorithm used in LaRow et al. (2008, 2010) and LaRow (2013) is used for this study with minor modifications. The criteria to count and track a storm are as follows:

- First, the algorithm must detect a local vorticity maximum of greater than $1 \times 10^{-4} \text{ s}^{-1}$ at 850 hPa.
- Then, it locates the minimum sea level pressure within a 2° radius of the local vorticity maximum.
- Next, the local maximum temperature anomaly between 500 and 200 hPa found within a 2° radius from the defined storm center must be at least 3°C warmer than the surrounding mean.
- Also, a minimum wind speed of 15 ms$^{-1}$ must be detected within a 4° radius of the storm center. Initially, the minimum wind speed was set at 17 ms$^{-1}$ but was lowered based on relatively coarse model resolution and limited ability to resolve the strongest storms (Walsh et al. 2007).
- Finally, the conditions above must be met to produce a storm trajectory that lasts for at least 2 days.
The location of the minimum sea level pressure is used to define the center of the storm, and the storms are tracked using six hourly model output. The detection/tracking algorithm keeps track of the NTC counts, NTC minimum central pressure, storm center’s latitude and longitude, NTC maximum low-level wind speed, and duration. Global counts extracted by this algorithm from the FSU/COAPS model are very realistic. However, the model cannot produce the most intense hurricane strength winds (category 3-5 on the Saffir-Simpson wind damage scale). This is a common issue for all AGCMs possibly due to coarse resolution and issues with model physics, so they are not able to simulate the strongest winds of a hurricane, which occur on scales much smaller than global climate models can currently resolve with current computing capabilities (Knutson et al. 2007, 2010).

2.4 Plan for Analysis

The information collected from the detection/tracking algorithm is analyzed for the globe as well as the individual basins/domains (focusing on the North Atlantic). Figure 2.7 represents the exact latitude and longitude locations of each of the domains defined in Table 2.1. The spatial distribution of each experiment’s tracks and NTC counts will be compared against the observed
International Best Track Archive for Climate Stewardship (IBTrACS) data (Knapp et al. 2010). Differences in counts, wind speeds, precipitation, and locations of genesis and tracks between the experiments are examined for the Globe and for individual domains, focusing on the North Atlantic. Then, once those statistics have been completed, analysis on other parameters that are derived from the model output will be performed in order to offer explanations as to why any changes occur. Parameters such as North Atlantic sea level pressure patterns, mid-level relative humidity, vertical wind shear, static stability, and tropics-relative SSTs are examined.
CHAPTER 3

RESULTS AND DISCUSSION

Information gathered from the detection/tracking algorithm is used to develop NTC statistics for each model experiment to be compared to each other and to the IBTrACS. Differences in NTC counts, durations, wind speeds, precipitation, and genesis and track densities between experiments are examined for statistical significance in each domain. Then, climatological changes in other parameters such as temperature and equivalent potential temperature profiles, relative humidity, vertical wind shear, sea level pressure patterns, and tropics-relative SST are examined in the North Atlantic domain in an effort to explain some of the changes in NTC statistics.

3.1 NTC Statistics by Domain

A warming climate may not have the same effect on NTCs everywhere on the Globe (Knutson et al. 2010). As shown in Chapter 2, SST changes are not uniform across all basins, so neither should other factors affecting NTC statistics such as vertical wind shear and mid-level relative humidity. Parameters like these will be examined and discussed later in Chapter 3. Here, NTC statistics of count, duration, wind speed, and precipitation for each model experiment (and the IBTrACS) will be compared and contrasted by basin with focus on the North Atlantic.

3.1.1 NTC Counts

Figure 3.1 shows the histogram of the ensemble average NTC count per year for each experiment and for the IBTrACS by basin with the 95% confidence intervals represented by error bars using standard error. This assumes that the full range of variability is captured by the 14 ensemble members. Ideally, there could be at least double the number of ensemble members for each experiment to increase sample size, but ensemble size (and sample size) is limited for this study based on time and computing resources. For each domain plotted along the x-axis, the model experiments are plotted left to right by increasing SSTs. It is important to note that the counting algorithm
applied to the IBTrACS only counts named storms, so NTC counts in the North Indian domain are drastically reduced since many storms there are not named in the earlier part of the period.

