

1 **Quantifying the role of the eddy transfer coefficient in simulating the response of**
2 **the Southern Ocean Meridional Overturning Circulation to enhanced westerlies**
3 **in a coarse-resolution model**

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25

26 **Abstract**

27 The ability of a coarse-resolution ocean model to simulate the response of the Southern
28 Ocean Meridional Overturning Circulation (MOC) to enhanced westerlies is evaluated
29 as a function of the eddy transfer coefficient (κ), which is commonly used to
30 parameterize the bolus velocities induced by unresolved eddies (Gent and McWilliams,
31 1990). By comparing five different schemes for κ , it is shown that a stratification-
32 dependent and spatiotemporally varying coefficient leads to the largest response of the
33 eddy-induced MOC; however, it accounts for only 65% of the reference eddy-resolving
34 simulation. A comparison between spatially and spatiotemporally varying κ further
35 indicates the more important role of the temporal variation of κ in simulating the
36 response of the eddy compensation. By decomposing the eddy-induced velocity into a
37 new term derived from the introduction of the vertical variation of κ (VV) and an
38 already existing term based on κ (spatial structure, SS), the largest response of the eddy
39 compensation is attributed to the significantly intensified SS term rather than the VV
40 term. The introduction of spatiotemporal κ also makes the response of the density slope,
41 which is a secondary impact of κ , become the key factor to simulate the eddy
42 compensation response precisely, whereas the experiments with constant schemes are
43 determined by κ itself.

44

45 **1. Introduction**

46 The Southern Ocean crucially connects three basins through its meridional overturning
47 circulation (MOC) and the Antarctic Circumpolar Current (ACC). It is also filled with
48 mesoscale eddies, which can significantly affect the Southern Ocean through altering
49 the volume transport (Gent, 2016), the water mass formation (Waugh, 2014), and the
50 carbon absorption (Swart et al., 2014). The Southern Ocean MOC consists of two cells:
51 the upper cell and the lower cell. The upper cell is driven by both the upwelling of North
52 Atlantic deep waters and the wind-induced northward surface Ekman transport. With
53 global warming and ozone depletion, the Southern Hemisphere westerlies shifted
54 poleward and intensified by $\sim 20\%$, as shown by satellite data and atmospheric
55 reanalysis (Swart and Fyfe, 2012; Bracegirdle et al., 2013; Farneti et al., 2015; Gent,

56 2016). The intensified westerlies are thought to enhance the circulations in the Southern
57 Ocean predicted by the wind-driven circulation theory (Speer et al., 2000; Abernathey
58 et al., 2011), but the density slope from the Argo observations (Boning et al., 2008) and
59 the ACC transport from high-resolution simulations (Hallberg and Ganadesikan, 2006;
60 Meredith et al., 2012) do not show a corresponding enhancement. It is usually believed
61 that the input energy from the wind is compensated for by mesoscale eddies in the
62 Southern Ocean, which absorb energy from the westerlies and are also enhanced by the
63 intensified westerlies (Viebahn and Eden, 2010; Hofmann and Maqueda, 2011; Downes
64 and Hogg, 2013; Gent, 2016). The eddy compensation can further affect the Southern
65 Ocean sea surface temperature (SST) by dampening its response to enhanced westerlies
66 (Doddridge et al., 2019). Thus, the Southern Ocean eddy compensation and its response
67 to changes in the westerlies have a crucial role in the state of the Southern Ocean.

68

69 To simulate the eddy compensation properly, eddy parameterization is still needed, as
70 most climate models still use non-eddy-resolving ocean models. The eddy-induced
71 transport is commonly parameterized by both the diffusivity (Redi, 1982) and the bolus
72 velocities (Gent and McWilliams, 1990, hereafter referred to as GM), or the skewness
73 flux (Griffies, 1998), with an eddy transfer coefficient (κ). To parameterize the eddy
74 compensation, κ should be variable in both space and time (Gent, 2016). This has been
75 reported from the analysis of multiple non-eddy-resolving simulations with different
76 ocean models and with different GM eddy transfer coefficients, including mixing length
77 scale (Visbeck et al., 1997; Eden and Greatbatch, 2008), the buoyance-dependent scale
78 (Ferreira et al., 2005), and the geometric scale (Marshall et al., 2012). The idealized
79 configuration also indicates that κ should be proportional to the square root of the wind
80 stress to simulate the eddy compensation (Abernathey et al., 2011). Although most
81 studies indicate that one specified character of κ should be included to simulate the
82 eddy compensation properly, it is not clear which feature makes the major contribution.
83 Therefore, it is necessary to quantify the impact of the specified character of κ and its
84 mechanism.

85

86 Even though the spatiotemporally varying κ can simulate the eddy compensation in a
87 coarse-resolution model, the magnitudes of the eddy compensation response among
88 previous studies still show inconsistencies. Hofmann and Maqueda (2011) showed a
89 67% increase in the eddy-induced MOC with doubled westerlies and a length-scale-
90 dependent scheme for κ , whereas Gent and Danabasoglu (2011) showed a 60% eddy
91 compensation with only 50% enhanced westerlies and a buoyancy-dependent scheme
92 by using a coupled model. Furthermore, Downs et al. (2018) showed that the residual
93 upper cell increases by 0.1 to 1.6 Sv per decade among 12 COERII models with
94 different schemes for κ . That spread of the simulated trends of the eddy compensation
95 is due to the different schemes for κ and the different dynamic cores of the models. To
96 evaluate the spread of the simulated eddy compensation in a coarse-resolution model,
97 the eddy-resolving model is usually taken as a reference, as most state-of-the-art eddy-
98 resolving ocean models can resolve the impact of mesoscale eddies on the response of
99 the MOC to enhanced westerlies (Marshall and Radko, 2003; Hallberg and
100 Gnanadesikan 2006; Abernathey et al., 2011; Meredith et al., 2012; Bishop et al., 2016;
101 Gent, 2016; Paulsen et al., 2018). To avoid the effects of the different models' dynamic
102 cores, a comparison between the high- and low-resolution configurations based on one
103 particular model will be helpful to evaluate how much of the effect of eddies in the
104 eddy-resolving model can be parameterized by the coarse-resolution experiments with
105 different schemes for κ . This evaluation will also be useful to understand what features
106 of κ are crucial to parameterize the mesoscale eddies.

107

108 This study quantifies the response of the Southern Ocean MOC to increased westerlies
109 in an ocean model with parameterized eddy effects by using different κ . An eddy-
110 resolving configuration is used as the reference to quantify the impact of κ on the
111 simulation of the eddy compensation. Specifically, we look at the effects of two widely
112 used schemes of κ : one depending on the buoyancy frequency from Ferreira et al. (2005)
113 and one depending on time and length scales provided by the eddy growth rate, the
114 Rossby radius of deformation, and the Rhines scale from Eden and Greatbatch (2008).
115 We find that (1) the stratification-dependent κ , especially its temporal variation,

116 strengthens the eddy compensation response to enhanced westerlies in the Southern
117 Ocean, (2) the largest simulated eddy compensation response among the coarse-
118 resolution experiments with different κ accounts for only 65% of that from the reference
119 eddy-resolving experiment, and (3) owing to the introduction of the spatiotemporal
120 variation of κ , the simulation of the eddy compensation response is determined by the
121 response of the density slope rather than κ , which is the dominant factor in experiments
122 with constant κ .

123

124 The remainder of the paper is organized as follows. Section 2 describes the ocean model,
125 experiments, and methods of decomposing the eddy-resolving output into the eddy-
126 induced and Eulerian mean transports. In section 3, the response of the circulation to
127 the intensified westerlies in the eddy-resolving model and a coarse-resolution model
128 with different κ are investigated. Section 4 describes how the eddy compensation
129 response in the Southern Ocean is influenced by κ . The last section is the summary and
130 discussion.

131

132 **2. Experiments and methods**

133 **2.1 Eddy-resolving experiment**

134 The ocean model used in this paper was developed at the State Key Laboratory of
135 Numerical Modeling for Atmospheric Sciences and Geophysical Fluid Dynamics
136 (LASG), Institute of Atmospheric Physics (IAP), and named the LASG/IAP Climate
137 system Ocean Model (LICOM). The eddy-resolving experiment uses LICOM version
138 2.0 (LICOM2.0, Liu et al., 2012), with a $0.1^\circ \times 0.1^\circ$ horizontal grid and 55 vertical
139 levels. In the upper 300 m, 36 levels are used with an average layer thickness of less
140 than 10 m. Biharmonic viscosity and diffusivity schemes are used in the momentum
141 and tracer equations, respectively. The model domain covers 79°S – 66°N , excluding the
142 Arctic Ocean. There is a 5° buffer zone at 66°N , where temperature and salinity are
143 restored to the climatological monthly temperature and salinity (Levitus and Boyer,
144 1994). The experiment, called LICOMH hereafter, was conducted after 12-year spin-
145 up and using the 60-year (1948-2007) daily Coordinated Ocean-Ice Reference

146 Experiments (CORE, Large and Yeager, 2004) interannually varying forcing. Please
147 refer to Yu et al. (2012) and Liu et al. (2014) for the details of the model description
148 and basic performances.

