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Comparison of HYCOM and POP Ocean Models in the CCSM3.0 Framework

Part II: ENSO Fidelity

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Abstract

19 This study examines the fidelity of the ENSO simulation in two coupled models, the
20 Community Atmospheric Model version 3.0 coupled to the Hybrid Coordinate Ocean Model
21 (CCSM3/HYCOM) and the Community Climate System Model version 3.0 (CCSM3/POP). This
22 study is unique in the sense that two climate models are compared for their ENSO simulation in
23 a controlled setting wherein the only component that differs between the two is its Ocean
24 General Circulation Model (OGCM). HYCOM2 in CCSM3/HYCOM replaces the Parallel
25 Ocean Program (POP) of the CCSM3.0. In both climate models, the same Atmospheric General
26 Circulation Model (CAM3.0) is used.

27 In comparing 200 year long simulations from both the models with 20th century forcing,
28 it is seen that both produce weaker than observed variance on ENSO timescales, have
29 comparable atmospheric responses to ENSO, and generate oceanic thermocline depth anomaly
30 consistent with the recharge-discharge paradigm. In the CCSM3/HYCOM, however, the
31 equatorial Pacific SST variance on ENSO time-scales does not extend beyond the dateline like
32 its counterpart. Additionally, the erroneously strong biennial variability of the eastern equatorial
33 Pacific SST present in CCSM3/POP is substantially reduced in CCSM3/HYCOM.
34 CCSM3/HYCOM however, damps the equatorial Pacific SST variance significantly compared to
35 either the observations or CCSM3/POP.

36 The comparisons between the model simulations also reveal that the slope of the
37 equatorial Pacific thermocline is weaker in CCSM3/HYCOM with weaker upwelling in the
38 eastern Pacific Ocean compared to CCSM3/POP and ocean analyses.

40 **1. Introduction**

41 The development of the Community Climate System Model (CCSM) has benefited
42 greatly from the community wide effort of comparing the impact on climate simulation of
43 different dynamic cores for advection and parameterizations for sub-grid scale physical
44 processes (Bala et al. 2008), particularly in its atmospheric component (CAM). Similarly we
45 posit that it is also beneficial to increase the diversity of the ocean component in CCSM,
46 especially to understand the model uncertainties in simulating climate variability on interannual
47 and interdecadal time scales. Many of the well known modes of climate variability (e.g. El Niño
48 and the Southern Oscillation [ENSO], Atlantic Multi-decadal Oscillation [AMO], Pacific
49 Decadal Oscillation [PDO]) are coupled air-sea phenomenon. Their proper simulation in CGCMs
50 is therefore essential but nevertheless is currently lacking in the CCSM family of alternative
51 component models.

52 In order to gain some understanding of the biases in coupled climate simulations, we
53 compare in this study the main modes of climate variability in CCSM3 with two different ocean
54 component models: one is the standard depth-coordinate Parallel Ocean Program (POP) and the
55 other, the isopycnal Hybrid Coordinate Ocean Model (HYCOM). For this study, version 2.2 of
56 HYCOM was used in place of POP in the CCSM3 framework to compare the differences in the
57 ENSO simulations. This allows us to investigate in some detail the local and non-local linkages
58 between the representation of model processes and the model biases. In Part I, we show that the
59 simulations of extratropical modes are influenced by the coupled ocean-atmospheric processes in
60 the tropics. The tropical precipitation and associated diabatic heating influences the Northern and
61 Southern annular modes (NAM and SAM), but the simulation of this relationship in
62 CCSM3/POP shows a bias due to the presence of a double-Intertropical Convergence Zone

63 (ITCZ) problem while it is closer to the observations in CCSM3/HYCOM. The Pacific-North-
64 American (PNA) patterns in CCSM3/POP and observations are significantly correlated with El
65 Niño and the Southern Oscillation (ENSO), but there is no correlation in CCSM3/HYCOM. In
66 addition to suggesting that the PNA can be an internal mode in the extratropics, this implies a
67 different representation of ENSO in the two models. It is also suggested in Part I that the
68 different biases in the SST over the Southern Ocean partly originate from the tropics, where
69 ENSO is the dominant mode of coupled variability.