The majority of annual TCs from the IBTrACS occur in the Northwest Pacific and in the Southern Hemisphere. These two basins combine to be 64.1% of the global total. For the historical climate experiment (HadSST), the Northwest Pacific and Southern Hemisphere regions also produce the highest ensemble average NTC counts per year. Combined, they account for 67.4% of the global total which is in good agreement with the observed average global total. Interestingly, the HadSST ensemble average NTC counts per year are not always the closest to the average IBTrACS TC counts. For example, in the Northeast Pacific basin the HadSST experiment underestimates the observed counts by just over 11 storms on average. In the Northwest Pacific the HadSST ensemble average NTC counts are less than the IBTrACS counts by about 7 storms on average. In the Southern Hemisphere and the North Atlantic basin, the HadSST counts are much closer.
to the IBTrACS counts, differing by 2 storms and less than 1 storm, respectively. The fact that climatological SSTs are used in the HadSST experiment rather than interannually varying SSTs is the most likely reason that the HadSST average annual counts do not closely match the IBTrACS. It is also important to keep in mind that the IBTrACS statistics are taken from years 1979–2009 while the climatological SSTs used in the HadSST experiment span a longer time period (1870–2005). Therefore, it seems that the most important conclusions that can be made regarding NTC counts are the changes that might happen for each RCP experiment compared to the HadSST experiment and not the absolute values for each experiment.

For the RCP2.6 experiment, the Southern Hemisphere domain makes up the highest percentage of NTCs for the Globe with 37.2% followed by the Northwest Pacific with 32.8%. For the RCP8.5 experiment, the Northwest Pacific has the most NTCs of all the domains with 36.0% followed by the Southern Hemisphere with 33.8%. The HadSST and RCP8.5 experiments for the North Atlantic domain are also similar (not statistically different) averaging 14.4 and 14.1 storms with the RCP2.6 experiment averaging 16.6 storms per year (statistically different from the RCP experiments). In the Northwest Pacific, both RCPs have higher mean NTC counts than HadSST counts. In the Southern Hemisphere, the mean RCP2.6 NTC count is slightly more than the average HadSST count, but the RCP8.5 count is much less. In the North Indian basin, the model produces few storms, and differences between experiments are not significant.

For the Globe, the mean NTC count per year is 76.3 for the HadSST experiment, 82.1 for the RCP2.6 experiment, and 74.0 for the RCP8.5 experiment. Neither the difference between the RCP2.6 and HadSST experiments or the difference between the RCP8.5 and HadSST experiments is statistically significant at the 95% confidence interval. However, the difference between the global HadSST and RCP2.6 experiments is statistically significant at the 93% confidence interval with a p-value of 0.07. Overall, the FSU/COAPS model produces very realistic global NTC counts compared to the IBTrACS which is 88.1 on average.

Table 3.1 summarizes the RCP percent changes with respect to the HadSST experiment in NTC annual average counts for each basin and for the Globe. Percentages where the differences in means are statistically significant at the 95% level (according to the non-parametric Wilcoxon-Mann-Whitney test) are in bold. Globally, there is a 7.6% increase in NTC frequency between the HadSST and RCP2.6 experiments and a 3.0% decrease between the HadSST and RCP8.5
experiments, but the changes are not statistically significant from either. Most similar modeling
studies on the effect a warming climate might have on NTC frequency estimate a global decrease
in frequency ranging between 6–34% with mixed results for individual basins (Sugi et al. 2002;
Bengtsson et al. 2007; Zhao et al. 2009; Knutson et al. 2010; Held and Zhao 2011). However,
Emanuel (2013) finds a global increase in NTC frequency of 10–40% over the 21st century using a
downscaling technique.

Table 3.1: Percent changes of average annual NTC counts between the HadSST experiment
and each RCP experiment. Bold values are statistically significant at the 95% level.

<table>
<thead>
<tr>
<th>RCP</th>
<th>EPac</th>
<th>NAtl</th>
<th>NIn</th>
<th>SHem</th>
<th>WPac</th>
<th>Globe</th>
</tr>
</thead>
<tbody>
<tr>
<td>RCP2.6</td>
<td>-19.8</td>
<td>14.9</td>
<td>-24.0</td>
<td>4.1</td>
<td>21.6</td>
<td>7.6</td>
</tr>
<tr>
<td>RCP8.5</td>
<td>-25.5</td>
<td>-2.5</td>
<td>-17.9</td>
<td>-14.6</td>
<td>20.3</td>
<td>-3.0</td>
</tr>
</tbody>
</table>

In the North Atlantic domain, NTC counts are estimated to increase by a statistically significant
14.9% between the HadSST and RCP2.6 experiments, and there is a non-statistically significant
decrease in frequency of 2.5% between the HadSST and RCP8.5 experiments. Other models produce
mixed results for the North Atlantic domain (Knutson et al. 2010). Bengtsson et al. (2007) and
Villarini and Vecchi (2012, 2013) estimate little change (if any) in NTC frequency by the end of the
21st century due to a warming climate. Sugi et al. (2002) and Emanuel (2013) estimate increases
in North Atlantic NTC frequency while Zhao et al. (2009) and Bender et al. (2010) estimate
decreases in frequency. Using the FSU/COAPS AGCM, statistically significant differences are also
found for RCP8.5 in the Southern Hemisphere with a decrease of 14.6% and for both RCPs in the
Northwest Pacific with increases of 21.6% for RCP2.6 and 20.3% for RCP8.5.