149

150 **2.2 Coarse resolution experiments**

151 The coarse resolution experiments use version 3.0 of LICOM (LICOM3, Lin et al.,
152 2020; Li et al., 2020), which is coupled to the Community Ice Code version 4 (CICE4)
153 through the NCAR flux coupler version 7 (CPL7), with approximately 1° horizontal
154 resolution and 30 vertical levels. The vertical resolution is uniform in the top 150 m,
155 with a grid spacing of 10 m, whereas the spacing is uneven below 150 m. The horizontal
156 model grid uses a tripole grid (Murray, 1996) with two poles in the Northern
157 Hemisphere, which are located at 65°N , 30°W and 65°N , 150°E , respectively. The tidal
158 mixing parameterization scheme of St. Laurent et al. (2002) is implemented. The
159 coarse-resolution experiments (hereafter referred to as LICOML) follow the second
160 phase of the Coordinated Ocean-Ice Reference Experiments (COREII) protocol, forced
161 by six-hourly atmospheric data and the bulk formula of Large and Yeager (2009). These
162 experiments are integrated for 124 years, with two 62-year CORE-II cycles, and the
163 second cycle is used for analysis here.

164

165 There are five coarse-resolution ocean–sea ice coupled experiments with different
166 schemes for κ (listed in Table 1) to evaluate the influence of κ . The first two coarse-
167 resolution experiments use a constant κ of $500 \text{ m}^2 \text{ s}^{-1}$ and $1000 \text{ m}^2 \text{ s}^{-1}$, referred to as
168 K500 and K1000, respectively. The next two experiments use an eddy transfer
169 coefficient scheme based on the structure of buoyancy frequency as described in
170 Ferreira et al. 2005 (referred to as FMH hereafter):

$$171 \quad \kappa = \frac{N^2}{N_{\text{ref}}^2} \kappa_{\text{ref}} \quad (1)$$

172 where κ is the eddy transfer coefficient, κ_{ref} is constant and set to $4000 \text{ m}^2 \text{ s}^{-1}$, N^2 is
173 the buoyancy frequency, and N_{ref}^2 is the reference buoyancy frequency at the bottom of
174 the mixed layer depth. In the two experiments, called FMH3D and FMH4D, the eddy

175 transfer coefficients are spatially varying and spatiotemporally varying, respectively.

176

177

Table 1. The configurations of the experiments

Experiment	Resolutions (°)	κ (m^2/s)	Periods	Forcing
LICOMH	0.1	-	1949-2007	CORE II
K500	1	500	1948-2009	CORE II
K1000	1	1000	1948-2009	CORE II
FMH3D	1	$\overline{\kappa_{ref}(N^2/N_{ref}^2)}$	1948-2009	CORE II
FMH4D	1	$\kappa_{ref}(N^2/N_{ref}^2)$	1948-2009	CORE II
EG	1	$\alpha\sigma(x, y, z)L^2(x, y, z)$	1948-2009	CORE II

178

179 The fifth coarse-resolution experiment uses the scheme of κ from Eden and Greatbatch
 180 (2008), which is computed from time and length scales derived from the eddy growth
 181 rate, the Rossby radius of deformation, and the Rhines scale:

$$182 \quad \kappa = \alpha\sigma(x, y, z)L^2(x, y, z) \quad (2)$$

183 where σ denotes an inverse eddy timescale that is given by the eddy growth rate; L is
 184 an eddy length scale, which is the minimum of the local Rossby radius of deformation
 185 and the Rhines scale; and α is a constant parameter of order one following Eden and
 186 Greatbatch (2008) and Eden et al. (2009). The experiment is called EG hereafter.

187

188 The coefficient for isopycnal mixing (Redi, 1982) is the same for all experiments with
 189 a constant value of $500 \text{ m}^2 \text{ s}^{-1}$ — this has been shown to impact the response of the
 190 Southern Ocean MOC to the enhanced westerlies (Abernathey and Ferreira, 2015).

191

192 **2.3 Decomposition of MOC in LICOMH**

193 The total MOC, also named the residual MOC, consists of the Eulerian and the eddy-
 194 induced MOC. Following Poulsen et al. (2018), the eddy-induced MOC in LICOMH is
 195 defined by the deviation of the total MOC from the Eulerian MOC calculated based on

196 time-mean velocities. As in previous studies, we perform the decomposition analysis in
 197 the isopycnal coordinate system (e.g., Hallberg and Gnanadesikan, 2006; Munday et al.,
 198 2013; Bishop et al., 2016; Poulsen et al., 2018).

199

200 The residual MOC during one period is given by:

$$201 \quad \psi(\theta, \rho)_{\text{res}} = \overline{\oint_0^{2\pi} \int_{\rho_T(\phi, \theta, t)}^{\rho(\phi, \theta, t)} v(\phi, \theta, \rho, t) d\rho R \cos(\theta) d\phi} \quad (3)$$

202 where v is the meridional velocity, ϕ and θ are the usual spherical coordinates, R is
 203 Earth's radius, and ρ is the potential density. ρ_T is the potential density of the ocean top,
 204 and $\rho(\phi, \theta, t)$ is the potential density surface, which varies in both space and time. $\overline{(\)}$
 205 denotes the average operator over time. The vertical integration here is from the top to
 206 the bottom. The potential density is calculated with a reference pressure of 2000 dbar.

207

208 To obtain the Eulerian MOC, the decomposition is applied to the velocity and the
 209 density field first, which results in a time-mean field and its deviation (or eddy-induced
 210 values). Taking the meridional velocity as an example, we define the decomposition as
 211 follows:

$$212 \quad v(\phi, \theta, \rho, t) = \bar{v}(\phi, \theta, \rho) + v^*(\phi, \theta, \rho, t) \quad (4)$$

213 where \bar{v} is the time-mean meridional velocity and v^* is the eddy-induced meridional
 214 velocity. The stream function derived from the time-mean field represents the Eulerian
 215 mean overturning circulation and is given by:

$$216 \quad \psi(\theta, \rho)_{\text{Euler}} = \oint_0^{2\pi} \int_{\bar{\rho}_T(\phi, \theta)}^{\bar{\rho}(\phi, \theta)} \bar{v}(\phi, \theta, \rho) d\rho R \cos(\theta) d\phi \quad (5)$$

217 where \bar{v} is the time-mean velocity, $\bar{\rho}$ is the time-mean potential density, and $\bar{\rho}_T$ is the
 218 time-mean potential density of the ocean top.

219

220 Finally, the difference between the residual MOC ψ_{res} and the Eulerian MOC ψ_{Euler} is
 221 the eddy-induced MOC:

$$222 \quad \psi^* = \psi_{\text{res}} - \psi_{\text{Euler}} \quad (6)$$

223 which captures the motion that varies on a temporal timescale shorter than the period
 224 of the applied time-averaging operator. As in Poulsen et al. (2018), we use monthly

225 average outputs to calculate the eddy-induced circulation.

226

227 **3. Results**

228 **3.1 Enhanced westerlies**

229 Figure 1a shows the 12-month running mean monthly series of the zonal wind stress
230 averaged in the Southern Ocean (40–60°S and 0–360°E) and its linear trend for
231 LICOML. The wind stress was computed using CORE II forcing and model-predicted
232 SST, which indicates an increasing trend from 1949 to 2007 with a magnitude of about
233 0.008 N m^{-2} per decade (significant by Mann–Kendall non-parametric test). The trend
234 is consistent with the enhanced westerlies in the Southern Ocean that appeared during
235 recent decades found in previous studies (Swart and Fyfe, 2012; Bracegirdle et al., 2013;
236 Farneti et al., 2015; Gent, 2016). The difference in the zonal wind stress between 1998–
237 2007 and 1960–1969 is presented in Figure 1b. There is a general enhancement of the
238 zonal wind stress in the Southern Ocean with a maximum of 0.1 Pa and about 25.2%
239 increase averaged over 40–60°S and 0–360°E. Furthermore, a slightly poleward shift
240 of the zonal wind stress is also shown, which is confirmed by previous studies (e.g.,
241 Goyal et al., 2021). This significant multidecadal intensification of westerlies in the
242 Southern Ocean is believed to be driven partially by ozone depletion and global
243 warming (Thompson and Solomon, 2002; Marshall, 2003; Miller et al., 2016). The
244 linear trend of the zonal wind stress in the Southern Ocean from LICOMH is similar to
245 that from LICOML, but with a slightly smaller magnitude of 0.007 Pa per decade. For
246 the comparison between the two periods, LICOMH shows an increase in strength of
247 23.6%, which is 1.6% weaker than the 25.2% from LICOML. In general, the enhanced
248 westerlies are well simulated in both LICOML and LICOMH (Fig. S1). In terms of the
249 evaluation of the response of the Southern Ocean MOC, it is reasonable to assume that
250 there are same changes in the westerlies for LICOML and LICOMH.

251

252 **3.2 Response in the eddy-resolving experiment**

253 The response of the Southern Ocean MOC to the intensified westerlies is estimated by
254 the eddy-resolving experiment (LICOMH), in which mesoscale eddies can be resolved

255 implicitly. The first row of Figure 2 shows the residual, Eulerian, and eddy-induced
256 MOC in the isopycnal coordinate system during 1949–2007 in the Southern Ocean. The
257 positive upper cell and the negative lower cell are presented clearly in the residual MOC
258 (Fig. 2a), and are located from 35°S to 55°S near the surface of 36.45 kg m⁻³ and from
259 35°S to 75°S near the surface of 36.90 kg m⁻³, respectively. This structure is in line
260 with the theoretical pattern in the isopycnal coordinate system (Farneti et al., 2015).
261 The eddy-induced MOC shows the opposite direction to the Eulerian MOC,
262 compensating for the Eulerian MOC and leading to a weaker clockwise residual MOC
263 in the upper cell, which is consistent with previous studies (e.g., Hallberg and
264 Ganadesikan, 2006; Meredith et al., 2012; Paulsen et al., 2018).