70 In Part II (this paper), we make a comprehensive comparison of the ENSO simulations in
71 the two CCSM3 integrations with 20th century forcing. Far from a routine model inter-
72 comparison study, this work highlights how ENSO characteristics of a climate model are
73 critically dependent on the OGCM. It should be stated clearly here that the only difference
74 between CCSM3/HYCOM and CCSM3/POP is in the different ocean models used by the two
75 coupled systems. The other components of the two climate systems (e.g. atmosphere, land,
76 cryosphere) are all identical. Many of the studies so far have focused on the sensitivity of the
77 ENSO simulation to atmospheric convection and boundary layer processes (Neale et al. 2008).

78 ENSO is not only a dominant mode of coupled variability in the tropics, but also the
79 largest natural variations of the Earth's climate system (Philander 1990). Ever since the TOGA-
80 TAO array was put in place in the equatorial Pacific Ocean, supplemented with other
81 observations such as from satellites and field campaigns (Fairall et al. 1996), our understanding
82 of the ENSO phenomenon has grown. This is reflected partly with the progress made in
83 simulating ENSO in the climate models over the years (Mechoso et al. 1995; AchutaRao and
84 Sperber 2002; Collins et al. 2005; Saha et al. 2006; Neale et al. 2008; Zhang and Wang 2006;
85 Song and Zhang 2009; Guilyardi et al. 2009; Gent et al. 2011; Deser et al. 2012). However the

86 simulation of ENSO and its change under anthropogenic forcing remains as a challenge to the
87 coupled climate models (Collins et al 2010). A closer introspection of the coupled models of the
88 Coupled Model Intercomparison Project 3 (CMIP3) revealed that many of them continued to
89 have significant variations from the observed ENSO features (Joseph and Nigam 2006; Guilyardi
90 et al. 2009). For example, most simulated ENSOs were too periodic, extended too far to the west
91 beyond the dateline, and the power and shape of the Niño 3 SST index spectrum had a lot of
92 variation amongst these models with none conforming to observations. For quite some time
93 these ENSO biases of the models have been tied to the double ITCZ problem (Mechoso et al.
94 1995; Nigam et al. 2000; Misra et al. 2007). The tendency of these models with the double ITCZ
95 feature is to overemphasize the convection just south of the equator, at the expense of under
96 emphasizing convection north of the equator especially in the boreal summer season over the
97 tropical Oceans. In the boreal winter these models tend to have a more zonal South Pacific
98 Convergence Zone without the observed northwest-southeast tilt, which is also quite unrealistic.
99 In the recent years some tangible progress has been attained on this double ITCZ problem and
100 consequent improvement in ENSO simulation primarily by working on the atmospheric
101 convective parameterization schemes (Zhang and Wang 2006; Bacmeister et al. 2006; Song and
102 Zhang 2009; Neale et al. 2008; Misra 2009). For example, Bacmeister et al. (2006) find that
103 inclusion of rain evaporation in the deep convective parameterization scheme helps in
104 ameliorating the double ITCZ problem. Zhang and Wang (2006) show that by modifying the
105 closure of the Zhang and McFarlane (1995) convection scheme, the warm bias in SST under the
106 southern ITCZ and the cold bias in the cold tongue are significantly reduced. Misra (2009)
107 introduced additional stochasticity in the convective parameterization scheme that manifested as
108 a rectification on ENSO time scales in a long term coupled model integration.

109 In all of the above mentioned studies, the sensitivity of the atmospheric physics in the
110 climate models has been examined. There are a small minority of similar studies that have
111 analyzed the sensitivity of the ocean physics on climate model simulations (Guilyardi et al. 1999;
112 Hirst et al. 2000; Raynaud et al. 2000; Meehl et al. 2001). Meehl et al. (2001) showed that by
113 lowering the background vertical diffusivity of the ocean model, larger amplitude of ENSO is
114 realized through sharper equatorial thermocline. Similarly, Raynaud et al. (2000) showed in their
115 modeling study that the spectral characteristics of ENSO are sensitive to lateral ocean mixing.