Figure 3.2 shows each domain’s annual average NTC count normalized by the respective ex-
periment’s (or IBTrACS) average annual global count. This represents each domain’s percentage
of the global total NTCs for each experiment and for the IBTrACS. The IBTrACS has the largest
percentage of TCs in the Northwest Pacific domain with 33.2% followed closely by 30.1% in the
Southern Hemisphere. The HadSST experiment has the most NTCs in the Southern Hemisphere
with 38.4% and 29.0% in the Northwest Pacific. North Atlantic NTCs make up 12.9% of the
average annual global total for the IBTrACS and 18.9% for the HadSST experiment. Also the
North Atlantic percentage of global NTCs changes very little between model experiments (18.9% for HadSST, 20.2% for RCP2.6, and 19.0% for RCP8.5). In the Southern Hemisphere, the percentage of average annual NTCs decreases with increasing SST experiments (38.4% for HadSST, 37.2% for RCP2.6, and 33.8% for RCP8.5), but in the Northwest Pacific the percentage increases with increasing SST experiments (29.0% for HadSST, 32.8% for RCP2.6, and 36.0% for RCP8.5).

### 3.1.2 NTC Duration

Figure 3.3 shows mean NTC durations by basin with 95% confidence interval error bars. The IBTrACS shows longest track duration occurs in the Northwest Pacific domain (10.0 days) followed by the Southern Hemisphere (9.4 days) and then by the North Atlantic (7.8 days). In contrast, the HadSST experiment shows the North Atlantic has the longest average track duration (9.0 days) followed next by the Northwest Pacific (7.4 days) and Southern Hemisphere (7.1 days) domains.
the Northwest Pacific and Southern Hemisphere domains the HadSST experiment underestimates the observed duration by approximately 3 days, and differences between model experiments are small. Although no definitive explanation can be given at this time, a possible reason for the short duration in the Northwest Pacific and Southern Hemisphere might be increased NTC translational speeds. None of the domains experience statistically significant changes between experiments in NTC durations.

### 3.1.3 NTC Annual Maximum 10-Meter Wind Speed

As discussed in Chapter 2, the COAPS/FSU AGCM is unable to resolve storm wind speeds greater than about 50 ms$^{-1}$ (seen in Figure 3.4). This is a common problem with all AGCMs due in part to coarse horizontal resolution (Knutson et al. 2007). Given these upper bounds, little change can be detected in the annual maximum 10-meter wind speed between experiments even if there should be a change at the higher end of the wind speed spectrum. As seen in Figure 3.4,
the highest average maximum wind speeds in the IBTrACS occur in the Northwest Pacific domain with 61.3 ms$^{-1}$. The other domains (with the exception of North Indian) have IBTrACS average maximum wind speeds between 57.4 and 58.7 ms$^{-1}$. In the HadSST experiment, the model has the highest average wind speeds in the Northwest Pacific with 48.1 ms$^{-1}$ followed by the North Atlantic with 47.8 ms$^{-1}$ and then the Southern Hemisphere with 44.7 ms$^{-1}$. For the RCP2.6 experiment, the highest average maximum wind speed also occurs in the Northwest Pacific with 48.2 ms$^{-1}$ followed by 47.1 ms$^{-1}$ in the North Atlantic and 44.4 ms$^{-1}$ in the Southern Hemisphere. In the RCP8.5 experiment, the greatest average maximum wind speed is located in the North Atlantic with 48.0 ms$^{-1}$ followed closely by the Northwest Pacific with 47.6 ms$^{-1}$ and then the Southern Hemisphere with 43.6 ms$^{-1}$. In the Northeast Pacific and the North Indian domains, the model estimates lower average maximum wind speeds than the other domains. The IBTrACS also shows maximum wind speeds are lower in the North Indian domain than the other basins. In the Northeast Pacific, the model is probably underestimating wind speeds possibly due to issues with local orography reducing NTC genesis. Even re-analysis models have trouble reproducing the strongest storms in the Northeast Pacific region (Schenkel and Hart 2011).
Figure 3.5: Binned 6-hourly maximum wind speeds (ms$^{-1}$) for all storms’ lifetimes for each experiment. Bin size is 2 ms$^{-1}$.

To get a better idea of the North Atlantic changes in NTC wind speed that take place between model experiments, maximum 6-hourly wind speeds over the lifetimes of all NTCs are binned and shown in Figure 3.5. For all experiments, the most frequent wind speed over all storms’ lifetimes is in the 20–22 ms$^{-1}$ bin. The highest wind speeds for the HadSST and both RCP experiments occur in the 48–52 ms$^{-1}$ bins. It is easiest to see any shifts toward higher wind speeds in the tails of the probability density distributions for wind speed frequencies (shown in Figure 3.6). The means (vertical lines) for the HadSST and RCP2.6 experiments are 28.4 ms$^{-1}$ and 28.3 ms$^{-1}$, and the mean for the RCP8.5 experiment is 29.2 ms$^{-1}$. The difference in means between the HadSST and RCP8.5 experiments is statistically significant at the 95% level, implying that higher intensity storms occur more frequently for this experiment.