265

266 The MOC during 1960–1969 and 1998–2007 is presented in the second and third rows
267 of Figure 2 to evaluate the response of the MOC to enhanced westerlies. The clockwise
268 residual MOC during 1998–2007 (Fig. 2g) has an average strength of 2.77 Sv from 34.0
269 to 36.85 kg m⁻³ and from 40°S to 60°S, whereas it is 1.05 Sv during 1960–1969 (Fig.
270 2d), indicating a 1.72 Sv increase of the clockwise residual MOC from 1960–1969 to
271 1998–2007. To quantify the response, we define the mean value in the area from 34.0
272 to 36.85 kg m⁻³ and from 40°S to 60°S as an index to measure the strength of the upper
273 cell, which contains the majority of the clockwise MOC during the whole period. There
274 is a 3.83 Sv increase of the strength of the residual MOC from 1960–1969 to 1998–
275 2007 (Fig. 2j and Table. 2), which is an increase of 69% compared with 1960–1969.
276 For the Eulerian MOC in LICOMH, its enhancement is larger than that of the residual
277 MOC, with a strength of 5.77 Sv (Fig. 2k and Table. 2), increasing by 258% compared
278 with 1960–1969. The ratio of 258% is not in line with the ratio of the enhanced
279 westerlies, which may be caused by the changing density slope. In addition, the
280 definition of the MOC strength also affects the magnitude of the ratio. Hence, there are
281 two types of eddy compensation. One considers only the spatial compensation in terms
282 of the MOC, and the other considers that the response of the eddy compensation also
283 shows an intensification, which compensates for the enhancement of the Eulerian MOC.

284

285 The difference between the response of the residual MOC and the response of the
286 Eulerian MOC is due to the compensation of the eddy-induced MOC, as Figure 2 shows
287 that the direction of the eddy-induced MOC (Fig. 2c, 2f, and 2i) is opposite to that of
288 the Eulerian MOC (Fig. 2b, 2e, and 2h) in the region of the upper cell. Furthermore, the
289 eddy-induced MOC exhibits enhancement with increasing time between 40°S and 60°S
290 (Fig. 2l), which is -5.89 Sv during 1960–1969 and -6.80 Sv during 1998–2007. The
291 strength of the intensified eddy-induced MOC (referred to as the eddy compensation
292 response) is 0.91 Sv, which makes up to 15.4% of the eddy-induced MOC during 1960–
293 1969. This ratio is smaller than that of the intensified westerlies (23.6%), which may
294 be caused by the varying potential vorticity across the Southern Ocean (Meredith et al.,
295 2012). Therefore, the eddy compensation in the upper cell from LICOMH has an
296 increase of 15.4% from 1960–1969 to 1998–2007.

297

298 **3.3 Responses in the coarse-resolution experiments**

299 To investigate the performance of the parameterized eddy in the coarse-resolution
300 experiments, we examine five coarse-resolution ocean model experiments with
301 different κ , that is schemes K500, K1000, FMH3D, FMH4D, and EG (Fig. 3d, 3h, 3l,
302 3p, and 3t). As shown in Figure 3, the climatological mean eddy-induced MOC (the
303 third column) of all five experiments shows anticlockwise circulations during 1948–
304 2009, which is opposite to the Eulerian MOC (the second column). In terms of the κ
305 value, it is expected that a change in value from $500 \text{ m}^2 \text{ s}^{-1}$ to $1000 \text{ m}^2 \text{ s}^{-1}$ leads to
306 stronger eddy-induced MOC (Fig. 3c and 3g) and Eulerian MOC (Fig. 3b and 3f). For
307 the eddy transfer coefficients with spatial and temporal variation in the other three
308 experiments (Fig. 3i, 3p, and 3t), the patterns of the residual MOC only change slightly.
309 The slight change in the residual MOC is the result of the compensation between the
310 Eulerian MOC and the eddy-induced MOC, which are sensitive to the κ scheme.
311 Although the climatological residual MOC barely shows sensitivity to the κ scheme,
312 the dependence of the eddy-induced MOC and the Eulerian MOC on the κ scheme may
313 have huge impacts in terms of the climate response.

314

315 Figure 4 shows the responses of the residual, Eulerian, and eddy-induced MOC between
316 1960–1969 and 1998–2007, in which there is an approximately 25.2% enhancement of
317 westerlies in the Southern Ocean. From the first column of Figure 4, it can be seen that
318 there are obvious differences among the responses of the residual MOC in the five
319 experiments with different κ schemes. Furthermore, the changes in the residual MOC
320 in all five experiments (first column in Fig. 4) are smaller than that of the Eulerian MOC
321 (second column in Fig. 4), which is caused by the compensation of the enhanced
322 anticlockwise eddy-induced MOC (third column in Fig. 4). Thus, the eddy
323 compensation can be reflected by the parameterized eddy transport regardless of the κ
324 scheme. However, compared with the other four experiments, FMH4D has the most
325 extensive enhancement and region of the anticlockwise eddy-induced MOC (third
326 column in Fig. 4), which leads to a smaller difference in the residual MOC among the
327 five experiments. The Eulerian MOC also shows sensitivity to κ despite the same
328 change in wind stress, which may be caused by the secondary effects of κ , such as the
329 mixing and the meridional density gradient. The choice of κ scheme shows the crucial
330 role of κ in simulating the response of the MOC.

331

332 To quantify the difference among the five experiments, we also use the defined index
333 to measure the strength of the upper cell, which is the average value of the MOC
334 between 34.0 and 36.85 kg m⁻³ and from 40°S to 60°S. The changes in the residual,
335 Eulerian, and eddy-induced MOC between 1960–1969 and 1998–2007 are listed in
336 Table 2. The enhanced eddy compensation for LICOMH is 0.91 Sv, which is about 15.4%
337 of the eddy-induced MOC during 1960–1969. For the coarse-resolution experiments,
338 the FMH4D and EG experiments have a relatively larger eddy compensation of 0.59
339 Sv and 0.42 Sv, respectively, and relative changes of about 14.5% and 15.3%, which
340 are closer to LICOMH. For the K500, K1000, and FMH3D experiments, the enhanced
341 eddy-induced MOC is smaller, which is 0.26 Sv, 0.38 Sv, and 0.40 Sv, respectively,
342 with relative values of about 10%. This result indicates that the spatiotemporal variance
343 of κ is crucial to the eddy compensation regardless of the κ scheme. In addition, the
344 contrast between FMH3D and FMH4D indicates that the spatially varying κ is not

345 sufficient to simulate the full compensation effect. Besides, the comparison between
 346 K500 and K1000 suggests that a larger value of κ leads to stronger eddy compensation.

347

348 Although the temporal eddy compensation is simulated in all five experiments, the
 349 absolute values of the eddy-induced response of the MOC are all smaller than that of
 350 LICOMH. FMH4D has the largest eddy compensation of -0.59 Sv, which accounts for
 351 65% of LICOMH, whereas K500 only makes up 29% of LICOMH. Therefore, the
 352 parameterized eddy can simulate only partial eddy compensation from the eddy-
 353 resolving model. There is still at least 35% eddy compensation that cannot be simulated
 354 by the coarse-resolution experiments.

355

356 **Table 2** The differences in the strength for the residual, Eulerian, and eddy-induced
 357 MOC between 1960–1969 and 1998–2007 for the high-resolution and five coarse-
 358 resolution experiments. The ratio of the eddy-induced response of the MOC to the eddy-
 359 induced MOC during 1960–1969 is also listed.

Experiments	Residual	Eulerian	Eddy	Ratio
LICOMH	3.83	5.77	-0.91	15.4%
K500	2.01	2.26	-0.26	10.3%
K1000	2.31	2.69	-0.38	9.4%
FMH3D	2.31	2.71	-0.40	9.0%
FMH4D	2.39	2.98	-0.59	14.3%
EG	3.39	3.81	-0.42	15.3%

360 Note: The strength is the mean value from 34.0 to 36.85 kg m^{-3} and from 40°S to 60°S
 361 (Unit: Sv).

362

363 On the basis of the comparison above, we found that the eddy compensation has a
 364 crucial role in the response of the MOC to the surface wind. Only 65% of the eddy
 365 compensation from the reference eddy-resolving experiment can be simulated by the

366 parameterized eddy in the coarse-resolution experiments. The spatiotemporal variation
 367 of the buoyancy-dependent κ , especially the temporal variation of κ , is shown to be the
 368 more crucial factor in simulating the eddy compensation in the coarse-resolution
 369 models. However, how the spatiotemporal variation of the eddy transfer coefficient
 370 affects the eddy compensation and why the temporal variation is more important still
 371 needs further analysis.

372

373 **3.4 Influence on the eddy compensation**

374 As shown above, we find that the eddy compensation from the FMH4D and EG
 375 experiments is closer to the high-resolution result, whereas the other experiments show
 376 weaker eddy compensation. In this section, we further analyze why the eddy transfer
 377 coefficients from the FMH4D and EG experiments lead to stronger eddy-induced MOC
 378 enhancement.