116 It is found in this study that both simulations (CCSM3/HYCOM and CCSM3/POP)
117 produce weaker than observed variance on ENSO timescales and generate oceanic thermocline
118 depth anomaly (measured by the 20°C isotherm) consistent with the recharge-discharge
119 paradigm. The erroneously strong biennial variability of the eastern equatorial Pacific SST
120 present in CCSM3/POP is substantially reduced in CCSM3/HYCOM. CCSM3/HYCOM,
121 however, damps the equatorial Pacific SST variance significantly compared to either the
122 observations or CCSM3/POP.

123 The organization of PART II is as follows. In section 2, a brief description of the model
124 and details of the climate model integration is presented. This is followed by a description of the
125 validation datasets. Results are presented in section 4 with concluding remarks provided in
126 section 5.

127 **2. Model description and experiment design**

128 The data for CCSM3/POP (Collins et al. 2006) is available from
129 <http://www.earthsystemgrid.org/home.htm>. In this study, the medium resolution configuration
130 with spectral resolution T42 (approximately 2.8 degree resolution) is used for both models. Both

131 coupled simulations used the same present-day (1990) radiative forcing. For both ocean models,
132 the North Pole is displaced over Greenland and the latitudinal resolution is curvilinear with finer
133 resolution at the equator. POP has 40 levels with finer resolution near the surface. The hybrid
134 coordinates in HYCOM2 are configured with 32 layers (Bleck, 2002). The HYCOM2 in
135 CCSM3/HYCOM was spun-up from a state of rest before it was coupled to the AGCM. At the
136 time of this study, 300 years of the CCSM3/HYCOM model integration were complete. We use
137 the output from the last 200 years for the diagnosis. Similarly, we used the last 200 years from
138 CCSM3/POP starting at year 101 of the model simulation. The readers are referred to Lu et al.
139 (2012) for more model details of CCSM3/HYCOM.

140 **3. Validation data**

141 For validation, Extended Reconstruction Sea surface Temperature version 3b (ERSST;
142 Smith et al. 2008), NCEP-NCAR Reanalysis (NCEP-NCAR) (Kalnay et al. 1996), Climate
143 Prediction Center Merged Analysis of Precipitation (CMAP; Xie and Arkin 1997), Global Data
144 Assimilation System (GODAS; Behringer and Xue 2006), and Climate Forecast System (CFS)
145 multi-decadal run with 20th century forcing (Saha et al. 2006) are used as validation datasets. The
146 horizontal resolution is 2° for ERSST, 2.5° for NCEP-NCAR, 2.5° for CMAP, and GODAS has
147 1° longitudinal resolution and 0.5° latitudinal resolution with 10 meter vertical resolution in the
148 upper ocean. ERSST extends from the beginning of the 19th century to the present. This
149 relatively long dataset is useful to capture the robust features of ENSO especially when ENSO
150 has been found to have decadal and longer term changes (Allan et al. 1996; Trenberth and Hoar
151 1996).

152 We use 60 years of precipitation and wind stress values for validation from NCEP-NCAR
153 Reanalysis I available from January 1948 to December 2007. There are, however, studies
154 challenging the quality of the NCEP-NCAR Reanalysis data particularly dealing with
155 precipitation (Trenberth and Guillemot 1998). However, Kistler (2001) point out that despite
156 biases in the reanalysis, the interannual variability of meteorological variables including that of
157 precipitation tends to be relatively well correlated with independent observations. Furthermore,
158 other reanalyses datasets which ameliorate some of the issues of NCEP-NCAR Reanalysis (e.g.
159 NCEP-DOE reanalysis [Kanamitsu et al. 2002]) are only available for shorter periods.