Figure 3.7 shows the frequency of NTC maximum wind speeds (one per storm) for each experiment. The most frequent NTC maximum wind speed for the HadSST experiment is in the 42–44 ms$^{-1}$ bin. The most frequent NTC maximum wind speed for the RCP2.5 experiment is slightly less and is in the 40–42 ms$^{-1}$ bin. The RCP8.5 experiment’s most frequent NTC maximum wind speed is in the 44–46 ms$^{-1}$ bin. NTC maximum wind speeds are in the 50–52 ms$^{-1}$ bin for the HadSST and RCP8.5 experiments. The density curve in Figure 3.8 shows that the means for both
3.1.4 NTC Daily Precipitation

Figure 3.9 shows the binned daily precipitation (integrated within 2° of each storm’s center) from all storms’ lifetimes for each experiment, and Figure 3.10 shows the density curves for each. There are statistically significant increases (at the 95% level) in daily precipitation between each experiment with increasing SSTs. The increases are most easily seen in the tails of the density curves which shift toward higher values with increasing SST experiment. All of the means (vertical lines on the density curve plot) are statistically significantly different from each other at the 95% level. The difference between the means for the HadSST and RCP2.6 experiments is 5%, and the
Figure 3.7: Binned storm maximum wind speeds (ms$^{-1}$) for all storms for each experiment. Bin size is 2 ms$^{-1}$.

Figure 3.8: Storm maximum wind speed (ms$^{-1}$) density curves for each experiment. Vertical lines represent each experiment’s mean value.
Figure 3.9: Binned daily precipitation (mm/day) for all storms’ lifetimes for each experiment. Bin size is 10 mm/day.

Figure 3.10: Daily precipitation (mm/day) density curves for each experiment. Vertical lines represent each experiment’s mean value.
difference between the means for the HadSST and RCP8.5 experiments is 12%. Knutson et al. (2010) reports that rainfall rates may increase by about 20% within a 100 km radius of storm centers.

### 3.2 Genesis and Track Density

Changes in NTC genesis and track locations could be important effects of a warming climate, especially since the factors affecting NTC activity are not expected to change uniformly between domains and even within individual domains. Genesis and track densities depend on climatological factors such as SSTs, wind shear, column stability, mid-level moisture, and surface and upper-level flow patterns. First, genesis and track density comparisons are made between the IBTrACS and the historical climate experiment (HadSST) and then the RCP experiments. Also, some of the previously mentioned factors that affect NTC genesis and track will be analyzed and discussed to determine why any density changes might occur.

#### 3.2.1 NTC Genesis Density

Figures 3.11 and 3.12 (plotted on a $5^\circ \times 5^\circ$ grid) are compared to see how reasonably the model produces genesis and track densities for the HadSST experiment. As discussed in the previous section, the model does poorly producing the proper NTC climatology in the Northeast Pacific domain. It does produce storms in this domain, but genesis is not as concentrated near 100$^\circ$W and 10$^\circ$N for the HadSST experiment as it is in the IBTrACS data. For the IBTrACS, most Northeast Pacific storms have their genesis occur in that area, but the model does not produce such a strong genesis 'bullseye'. The opposite occurs in the North Atlantic basin. The IBTrACS shows genesis occurring not only in the MDR, but also throughout the majority of the North Atlantic (up to about 30$^\circ$N) as well as the Caribbean and the Gulf of Mexico. The model restricts genesis much closer to the equator and to the western part of the basin. The HadSST experiment produces very similar genesis density to the IBTrACS for the Northwest Pacific basin. One small difference is that genesis is again a little closer to the equator in the HadSST experiment. That seems to be the case globally, including the Southern Hemisphere. Again, the model underpredicts NTC counts in the North Indian domain, and the IBTrACS data there is greatly lessened due to the exclusion of storms that were not named, so it won’t be discussed.
Figure 3.11: Genesis density (events/year) for IBTrACS using 5° x 5° degree grid boxes.

Overall, the model restricts cyclogenesis nearer to the equator than what is seen in observations. Genesis happens up to about 35°N and 35°S in the IBTrACS data but is concentrated between about 20°N and 20°S in the HadSST experiment. This could be due to the use of climatological SSTs and specific thresholds within the detection/tracking algorithm. Also, the model has preferred areas within most domains where genesis occurs, so it is usually more concentrated in the model than in the IBTrACS. The exception is the Northeast Pacific domain where genesis is more concentrated in the observations and more evenly distributed by the model.