379

380 **3.4.1 The attribution of the eddy-induced MOC**

381 The eddy-induced velocity in LICOML is parameterized following Gent and
 382 McWilliams (1990).

$$383 \quad u^* = \left(\kappa \frac{\rho_x}{\rho_z}\right)_z = (\kappa Slope_x)_z \quad (7)$$

$$384 \quad v^* = \left(\kappa \frac{\rho_y}{\rho_z}\right)_z = (\kappa Slope_y)_z \quad (8)$$

385 where u^* and v^* are the zonal and meridional eddy-induced velocity, respectively; κ is
 386 the eddy transfer coefficient; and ρ_x , ρ_y , and ρ_z are the partial differential of density in
 387 the zonal, meridional, and vertical directions, respectively. Therefore, ρ_x/ρ_z and ρ_y/ρ_z
 388 represent the zonal and meridional density slope, represented as $Slope_x$ and $Slope_y$.

389

390 If κ has vertical variation, the velocity can be decomposed into two terms. The
 391 meridional bolus velocity can be presented as:

$$392 \quad v^* = (\kappa Slope_y)_z = \kappa(Slope_y)_z + Slope_y\kappa_z \quad (9)$$

393 where the two terms on the right-hand side represent the impact of κ spatial structure
 394 (called SS hereafter) and the impact of the vertical variation of κ (called VV hereafter).

395 The VV term is the newly introduced term owing to the vertical variation of κ , which
 396 will vanish in the constant scheme of κ . The terms for the five schemes used in this
 397 study are listed in Table 3.

398

399 **Table 3** The components of the SS and VV terms among the five experiments, and the
 400 components of their response.

	SS	VV	Δ SS	Δ VV
K500	$\kappa(\text{Slope}_y)_z$	-	$(\text{Slope}_y)'_z \kappa$	-
K1000	$\kappa(\text{Slope}_y)_z$	-	$(\text{Slope}_y)'_z \kappa$	-
FMH3D	$\kappa(\text{Slope}_y)_z$	$\kappa_z \text{Slope}_y$	$(\text{Slope}_y)'_z \kappa$	$(\text{Slope}_y)' \kappa_z$
FMH4D	$\kappa(\text{Slope}_y)_z$	$\kappa_z \text{Slope}_y$	$+(\text{Slope}_y)_z \kappa'$	$+(\text{Slope}_y) \kappa'_z$
			$+(\text{Slope}_y)'_z \kappa'$	$+(\text{Slope}_y)' \kappa'_z$
EG	$\kappa(\text{Slope}_y)_z$	$\kappa_z \text{Slope}_y$	$+(\text{Slope}_y)_z \kappa'$	$+(\text{Slope}_y) \kappa'_z$
			$+(\text{Slope}_y)'_z \kappa'$	$+(\text{Slope}_y)' \kappa'_z$

401

402 Figure 5 shows the eddy-induced MOC due to the SS term among the five experiments
 403 during 1960–1969 and the changes between 1960–1969 and 1998–2007. The
 404 calculation is same as equation (5) but based on the SS-induced velocity. The SS-
 405 induced MOC from the experiments with a constant scheme (K500 and K1000) is the
 406 whole eddy-induced MOC, which is a closed circulation (Fig. 5a and 5b). However, for
 407 the experiments with spatially varying κ , the values of the SS-induced MOC and their
 408 changes are all negative, which contributes to the eddy compensation. On the basis of
 409 the comparison among the five experiments in Figure 5, it is clear that the spatially
 410 varying κ leads to a stronger SS term than in the constant schemes. In addition, the
 411 temporal variation of κ leads to a stronger response of the eddy compensation
 412 compared with the spatially varying κ . The MOC strength for the two constant scheme
 413 experiments is around 10 Sv (Fig. 5a and 5b), whereas it is larger than 20 Sv for the
 414 FMH3D, FMH4D, and EG experiments (Fig. 5c–5e). Compared with the FMH3D

415 scheme, the FMH4D and EG schemes have larger responses, which are larger than 4
416 Sv between 50°S and 55°S (Fig. 5i and 5j).

417

418 Furthermore, the SS-induced MOC for the five schemes also has a different spatial
419 structure. For the constant scheme, the centers of the MOC are located around 55°S and
420 the surface of 36.89 kg m^{-3} with a maximum magnitude of 10 Sv for K500 and 18 Sv
421 for K1000 (Fig. 5a and 5b), whereas the larger than 18 Sv center of the MOC can be
422 found around 40°–60°S and $36.43\text{--}36.89 \text{ kg m}^{-3}$ for the FMH experiment (Fig. 5c and
423 5d) and south of 40°S and $36.05\text{--}36.89 \text{ kg m}^{-3}$ for the EG experiment. In general, their
424 responses occur between 50°S and 55°S (Fig. 5f and 5g), which are also the latitudes
425 of the large wind-stress changes. These differences in the structure of the SS-induced
426 response of the MOC are reflected in the response of the eddy-induced MOC (Fig. 4c,
427 4g, 4k, 4o, and 4s).

428

429 Figure 6 shows the VV-induced MOC during 1960–1969 for experiments with spatially
430 varying schemes and their responses to enhanced westerlies. If we compare the VV
431 term with the SS term (Fig. 5), we find that the VV term and its response is always
432 positive or a clockwise MOC, which compensates for the SS term and leads to a closed
433 circulation. As the VV-induced MOC is opposite to the SS-induced MOC and the eddy-
434 induced MOC, it is the SS term that dominates the eddy-induced MOC. The changes in
435 the VV-induced MOC are all about 3 Sv, which is less than the changes in the SS-
436 induced MOCS, which is about 4 Sv. Therefore, the total responses of the eddy-induced
437 MOC are all approximately 1 Sv or less. The contrast between the SS- and the VV-
438 induced MOC indicates that the eddy compensations for the FMH4D and EG
439 experiments come from the enhanced SS-induced MOC, rather than the introduced VV-
440 induced MOC derived from the vertical variation of κ .

441

442 **3.4.2 The attribution of the response**

443 The decomposition of the eddy-induced MOC has shown that the SS-induced MOC is
444 the leading factor for the eddy compensation. Furthermore, to investigate the attribution

445 of the SS-induced MOC, Figure 7 shows the components of the SS-induced MOC,
 446 which is the SS-induced velocity (V_{ss}) and the thickness of the density layers (hh). The
 447 hh among the five experiments is similar, whereas V_{ss} shows a significant difference,
 448 which implies that the difference in the SS-induced MOC among the different
 449 experiments comes from V_{ss} . Therefore, it is reasonable to evaluate the attribution of
 450 the response of the SS-induced MOC through the SS-induced velocity.

451

452 On the basis of the decomposition of the eddy-induced velocity, the response of the SS
 453 term can also be decomposed as follows:

$$\begin{aligned}
 454 \quad \Delta V'_{SS} &= V_{SS}(1998-2007) - V_{SS}(1960-1969) \\
 455 \quad &= (\kappa + \kappa') [(Slope_y)_z + (Slope_y)'_z] - \kappa(Slope_y)_z \\
 456 \quad &= (Slope_y)'_z \kappa + (Slope_y)_z \kappa' + (Slope_y)'_z \kappa' \quad (10)
 \end{aligned}$$

457 where $\Delta V'_{SS}$ represents the difference between the 1998–2007 average and the 1960–
 458 1969 average SS-eddy-induced velocity. κ is the eddy transfer coefficient during 1960–
 459 1969 and κ' is the difference in κ between 1998–2007 and 1960–1969. $(Slope_y)_z$ is
 460 the vertical partial derivative of the meridional density slope during 1960–1969 and
 461 $(Slope_y)'_z$ is the difference of $(Slope_y)_z$ between 1998–2007 and 1960–1969. The
 462 components of the decomposition from all five experiments are listed in Table 3. Even
 463 though the spatial and temporal variation of κ show a vital role in the SS-induced MOC,
 464 it is not clear whether the better simulations of the response of the eddy-induced MOC
 465 for the FMH4D and EG experiments come from κ itself or the density slope, which are
 466 two significant components of the eddy-induced velocity.

467

468 Figure 8 shows the responses of the SS-induced velocity and its components from the
 469 FMH4D and EG experiments. When comparing the response of SS-induced velocity
 470 ($\Delta V'_{SS}$) and its three components, the value and pattern of $(Slope_y)'_z \kappa$ is almost the
 471 same as $\Delta V'_{SS}$ in both experiments. The spatial correlations between $(Slope_y)'_z \kappa$ and
 472 $\Delta V'_{SS}$ in FMH4D and EG are 0.98 and 0.96, respectively, and the ratio of $(Slope_y)'_z \kappa$
 473 to $\Delta V'_{SS}$ averaged over the whole region can reach 0.83 and 1.31, respectively. The other
 474 two components $(Slope_y)_z \kappa'$ and $(Slope_y)'_z \kappa'_z$ show much smaller spatial

475 correlations and ratios in both experiments. Thus, the dominant component of $\Delta V'_{SS}$ is
476 $(Slope_y)'_z \kappa$, which represent the response of the density slope. This also means the
477 SS-induced response in the experiments with spatiotemporal κ mainly comes from the
478 response of the density slope rather than the response of the eddy transfer coefficient.

479

480 In summary, the SS-induced circulation from the FMH4D and EG experiments, leading
481 to stronger eddy compensation, can be traced back to the response of the density slope
482 from the two experiments.

483

484 **3.5 The influence on the density slope**

485 As analyzed in section 3.4.2, the response of the density slope is the dominant factor
486 leading to the SS-induced response, strengthening the eddy compensation in the
487 FMH4D and EG experiments. This implies that the better simulations of the response
488 of the Southern Ocean MOC to the enhanced westerlies in FMH4D and EG do not come
489 from the spatiotemporally varying κ directly but from the vertical variation of the
490 density slope, which is the secondary impact of κ .