160 GODAS uses the Modular Ocean Model version 3 (MOM; Pacanowski and Griffies
161 1999) to assimilate observations. The control run for CFS is also used to validate the results, as it
162 was one of the few coupled models which had several features of ENSO realistically simulated
163 (Wang et al 2005; Saha et al. 2006). Linear detrending was uniformly done to all observed and
164 model data to make a fair comparison of the interannual variability; the systematic error over the
165 global ocean from the two models did not change significantly over the period of integration as
166 shown from computing in successive segments of 50 years from year 101 to year 300 of the
167 integration (Table 1). To make sure that the ENSO in the models was not significantly drifting,
168 statistical analysis was done at intervals of 50 years with the CCSM3/HYCOM model output
169 without conducting any detrending (not shown). It was observed that there was no significant
170 drift in ENSO characteristic over the 200 year span of the model simulation.

171 **4. Results**

172 **a) Climatology**

173 The climatological annual mean surface temperatures from ERSST, and the systematic
174 errors of CCSM3/HYCOM and CCSM3/POP and the climatological difference between
175 CCSM3/HYCOM-CCSM3/POP are shown in Figs 1 a, b, c, and d respectively. The reversal of
176 the cold bias in the cold tongue region of the equatorial Pacific from CCSM3/POP (Fig. 1c) to
177 CCSM3/HYCOM (Fig. 1b, 1d) is prominent. Both models have an overall warm bias in the
178 tropical Pacific (outside of the equatorial region). The warm bias in CCSM3/POP is larger in the
179 west whereas CCSM3/HYCOM has a relatively larger bias in the east; this difference results in
180 CCSM3/POP having a stronger temperature gradient along the equatorial Pacific of the two
181 models.

182 Similarly, the climatological annual mean precipitation from observations and the
183 climatological annual mean error from the two models are shown in Figs 2 a, b, and c
184 respectively. The two models intensify the meridional gradient in precipitation more than the
185 zonal gradient in the Equatorial Pacific compared to observations. This is suggestive of an
186 overemphasis of the meridional overturning (Hadley type) circulation relative to the east west
187 (Walker) circulation. The dry bias over Central America, Amazon, and over southeast Brazil is
188 persistent in both models. Overall, the CCSM3/HYCOM integration seems to further amplify
189 the systematic error of precipitation in CCSM3/POP.

190 The slope of the climatological thermocline (defined by the depth of the 20°C isotherm)
191 is an important feature of the equatorial Pacific Ocean. The fundamental feature of a deeper
192 (shallower) thermocline in the western (eastern) equatorial Pacific is simulated in both models
193 (Fig. 3). However, both models display a more gradual slope of the thermocline than GODAS
194 with the errors in the slope of the thermocline being more severe in CCSM3/HYCOM.

195 **b) Analysis of the ENSO Simulation**

196 Fig. 4 shows the Niño 3 SST (averaged over a region bounded between 5S-5N and 90W-
197 150W) spectra determined by the maximum entropy method (Gillet et al. 2007) for ERSST,
198 CCSM3/HYCOM, and CCSM3/POP. The Niño 3 SST spectrum in ERSST contains a broad
199 peak spanning from 2 to 7 years. A much weaker, but equally broad peak is seen in
200 CCSM3/HYCOM centered around 4 years. CCSM3/POP has a stronger, narrower peak
201 compared to CCSM3/HYCOM but centered around 2 years. As has been shown in other studies,
202 (Deser et al. 2006; Guilyardi 2006) this biennial oscillation is a prominent problem with
203 CCSM3/POP, which has been significantly ameliorated in more recent versions of the model
204 (Neale et al. 2008; Deser et al. 2012). Neale et al. (2008) however found that by the inclusion of
205 convective momentum transport and a dilution approximation for the calculation of convective
206 available potential energy in the Zhang and McFarlane (1995) convective parameterization
207 scheme of CAM3.0, lead to a significant improvement in the ENSO simulation of CCSM3/POP.
208 In this context the suppression of the biennial oscillation in CCSM3/HYCOM by continuing to
209 maintain similar AGCM as CCSM3/POP is interesting. It should be noted that overall, at all
210 temporal scales (Fig. 4), the variance in CCSM3/HYCOM is weaker than either CCSM3/POP or
211 ERSST.