Figures 3.13 and 3.14 are the factoral changes in genesis between the RCP2.6 and HadSST experiments and the RCP8.5 and HadSST experiments for the North Atlantic domain. These represent how genesis is estimated to change for each future climate scenario compared to the HadSST experiment. The technique used to calculate the factoral change is based on Strazzo et al. (2013). First, the density for the individual experiments is normalized using the total number of events over the entire domain. Then the base-two logarithm of the normalized RCP density divided by the normalized HadSST density is taken. There is little difference between the experiments in terms of genesis locations. SST warming in the regions of high genesis density in the North Atlantic for both RCPs seems to be mostly uniform (as seen in Figures 2.4 and 2.5). The lack of differential
Figure 3.12: Genesis density (events/year) for HadSST experiment using 5° x 5° degree grid boxes.

SST warming may act to reduce any large shifts in NTC genesis locations between experiments. Other parameters that affect NTC genesis (such as mid-level relative humidity and vertical wind shear) will be examined later in Chapter 3.

3.2.2 NTC Track Density

Figures 3.15 and 3.16 are the track densities for the IBTrACS and for the HadSST experiment on a 3° x 3° grid to gain finer detail for densities near coastal locations. East Pacific tracks produced by the model are held too far east and do not travel westward like they do in the IBTrACS. The modeled tracks move more northward than westward. One possible explanation for this observation is that storms in the model are larger due to coarse resolution so $\beta$-drift is greater, causing storms to track more northward. In the North Atlantic, similar to genesis, the model has a concentrated area of NTC tracks starting from the modeled main genesis region then traveling northwestward and recurving very closely along the United States’ East Coast. The IBTrACS shows tracks being more evenly distributed throughout the basin. Both the model and the IBTrACS have storm tracks that travel inland over the southeast and northeast United States, but only the IBTrACS has storms reaching farther west over Mexico. The model does very well in reproducing the historical climate
Figure 3.13: Normalized factorial change in genesis density between the RCP2.6 experiment and the HadSST experiment using $5^\circ \times 5^\circ$ degree grid boxes.

Figure 3.14: Normalized factorial change in genesis density between the RCP8.5 experiment and the HadSST experiment using $5^\circ \times 5^\circ$ degree grid boxes.
track density in the Northwest Pacific basin. There are no significant differences to note besides the fact that the IBTrACS has a higher density of tracks that reach northward of 30°N. For the Southern Hemisphere, the model again constrains tracks closer to the equator compared to the IBTrACS. Also, in the South Indian Ocean, the HadSST tracks are a little farther west (and closer to the African coast) compared to the IBTrACS.

Figures 3.17 and 3.18 are the factorial changes in track density for each RCP experiment and are calculated in the same way as Figures 3.13 and 3.14. In the North Atlantic, there is a clear shift away from the eastern part of the basin for both RCPs. There is also a greater threat of landfalling storms in the southeastern United States for both RCP experiments compared to the HadSST experiment. The factor by which track density increases near the southeastern United States is greater for RCP8.5. Colbert et al. (2013) project that genesis will shift eastward leading to an increase in recurving storms for a warming climate.

3.3 NTC Annual Cycles

Figure 3.19 is the global average monthly NTC count for each model experiment plus the IBTrACS with 95% confidence interval error bars. In the IBTrACS data, there is a main peak around
Figure 3.16: Track density (events/year) for HadSST experiment using $3^\circ \times 3^\circ$ degree grid boxes.

Figure 3.17: Normalized factorial change in track density between the RCP2.6 experiment and the HadSST experiment using $3^\circ \times 3^\circ$ degree grid boxes.
August/September and a secondary peak around January/February. The model experiments do not have a clear bi-modal distribution that is seen in the IBTrACS, and do not fully capture the magnitude of the main peak. However, the model’s timing of the absolute minimum (around April to May) and absolute maximum is very reasonable. The correlation of the HadSST experiment and the IBTrACS for the global annual cycle is 0.59 and is listed in Table 3.2 along with the correlations for the individual domains. When comparing between the HadSST experiment and each RCP experiment, there isn’t a consistent change between them over the entire cycle. Looking into the individual domains is more informative regarding differences between the current climate and warming climate scenarios.

Figure 3.20 is the North Atlantic domain NTC annual cycle. The model does very well at producing NTC strength systems during the appropriate season. The correlation between the HadSST experiment and the IBTrACS average annual cycles is about 0.88. However, as shown in LaRow et al. (2008), the modeled annual cycle for this domain peaks one month early for the historical climate SSTs (HadSST experiment). The peaks for the two RCPs are even two months earlier than the IBTrACS peak and one month prior to the HadSST experiment. Unfortunately, the error bars are quite large, and this result is not considered statistically significant. Also, some error
Figure 3.19: Global average annual NTC cycle for each model experiment and for IBTrACS (error bars indicate 95% confidence interval).