491

492 Figure 9 shows the meridional density slope, the vertical variation of the meridional
493 density slope during 1960–1969, and their responses from 1960–1969 to 1998–2007
494 among the five experiments. For the impact of the value of κ , a larger value leads to a
495 smaller meridional density slope (comparing K1000 with K500, Fig. 9a and Fig. 9b).
496 Furthermore, the value of κ has the same impact on the response of the density slope
497 (Fig. 9f and 9g), the vertical variation of the density slope (Fig. 9k and 9l), and its
498 response (Fig. 9p and 9q).

499

500 In experiments with constant κ , the response of the eddy-induced MOC has only two
501 components, which are κ and the vertical variation of the density slope (Table. 3). With
502 a smaller vertical variation of the density slope, K1000 still has stronger eddy
503 compensation than K500 (Table 2), which is caused by its larger value of κ . Thus, the
504 dominant factor in simulating the eddy compensation response among constant

505 schemes is the value of κ itself, rather than its secondary effect.

506

507 Comparing FMH4D with FMH3D, the additional temporal variation of κ leads to a
508 stronger enhancement of the density slope and its vertical variation. In addition,
509 FMH4D has a stronger eddy compensation response than FMH3D (Table 2), which
510 confirms that the temporal variation of the density slope's vertical variation is the major
511 factor for the eddy compensation response from experiments with spatially varying κ .
512 The EG experiment shows a larger response of the density slope's vertical variation
513 than FMH4D, even though the eddy compensation from EG is weaker than that from
514 FMH4D (Table 2). The reason for this is that the larger response of the density slope's
515 vertical variation not only causes the stronger response of the SS-induced velocity in
516 EG but also leads to a stronger VV-induced velocity, which counteracts the effect of the
517 SS term. Thus, in experiments with spatially varying κ , the simulation of the density
518 slope's vertical variation is the key to simulating the eddy compensation precisely.

519

520 **4. Summary and Discussion**

521 In this study, we quantify the influence of five eddy transfer coefficients on the response
522 of the Southern Ocean MOC to intensified westerlies in a non-eddy-resolving ocean
523 model driven by CORE-II forcing. We show that the experiment with a spatially and
524 temporally varying coefficient based on the buoyancy frequency has the closest
525 Southern Ocean MOC response to the eddy-resolving numerical simulation used as a
526 reference. However, the parameterized eddy effect can only simulate 65% of the eddy
527 compensation response in the reference eddy-resolving model.

528

529 The ratios between the response of the eddy-induced MOC and its strength during
530 1960–1969 from the five experiments are calculated to quantify the impact of the eddy
531 transfer coefficient's spatial and temporal variations. We find that the spatial and
532 temporal variability in buoyancy-dependent κ leads to a two-times-stronger eddy
533 compensation response than constant κ (2.3 times more than K500 and 1.6 times more
534 than K1000). Despite the importance of the spatial and temporal variations of κ for

535 simulating the eddy compensation response, the temporal variance of κ is more
536 important than its spatial variance from comparing the eddy compensation response
537 from FMH3D with that from FMH4D. In addition, the contrast between FMH4D and
538 EG implies a more dominant role of the buoyancy feature of κ . This is probably because
539 the buoyancy structure can reflect the feature of the density slope, as the density slope's
540 vertical variation is the key factor to simulate the eddy compensation in experiments
541 with spatiotemporal κ .

542

543 A full decomposition of the response of the eddy-induced MOC from the experiments
544 with spatiotemporal κ indicates that the enhanced eddy compensation response is
545 primarily attributed to the response of the density slope, which is a secondary factor
546 induced by κ . However, for constant schemes, the coefficient itself is the dominant
547 factor to simulate the eddy compensation response precisely. Thus, the introduction of
548 the spatiotemporal variation of κ changes the mechanism of its impact on the Southern
549 Ocean MOC. Future work to improve the simulation of the eddy compensation can
550 focus on the improvement of the simulation of the density slope rather than the eddy
551 transfer coefficient.

552

553 The present results show that stratification-based and scale-based schemes that allow
554 for spatial and temporal variability improve the simulation of the Southern Ocean
555 circulation's response to climate change by affecting the simulation of the density slope,
556 which represents the baroclinic instability and the energy source of mesoscale eddies.
557 However, the strength of the residual MOC and the Eulerian MOC shows that there is
558 still a huge difference between LICOMH and the five coarse-resolution experiments.
559 This may be caused by the different buoyancy flux between LICOMH and LICOML,
560 as the sea ice is coupled in LICOML. Furthermore, even though the Southern Ocean
561 circulation's response in the FMH4D experiment is close to that of the eddy-resolving
562 ocean model, it cannot be guaranteed that the eddy-resolving model is the truth as
563 different eddy-resolving models show different results (Bishop et al., 2016; Poulsen et
564 al., 2018). Therefore, it will be meaningful to evaluate the eddy compensation in

565 different eddy-resolving models, to gain a more realistic reference for the coarse-
566 resolution climate ocean model.

567

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576

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739

740 **Figure Captions**

741 **Figure 1** (a) The black line is the 12-month running mean zonal wind stress averaged
742 in the Southern Ocean (40°S–60°S and 0–360°E) from LICOML. The thick black line
743 is the linear trend of the monthly series. (b) The differences of the zonal wind stress
744 from LICOML between periods of 1998–2007 and 1960–1969, and the zonally
745 averaged values. The red solid and blue dashed lines are for 1960–1969 and 1998–2007,
746 respectively.

747

748 **Figure 2** The first column is the MOC of residual currents for the periods of (a) 1949–
749 2007, (d) 1960–1969, (g) 1998–2007, and (j) the difference between 1998–2007 and
750 1960–1969 for LICOMH in the isopycnal coordinate. The black curves represent the
751 zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the isopycnal
752 coordinate. The second and third columns are the same as the first column, but for the
753 Eulerian MOC and the eddy-induced MOC, respectively. (Unit: Sv)

754

755 **Figure 3** The top panel is the (a) the residual MOC, (b) the Eulerian MOC, (c) the eddy-
756 induced MOC and (d) the eddy transfer coefficient (κ) for the K500 experiment during
757 1948–2009. The second to the bottom rows are for the K1000, FMH3D, FMH4D, and
758 EG experiments, respectively. The gray lines represent the zonally averaged isobaths
759 (200, 400, 1000, 1500, 2000, and 3000 m) in the isopycnal coordinate system. (Unit:
760 Sv)

761

762 **Figure 4** The first row is the difference of the (a) the residual MOC, (b) the Eulerian
763 MOC, (c) the eddy-induced MOC, and (d) the eddy transfer coefficient ($\Delta\kappa$) between
764 1960–1969 and 1998–2007 for the K500 experiment. The gray lines represent the
765 zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the isopycnal
766 coordinate system. The second to the bottom rows are for K1000, FMH3D, FMH4D,
767 and EG experiments, respectively. (Unit: Sv)

768

769 **Figure 5** The left column is the SS-induced MOC during 1960–1969 for (a) K500, (b)
770 K1000, (c) FMH3D, (d) FMH4D, and (e) EG. The right column is the difference in the
771 SS-induced MOC between 1960–1969 and 1998–2007 for the five experiments. The
772 gray lines in the left column are the zonally averaged isobaths (200, 400, 1000, 1500,
773 2000, and 3000 m) in the isopycnal coordinate system. (Unit: Sv)

774

775 **Figure 6.** The left column is the VV-induced MOC during 1960–1969 for (a) FMH3D,
776 (b) FMH4D, and (c) EG. The right column is the difference in the VV-induced MOC
777 between 1960–1969 and 1998–2007 for the three experiments. The gray lines in the left
778 column are the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in
779 the isopycnal coordinate system. (Unit: Sv)

780

781 **Figure 7.** The left panels from top to bottom are the zonally integrated SS-induced
782 velocities (m s^{-1}) during 1960–1969 for (a) K500, (b) K1000, (c) FMH3D, (d) FMH4D,
783 and (e) EG. The right panels are the zonally integrated thicknesses of the density layers
784 (m) during 1960–1969 for the five experiments.

785

786 **Figure 8.** The left column is (a) the difference of the SS term induced velocity ($\Delta V'_{SS}$)
787 between 1960–1969 and 1998–2007 for the FMH4D experiment and its three
788 components (b) $(Slope_y)'_z \kappa$, (c) $(Slope_y)_z \kappa'$ and (d) $(Slope_y)' \kappa'_z$. The right
789 column is the same as the left column, but for the EG experiment. (Unit: $10^{-2} \text{ cm s}^{-1}$)

790

791 **Figure 9** The zonal-averaged meridional density slope for (a) K500, (b) K1000, (c)
792 FMH3D, (d) FMH4D, and (e) EG during 1960–1969. The difference in the zonal-
793 averaged meridional density slopes between 1960–1969 and 1998–2007 for (f) K500,
794 (g) K1000, (h) FMH3D, (i) FMH4D, and (j) EG. The zonal-averaged vertical variation
795 of the meridional density slope for (k) K500, (l) K1000, (m) FMH3D, (n) FMH4D, and
796 (o) EG. The difference in the zonal-averaged vertical variation of the meridional density
797 slope between 1960–1969 and 1998–2007 for (p) K500, (q) K1000, (r) FMH3D, (s)
798 FMH4D, and (t) EG.