212 We now separate ENSO into two temporal scales: one is the biennial component and the
213 other is the longer temporal component with a primary peak around 4-5 years (which we call the
214 slow ENSO mode) to compare and contrast the model simulations with the observations. To
215 decompose these components of ENSO we utilized the Ensemble Empirical Mode
216 Decomposition (EEMD; Wu and Huang 2009; Wu et al. 2011). EEMD is a novel signal
217 processing method that can isolate physical modes (known as intrinsic mode functions [IMFs])

218 of a time series relatively unambiguously (Wu and Huang 2009). EEMD decomposes the
219 biennial oscillation as IMF1 and the slow ENSO mode in IMF2 (Fig. 5). The magnitude of IMF1
220 is considerably weaker than IMF2 for ERSST and CCSM3/HYCOM. In CCSM3/POP, IMF1
221 dominates over IMF2.

222 The regression of the thermocline depth on Niño 3 SST index in Fig. 6a from GODAS
223 demonstrates the tilt mode, the leading mode of variance in the Equatorial Pacific thermocline
224 resembling a see-saw action (Clarke et al. 2007). The see-saw of the thermocline pivots at
225 around 160° W in the observations (Fig. 6a). In CCSM3/HYCOM, the eastern most pivot point
226 appears further east ($\sim 110^{\circ}$ W; Fig. 6b) and there is an erroneous positive relationship seen in the
227 far West Pacific. In Fig. 6c, CCSM3/POP reproduces the Niño 3 SST-thermocline relationship
228 better than CCSM3/HYCOM (Fig. 6b). However, the pivot point is still further east ($\sim 140^{\circ}$ W)
229 compared to the observations.

230 In Figs 6d, and 6e we have regressed the IMF2 (slow ENSO mode) of the Niño 3 SST
231 index on the tropical Pacific thermocline depth from CCSM3/HYCOM and CCSM3/POP
232 respectively. Similar regressions of IMF2 on tropical Pacific for observations (not shown)
233 yielded a pattern and magnitude nearly identical to Fig. 6a. The model results are not so alike
234 (Figs. 6b and d and Figs 6c and e). This is obvious from the fact that the spectra of the Niño 3
235 SST index in the models are quite different from the observations. As a result, analysis of the
236 raw Niño 3 SST index from the model results cannot avoid mixing of several erroneous but
237 dominant modes with the slow mode ENSO timescales. Figs 6d and e therefore offer a unique
238 perspective to examine the fidelity of the model specifically at the slow ENSO mode time scales.
239 The erroneous appearance of regression coefficients in the western Pacific in the
240 CCSM3/HYCOM simulation (Fig. 6b) is not present on ENSO timescales (Fig. 6d).

241 Additionally, the pivot point is now further west ($\sim 140^\circ\text{W}$) and the weaker amplitude of the
242 IMF2 in CCSM3/HYCOM is apparent in Fig. 6d.

243 Following the recharge-discharge theory of Jin (1997), Meinen and McPhaden (2000) in
244 their observational study stressed the importance of the zonally averaged heat content (or
245 thermocline depth) in the equatorial Pacific to the variations of SST associated with ENSO. This
246 can be confirmed in the models by regressing the Niño 3 SST tendency on the thermocline depth
247 (Fig. 7). GODAS (Fig. 7a) shows a near-zonally symmetric thermocline depth anomaly along the
248 equator with anomalies of the opposite sign north of the equator. Both the models (Figs. 7b and
249 c) also show some resemblance to the observed dipole pattern of near-zonally symmetric
250 anomalies of thermocline depth in the tropical Pacific. However, the zonal asymmetry of the
251 thermocline anomalies in Figs. 7a and b are more apparent with larger variance in the central and
252 eastern Pacific than in the western Pacific.