Figure 3.20: North Atlantic average annual NTC cycle for each model experiment and for IBTrACS (error bars indicate 95% confidence interval).
bars during months of less activity extend below zero which is not physical when considering NTC frequency. Perhaps a better method for developing error bars for data in a Poisson distribution could be used in the future.

Annual cycle plots for the remaining basins are not shown but are discussed here. The model underestimates the number of NTCs in the Northeast Pacific domain and has a majority of the storms later in the season compared to the IBTrACS. The correlation between the HadSST experiment and the IBTrACS for the Northeast Pacific domain is 0.62. In the North Indian domain, the IBTrACS is incomplete and all ‘UNNAMED,’ ‘NOT NAMED,’ ‘NAMELESS,’ and ‘MISSING’ storms are removed. This accounts for a non-trivial percentage of observed storms, so it is difficult to make a good comparison between the observations and the model experiments. In the Northwest Pacific domain, the HadSST experiment has a correlation of 0.90 with the IBTrACS. And in the Southern Hemisphere, the correlation between the HadSST experiment and the IBTrACS is 0.88.

Table 3.2: Rank-based correlations using Spearman’s $\rho$ statistic for the mean annual cycles of the HadSST experiment and IBTrACS.

<table>
<thead>
<tr>
<th>Domain</th>
<th>$\rho$</th>
</tr>
</thead>
<tbody>
<tr>
<td>EPac</td>
<td>0.62</td>
</tr>
<tr>
<td>NAtl</td>
<td>0.88</td>
</tr>
<tr>
<td>NIn</td>
<td>0.83</td>
</tr>
<tr>
<td>SHem</td>
<td>0.88</td>
</tr>
<tr>
<td>WPac</td>
<td>0.90</td>
</tr>
<tr>
<td>Globe</td>
<td>0.59</td>
</tr>
</tbody>
</table>

3.4 Climatological Changes of Other Parameters Affecting NTC Activity

The changes in NTC activity (counts, durations, wind speeds, precipitation, and genesis and track location) that the model projects for each RCP experiment have been calculated. The next step is to take a look at the changes of different climatological parameters to understand why given changes in NTC activity have occurred in the model. Some climatological parameters that are known to strongly affect NTC activity are static stability, mid-level relative humidity, vertical wind shear of the horizontal winds, steering flow, and tropics-relative SSTs.
3.4.1 Vertical Temperature and Equivalent Potential Temperature Profiles

Figure 3.21 shows the globally and annually averaged temperature change and equivalent potential temperature ($\theta_e$) ($^\circ$C) by pressure level between the HadSST experiment and each RCP experiment. The difference in temperature with height between the HadSST and RCP2.6 experiments is relatively uniform and is about 1.0$^\circ$C for vertical levels 850 hPa through 300 hPa. Around 600 hPa, the warming is slightly less than the other pressure levels by about 0.1 $^\circ$C. For RCP8.5, the warming increases with height (850 hPa to 300 hPa) compared to the HadSST experiment. The temperature difference between the HadSST and RCP8.5 experiments is 0.6 $^\circ$C greater at 300 hPa than at 850 hPa. With greater warming at upper levels, this may point to a more stable global atmosphere (unfavorable to NTC genesis) in the RCP8.5 experiment and possibly help
Figure 3.22: Tropical (30°S to 30°N) annual average change in temperature and equivalent potential temperature ($\theta_e$) ($^\circ$C) by pressure level between the RCP2.6 and HadSST experiments (black line) and the RCP8.5 and HadSST experiments (green line).

Explain why all basins are estimated to have decreased NTC frequency in the RCP8.5 experiment as seen in Table 3.1. Equivalent potential temperature is an even better proxy for static stability incorporating the potential for a parcel to become saturated. $\theta_e$ for both RCPs increases more rapidly with height from 850 hPa to 300 hPa. The differential increase of $\theta_e$ from 850 hPa to 300 hPa of about $1.5^\circ$C for RCP2.6 and $2.7^\circ$C for RCP8.5 indicates more stability (even in the presence of saturation) than in the HadSST experiment.

Even more temperature warming with height is seen in the tropics for each RCP (shown in Figure 3.22). Here, RCP2.6 has $0.6^\circ$C more warming at the 300 hPa level than at the 850 hPa level, and RCP8.5 has $1.6^\circ$C more warming at the 300 hPa level than at the 850 hPa level. For $\theta_e$, the change from 850 hPa to 800 hPa between the HadSST and RCP2.6 experiments is fairly
uniform at about 1.2°C and increasing to 3.0°C at 200 hPa. The difference between the HadSST and RCP8.5 experiments increases steadily with height from about 2°C at 850 hPa to about 6.0°C at 200 hPa. The tropical atmosphere becomes more stable for RCP8.5 than for RCP2.6. This may be one reason that the changes in NTC frequency are mixed in sign for all domains for the RCP2.6 experiment but are all negative for the RCP8.5 experiment.