799

800 **Figure S1.** (a) The black line is the 12-month running mean zonal wind stress averaged
801 in the Southern Ocean (40°S–60°S and 0–360°E) from LICOML. The thick black line
802 is the linear trend of the monthly series. The red line and the thick red line are same as
803 the black line and the thick black line but for LICOMH.
804

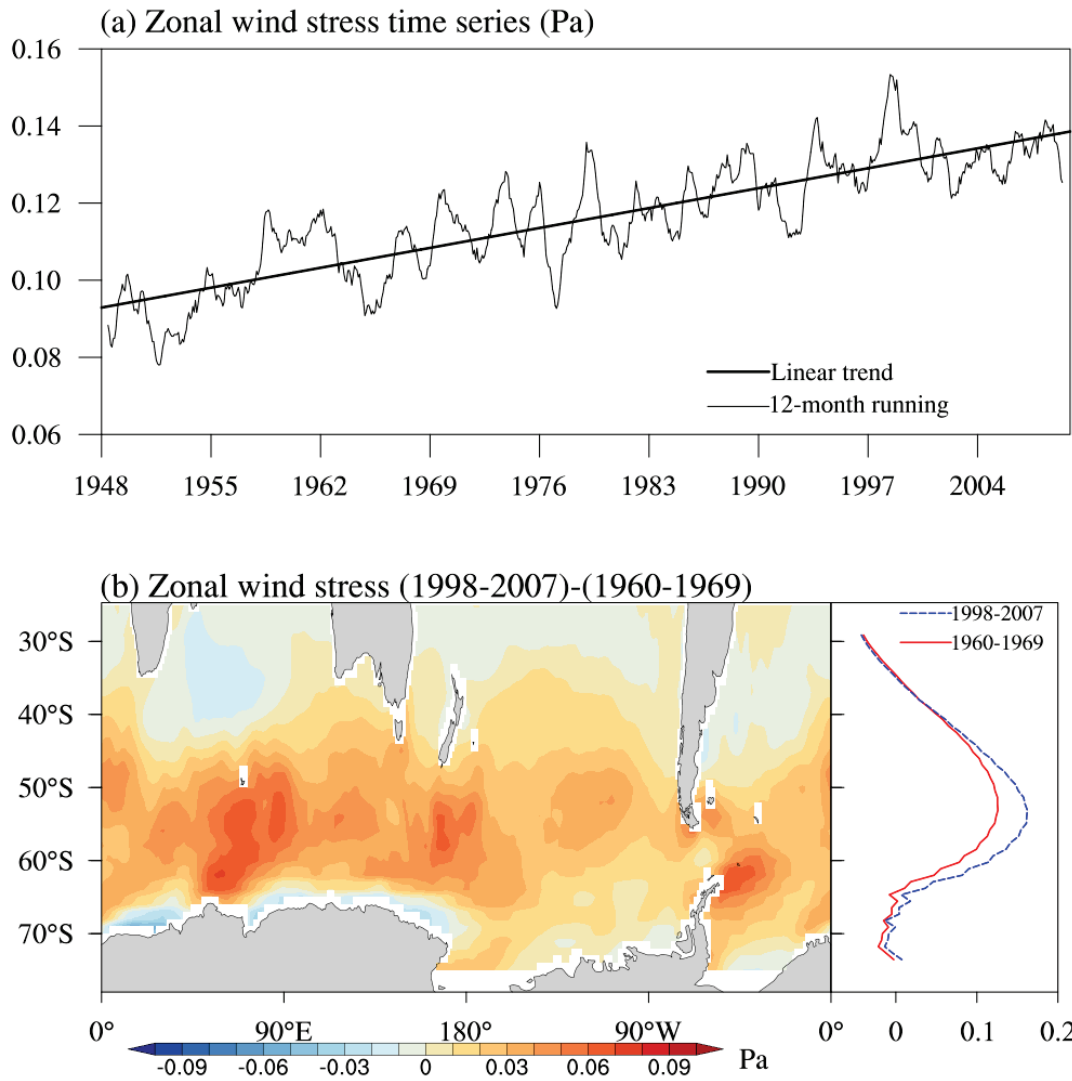
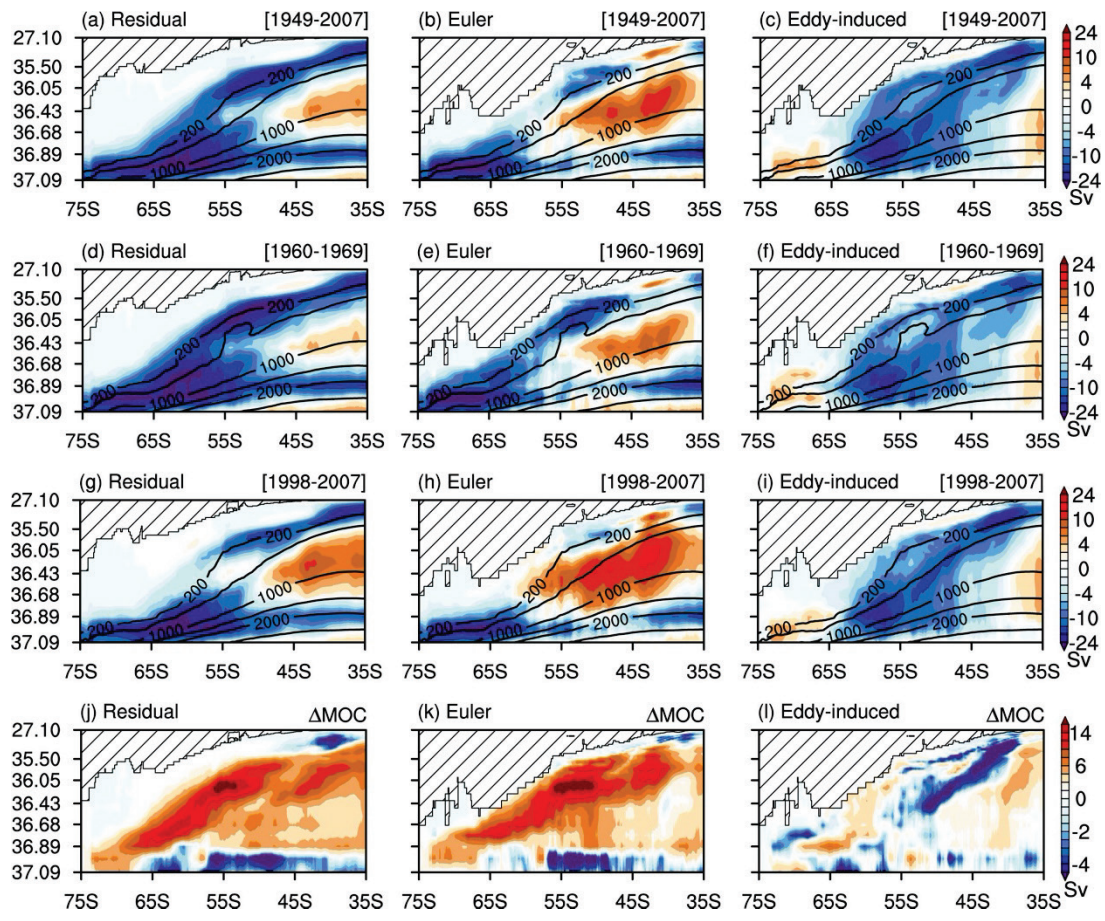