253 Fig. 8 shows the lag-regression of Niño 3 index on thermocline depth. At zero lag,
254 GODAS (Fig. 8a) shows the tilting mode as shown in Fig. 6. At 8-month lag, GODAS shows the
255 recharge phase (Jin 1997; Meinen and McPhaden 2000). This can be also interpreted from the
256 delayed oscillator theory (Suarez and Schopf 1988) as the migration of the equatorial Kelvin
257 waves emanating from the Central Pacific to East Pacific triggered by the forcing of anomalous
258 wind stress in the Central Pacific. The western equatorial Pacific at 12-months lag (Fig. 8a) has
259 the appearance of thermocline anomalies, which are antecedents of the ENSO anomalies in the
260 eastern Pacific. In CCSM3/HYCOM (Fig. 8b) the eastward migration of thermocline anomalies
261 from central Pacific takes place over a 6-month period indicating the shorter interval between
262 events. Similarly, CCSM3/POP also speeds up the migration of equatorial Kelvin waves and
263 shows a strong tendency for ENSO events to occur at shorter time scales. It may be noted that in

264 Figs. 8b and c there is erroneous development of thermocline anomalies in the far western
265 Pacific at lag zero, which is more distinct in CCSM3/HYCOM. In CCSM3/POP the thermocline
266 anomalies do not reach as far to the east at lag zero as either the observations or
267 CCSM3/HYCOM.

268 Fig. 9 shows the regression of IMF1 (biennial oscillation) on SSTs. The observed SST
269 anomalies seen in the eastern Pacific co-vary with the IMF2 in GODAS (Fig. 9a). The
270 anomalies appear to be less prominent in the western Pacific (Fig. 9a). The negative anomalies
271 seen in the northern tropical Pacific are a significant feature of the biennial oscillation in
272 CCSM3/HYCOM (Fig. 9b) and CCSM3/POP (Fig. 9c). In CCSM3/HYCOM, the high variance
273 in the coastal Peruvian region also appears to be biennial. Fig. 10 shows the regression of IMF2
274 on the equatorial Pacific SSTs for ERSST, CCSM3/HYCOM, and CCSM3/POP. Here, the
275 model anomalies in the northern equatorial Pacific are mostly positive. For CCSM3/HYCOM,
276 anomalies on ENSO time-scales are located east of the date line with a maximum in the Niño 3
277 region. ENSO time-scale variance in CCSM3/POP extends beyond the date line with a maximum
278 in the Niño 3.4 region. The horseshoe pattern emerges in the regression of IMF2 on SSTs for
279 CCSM3/POP but does not have the robustness seen in ERSST. It is possible that
280 CCSM3/HYCOM also has similar feature but since the ENSO variability is weaker in the
281 CCSM3/HYCOM integration, the relationship is not significant.

282 Observations show that ENSO variability peaks in boreal winter and fall (Rasmusson and
283 Carpenter 1982). The reproduction of this phase-locking in model simulation is a good test of
284 model fidelity. Fig. 11 shows the seasonal cycle of standard deviation of Niño 3 SST index.
285 CCSM3/HYCOM seems to lack this phase-locking characteristic feature. Whereas CCSM3/POP
286 appears to have double peak: one in boreal winter as in observations and the other in boreal

287 summer. Examination of the seasonal cycle of IMF1 and IMF2 of the Niño 3 SST index reveals
288 that in the observations and CCSM3/POP the seasonal phase locking appears in the biennial
289 component (IMF1; Fig. 11b). However, CCSM3/HYCOM is unable to capture this feature in its
290 biennial component (IMF1). The slow ENSO mode (IMF2) however does not carry this feature
291 of phase locking in either the observations (Fig. 11c) or the two model simulations.

292 Gill's seasonal cycle explains the major mechanism for the seasonal cycle in the eastern
293 equatorial Pacific which depicts the relation of central Pacific wind stress to the eastern Pacific
294 SST Guilyardi (2006). The black line in Fig. 12 shows the three phases of the relationship
295 between upwelling and SST in the equatorial eastern Pacific. First, during March-May (MAM)
296 the SST in the eastern tropical Pacific is the greatest as the wind stress relaxes. Second, during
297 the boreal summer (JJA) and autumn (SON) months SSTs decrease. Third, during boreal winter
298 (DJF) zonal wind stress is at a maximum with associated Niño 3 SST being at a minimum.
299 GODAS and NCEP-NCAR both show similar seasonal cycles of SST and wind stress with one
300 notable difference: the magnitude of wind stress in GODAS is stronger in all seasons. Both
301 models (CCSM3/HYCOM and CCSM3/POP) fail to capture the relaxation of the zonal wind
302 stress in the boreal spring season which suggests the apparent phase locking seen in
303 CCSM3/POP is caused by a different mechanism than that in the observed climate system. The
304 relatively higher SSTs seen in CCSM3/HYCOM compared to CCSM3/POP reflect the
305 differences in thermocline depth; the relative decrease in wind stress follows from the decreased
306 temperature gradient along the equatorial Pacific (Fig. 1).