Changes in 700 hPa relative humidity are also compared between model experiments. Patterns of moistening and drying in the mid-levels for the North Atlantic domain are similar for both RCP experiments compared to the HadSST experiment with magnitudes being greatest for RCP8.5 (although statistical significance was not determined). Drying occurs in the MDR while moistening occurs north and south of the MDR. These possible changes might be a result of an overall shift in tropical circulations and/or the location of the Inter-Tropical Convergence Zone (ITCZ). The area of drying could have a significant effect on NTC genesis, duration, and strength.

3.4.2 Vertical Wind Shear

The percent differences in 850 hPa to 200 hPa vertical wind shear between each RCP experiment and the HadSST experiment is seen in Figure 3.23 (colored contours). Hatching indicates areas where the differences are statistically significant to the 95% level. There is generally a statistically significant increase in the magnitude of wind shear for the main development region of the North Atlantic domain for both RCP experiments compared to the HadSST experiment. Interestingly, the greatest increases in wind shear occur in the western part of the domain for both RCP experiments. This is a potential reason for the model to produce genesis more frequently in the eastern part of the domain (as seen is Figures 3.13 and 3.14). This could also contribute to the track shifts toward the eastern part of the domain as seen in Figures 3.17 and 3.18. Also, a smaller area of statistically significantly less wind shear exists over Florida and part of the Caribbean region for RCP2.6. This might even be a result of the increased NTC activity near the southeastern United States that is produced in the model for that experiment.

3.4.3 Tropics-relative SSTs

As mentioned in Chapter 2, an increase in tropics-relative SST is more important than a uniform increase of SSTs over the entire tropics when considering NTC activity in the North Atlantic domain (Vecchi and Soden 2007; Swanson 2008; Villarini and Vecchi 2012; Sun et al. 2013). Using the
SSTs that are prescribed for lower boundary condition in each experiment, the North Atlantic tropics-relative SSTs are calculated for each experiment. The difference in tropics-relative SST between the RCP2.6 and the HadSST experiments is $0.054^\circ$C, and the difference between the RCP8.5 and the HadSST experiment is $0.008^\circ$C. Although they are both very small values, they differ by an order of magnitude. The larger RCP2.6 tropics-relative SST could have contributed to the greater (statistically significant) increase in the mean annual North Atlantic NTC count between the RCP2.6 and HadSST experiments compared to the small (not statistically significant) decrease between the RCP8.5 and HadSST experiments.

3.4.4 North Atlantic Sub-Tropical High

The North Atlantic Sub-Tropical High (NASH) is considered a main contributor to the large-scale steering flow affecting tropical storm tracks in the North Atlantic (Colbert and Soden 2012). The time-averaged June through November sea level pressure for the HadSST experiment and the two RCP experiments along with their differences is shown in Figure 3.24. The strength of the NASH increases as SST and CO$_2$ concentration increase between experiments. However, the extent and size of this feature does not change drastically between experiments. The NASH’s increasing strength in the RCP experiments may be affecting the western part of the domain, decreasing genesis.
potential in that area and pushing it farther east, but it is probably not directly contributing to any changes in track density since its position remains similar between experiments. Colbert and Soden (2012) state that straight, northwestward storm tracks are most likely a result of genesis location rather than steering flow. That seems to be the case here as well since there is a higher risk of landfalling storms for the southeastern United States for the two RCP experiments compared to the HadSST experiment although the position of the NASH is similar between the experiments.
CHAPTER 4
DISCUSSION AND CONCLUSIONS

CO₂ concentrations have risen throughout the 20th century and are expected to continue increasing throughout the 21st century. This anthropogenic forcing could lead to climatological changes in parameters that affect TC activity such as atmospheric temperatures, SSTs, moisture, wind shear, static stability, and tropical circulations. Additionally, these changes won’t likely occur uniformly across all TC domains. This further complicates any efforts to project future TC climatology. Also, the rates at which these parameters (and TC activity) will change will depend on factors like policy and technology. At this time, the best that can be done is to use multiple future climate scenarios to estimate the potential changes in NTC activity for each scenario through climate modeling.

4.1 Experiment Summary

Models that do well at reproducing historical NTC climatology are the best candidates to make future NTC climatology estimates. The FSU/COAPS model has done very well at reproducing interannual variability of hurricane counts for the North Atlantic basin over the period 1982–2009 with a mean correlation of 0.74. In this study, the FSU/COAPS model is used to estimate the 2006–2100 NTC activity climatology for two future climate scenarios (RCP2.6 and RCP8.5). This is done by taking the mean CO₂ concentrations over that period and the monthly mean CCSM4 bias-corrected future projected SSTs over that period and using them to force the FSU/COAPS model. Another model experiment (the control experiment) is done using monthly mean historically observed SSTs and a historical CO₂ concentration. A NTC detection/tracking algorithm is applied to these three model experiments to obtain statistics for NTC counts, intensities, locations, and durations. These statistics are compared between the model experiments to determine if any future changes in NTC activity are projected by the model. Furthermore, other variables from each experiment such as static stability, relative humidity, vertical wind shear, tropics-relative SSTs (from the model’s lower boundary conditions), and sea level pressure patterns are examined.
in an effort to understand the physical processes that might be leading to any projected changes in TC activity.