Figure 1

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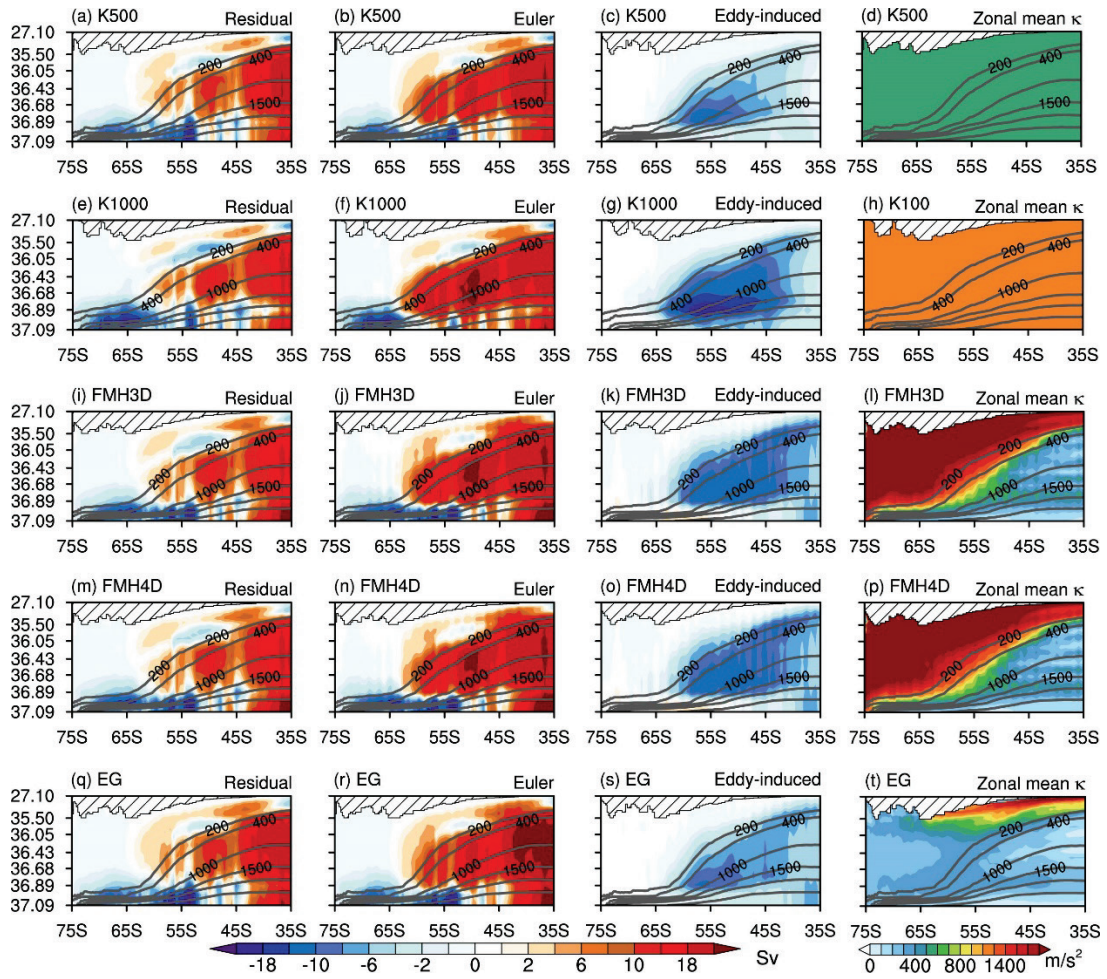
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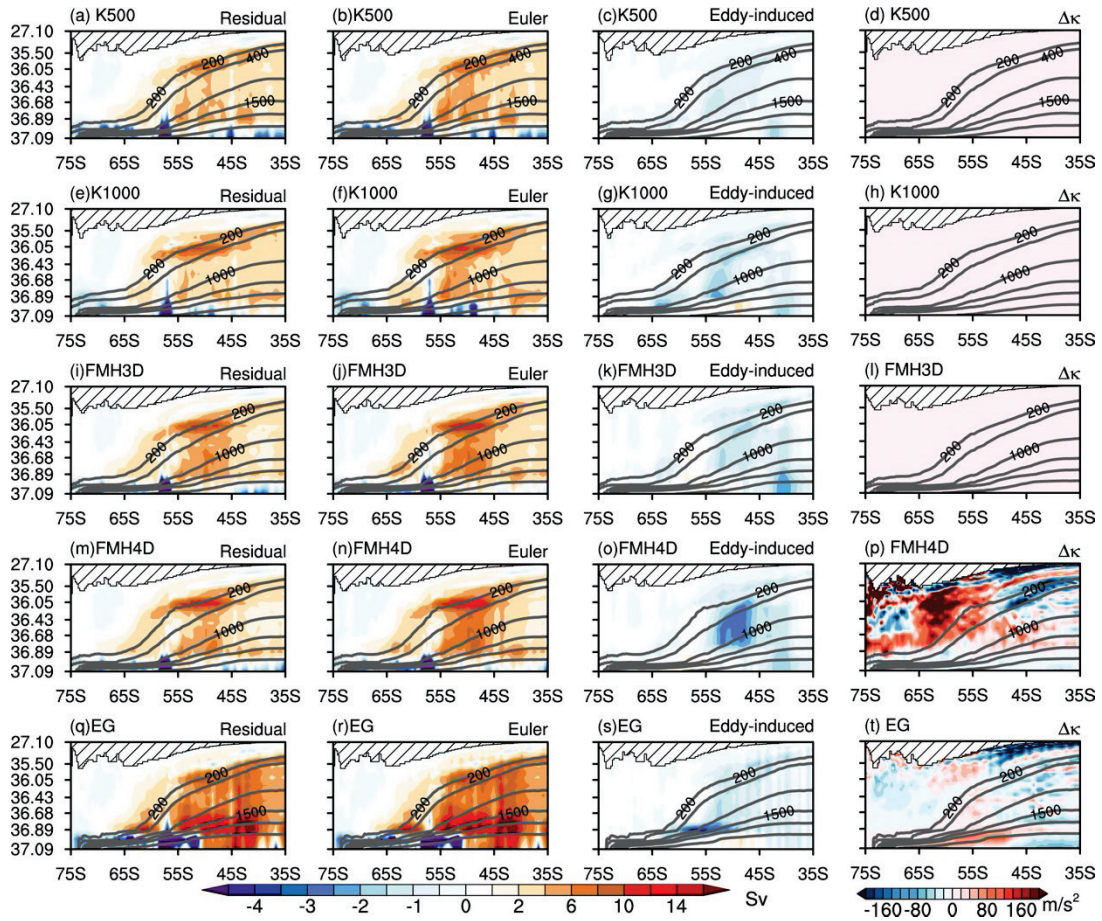
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Figure 3

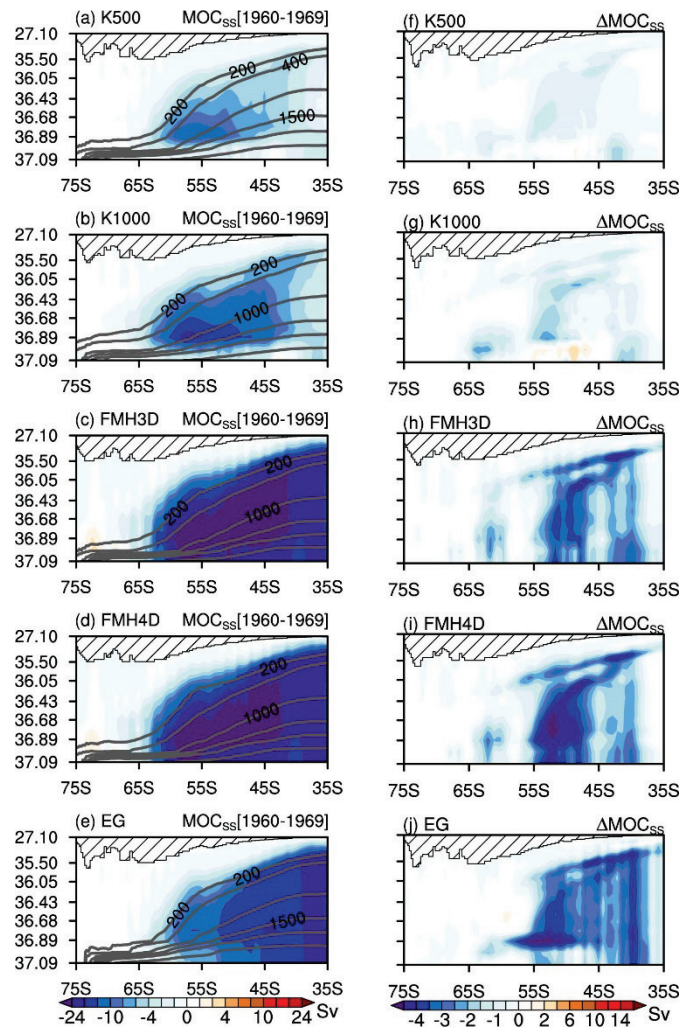
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Figure 4

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Figure 5

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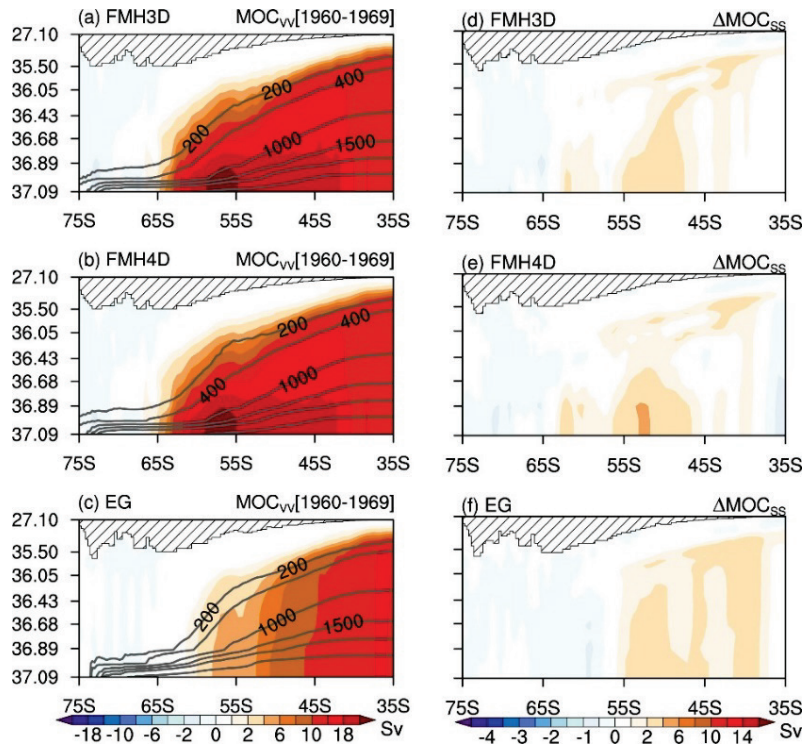