4.2 Global Discussion

The model projects a small increase in global NTC frequency for RCP2.6 and a small decrease in NTC frequency for RCP8.5 compared to the HadSST experiment. Neither change is statistically significant (using a 95% level). Most similar published modeling studies have projected either no change or a decrease in global NTC frequency in a warming climate (Knutson et al. 2010). Also in this study, the global distribution of storms seems to shift from the largest percentage in the Southern Hemisphere (compared to individual Northern Hemisphere domains) for the HadSST experiment to the Northwest Pacific domain for the warmest SST experiment (RCP8.5). Other modeling studies also found a shift in global NTC distribution from the Southern Hemisphere to the Northern Hemisphere (Knutson et al. 2010).

4.3 North Atlantic Discussion

In the North Atlantic domain, the model projects a statistically significant increase of 14.9% for climatological NTC frequency for the RCP2.6 scenario. After investigating multiple parameters that may influence NTC frequency, a higher tropics-relative SST for the RCP2.6 experiment compared to the HadSST experiment is suspected to be the most influential cause for the difference in frequency. The RCP8.5 scenario has a similar tropics-relative SST to that of the HadSST experiment which helps explain why the projected change in NTC frequency between those two experiments was not statistically significant. Although previously published studies have mixed projections in NTC frequency for the North Atlantic basin, this study agrees with the suggestion that tropics-relative SST is more influential on NTC frequency than a uniform change in SST. Also, a statistically significant increase in the frequency of the highest wind speeds that can be resolved by the model is found in this study for the RCP8.5 experiment (greatest warming scenario). This could be a result of climatological changes like an increase in overall SST (providing more energy to storms). Storms are also found to have greater precipitation with increasing SST. All three experiments (HadSST, RCP2.6, and RCP8.5) had statistically significantly different amounts of precipitation per day, increasing with increasing SST. Therefore, tropics-relative SST changes seem to be most important
for NTC frequency while overall SST changes seem to be most important for NTC intensity (wind speed and precipitation).

Genesis and storm tracks in the North Atlantic basin are more concentrated in the western part of the basin for both the RCP2.6 and RCP8.5 experiments. Two factors that may be influencing these changes are statistically significantly increasing vertical wind shear in the eastern part of the domain and a strengthening sub-tropical high pattern for the RCP2.6 and RCP8.5 experiments (compared to the HadSST experiment). This could also be a result of a preferred region of genesis within the model.

4.4 Continued Research

The results from this study can be added to the existing studies on climate modeling projections for various future climate scenarios. This study supports the theory that the North Atlantic domain’s tropics-relative SSTs have a greater effect on NTC frequency than uniformly warming tropical SSTs. However, since statistically significant results were found for only the RCP2.6 experiment in the North Atlantic (and neither RCP experiment for the Globe), ideally more integrations for each experiment should be done to develop a larger ensemble size in an effort to develop more significant results. Then, the FSU/COAPS model’s future climate projections can be better compared with other similar studies. Similarly, a larger ensemble size might also help reveal any differences in NTC intensity between the HadSST and RCP2.6 experiments which were not found to be statistically significantly different in this study. It might also be beneficial to force the model with future climate scenario SSTs and CO$_2$ concentrations that are representative of a shorter period at the end of the 21st century (possibly 2090–2100) rather than a climatology of the entire 2006–2100 period. Such an experiment might be more useful since NTC activity at the beginning and end of the 21st century may be very different. That difference is not represented by taking a climatology over nearly the entire century.
REFERENCES


Bengtsson, L., M. Botzet, and M. Esch, 1996: Will greenhouse gas-induced warming over the next 50 years lead to higher frequency and greater intensity of hurricanes?. *Tellus*, 48A, 57–73.


I grew up living with my mother (Kandra) in Hartville, Ohio. I have always enjoyed learning math and science which led to my interest in Meteorology. I attended North Carolina State University where I received my B. S. degree in Meteorology and graduated as a valedictorian with a 4.0 GPA. I did not have a chance during my undergraduate career to learn about tropical meteorology, so I wanted to attend a graduate school where I could learn more about that topic. That led me to Florida State University. I have worked with Dr. LaRow at the Center for Ocean-Atmospheric Prediction Studies (COAPS) on research that concentrates on how future climate change might affect tropical cyclone activity. My plan after graduating is to find a research-oriented Meteorology job.