Figure 6

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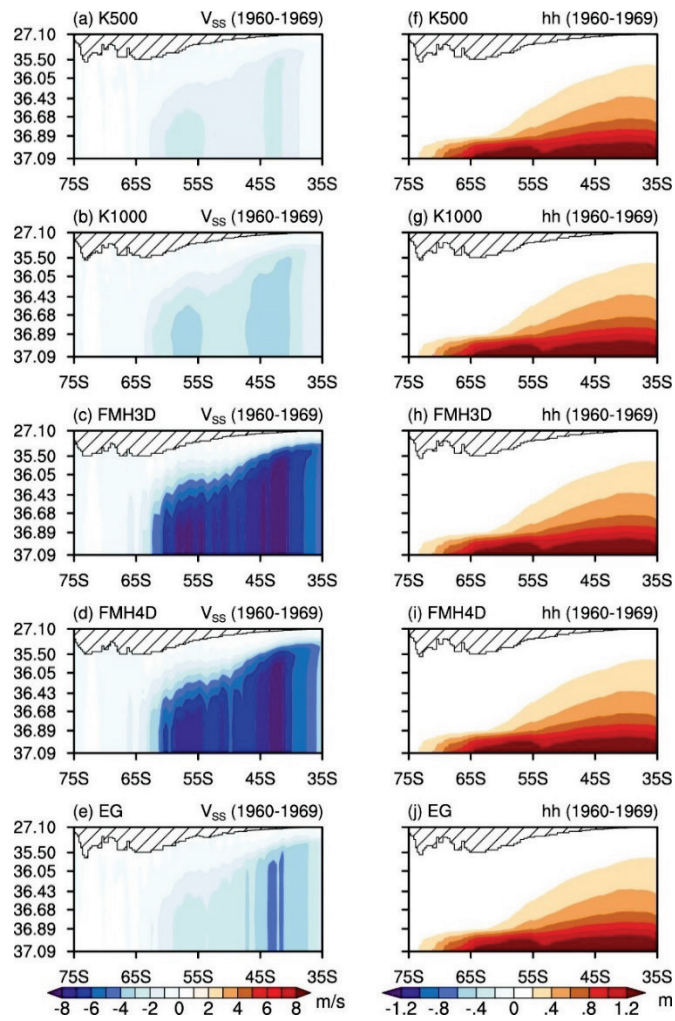


Figure 7

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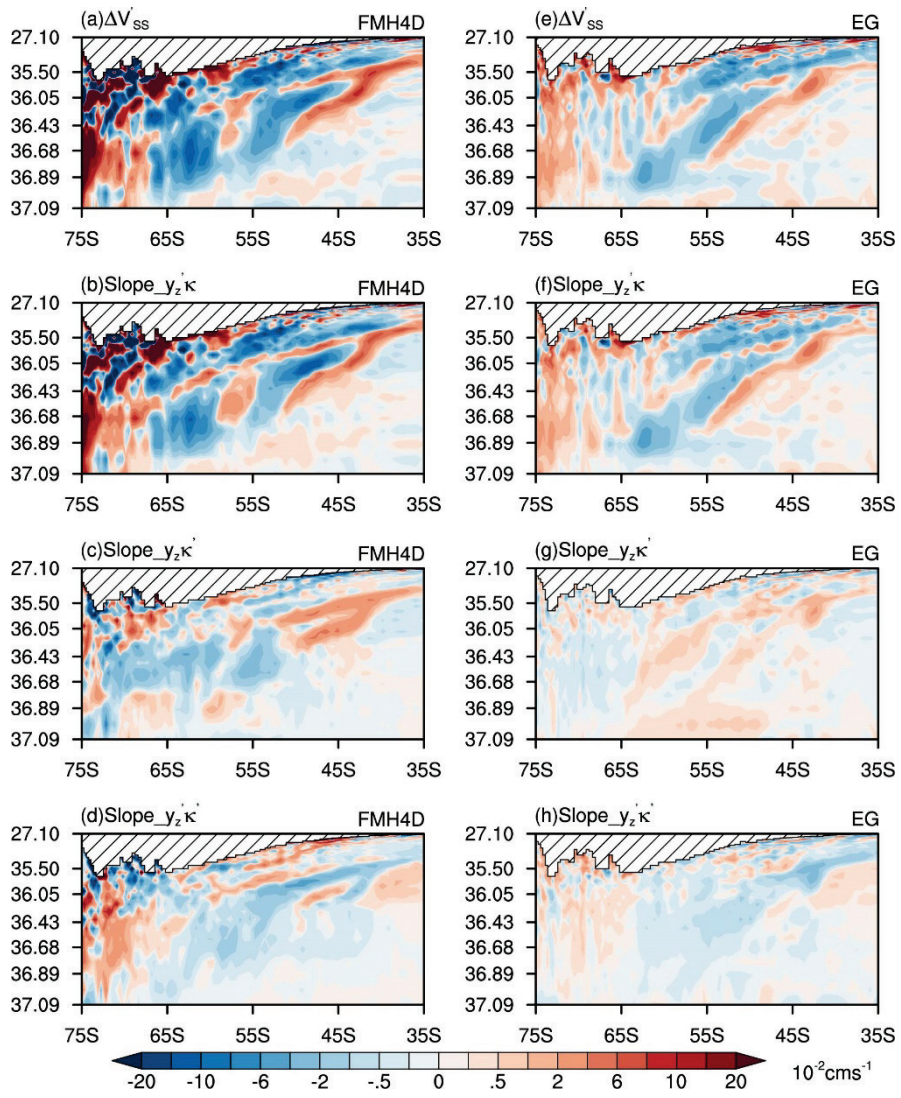


Figure 8

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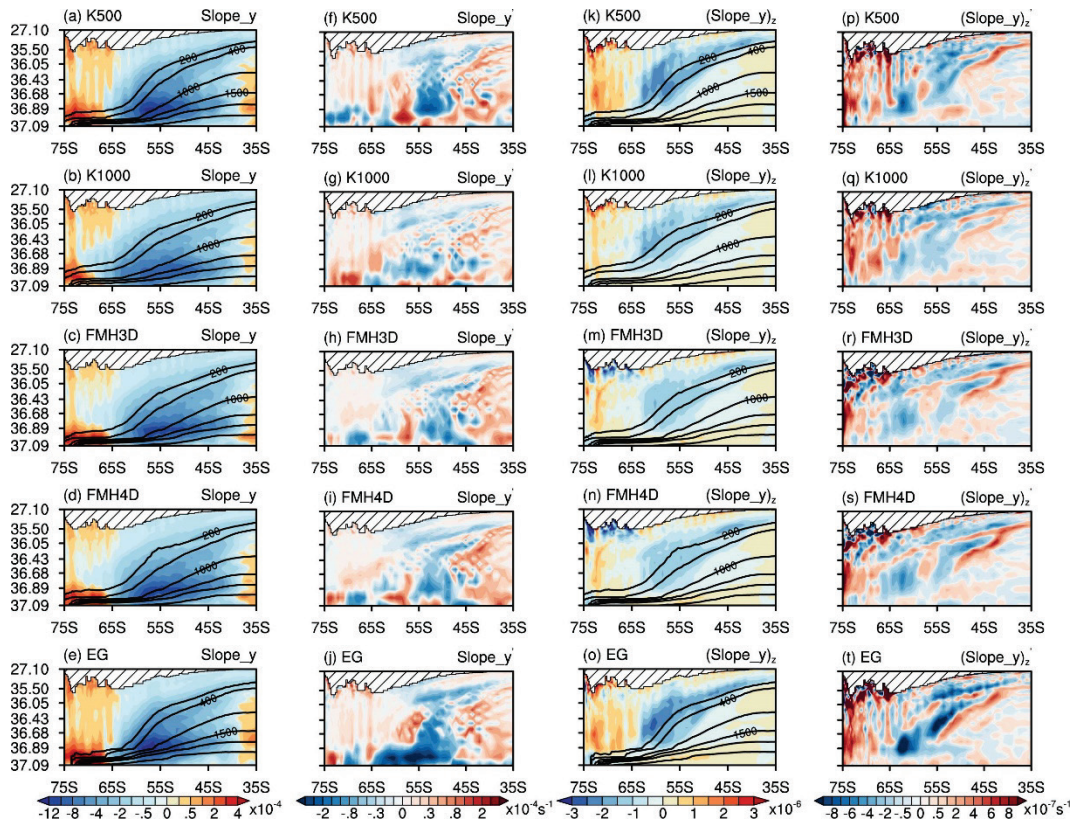
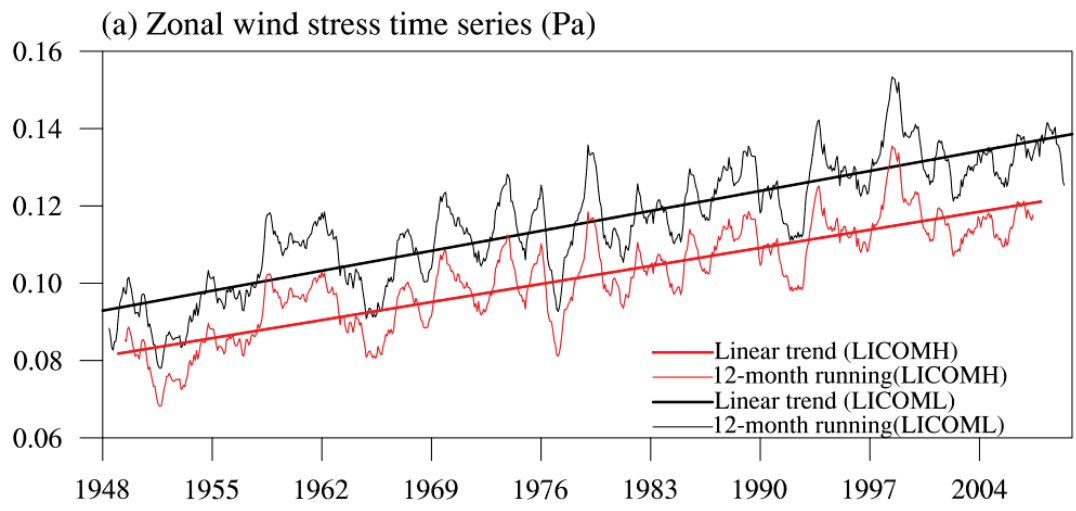


Figure 9

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Figure S1.