307 The pattern of anomalous precipitation associated with ENSO is shown in Fig. 13, which
308 shows the regression of Niño 3 SST index on precipitation. The east-west dipole structure of the
309 precipitation anomalies in observations (Fig. 13a) is reminiscent of the modulation of the Walker

310 circulation (Misra et al. 2007). However, CCSM3/HYCOM and CCSM3/POP overemphasize the
311 modulation of the meridional (or Hadley type) circulation, especially in the Indo-Pacific warm
312 pool region. The strong negative band north of the equator and the positive band at the equator
313 indicate that ENSO in both models modulate the Hadley cell to a greater degree than the
314 observations.

315 From recharge-discharge theory (Jin 1997) and observations there is an expected slacking
316 of the trades in the central and Western Pacific during a warm ENSO event and a strengthening
317 during a cold event. In Fig. 14 (which shows the regression of the normalized Niño 3 SST index
318 on the zonal wind stress), positive values can be interpreted as westerly stress anomalies. Jin
319 (1997) and Kirtman (1997) argue that broader zonal wind stress anomalies in the western
320 equatorial Pacific result in longer ENSO time scales. NCEP-DOE reanalysis wind stress (used to
321 force the ocean in GODAS) shows the anomalous region extending from 15°S to slightly north of
322 the equator. The narrower meridional extent of the zonal wind stress anomalies in Figs. 14b and
323 c is consistent with the shorter ENSO time scales of CCSM3/HYCOM and CCSM3/POP.

324 **4. Discussion and conclusions**

325 CCSM3/HYCOM and CCSM3/POP have opposite biases along the eastern equatorial
326 Pacific and thus CCSM3/HYCOM has a much reduced equatorial Pacific temperature gradient.
327 Compared to ERSST the return period of ENSO in CCSM3/HYCOM and CCSM3/POP is
328 shorter with the power being less than half of the observed. The duration of ENSO events in both
329 CCSM3/HYCOM and CCSM3/POP is shorter than in ERSST.

330 The erroneous seasonal cycle of zonal wind stress and SST in the equatorial Pacific
331 (Gill's seasonal cycle) shows a small change between the two coupled models. However, when

332 the Niño 3 SST index is decomposed into its biennial (IMF1) and slow mode (IMF2)
333 components, the seasonal phase locking feature is most apparent in the biennial component in the
334 observations and CCSM3/POP and relatively weak in IMF2 of CCSM3/HYCOM.

335 For both CCSM3/HYCOM and CCSM3/POP, the atmospheric response related to the
336 warming/cooling of the eastern equatorial Pacific SST is about the same. When comparing the
337 atmospheric feedbacks to ENSO (precipitation and wind stress), both simulations displayed
338 similar spatial patterns in relation to Niño 3 SST index. The modulation of the Walker circulation
339 as shown by the regression of precipitation on the Niño 3 SST index is much weaker in both
340 CCSM3/HYCOM and CCSM3/POP compared to observations. Likewise, zonal wind stress
341 anomalies associated with ENSO were meridionally narrower in both the models compared to
342 the reanalysis.

343 The overall low Niño 3 SST variance in CCSM3/HYCOM is most likely a result of the
344 mean bias of the weak zonal tilt of the equatorial Pacific thermocline depth and thus a weak
345 zonal SST gradient. As a result the ENSO in CCSM3/HYCOM, which seems to follow the
346 recharge-discharge paradigm (Jin 1997) recharge or discharge, a relatively smaller warm water
347 volume giving rise to weaker ENSO.

348 The spatial structure of ENSO in CCSM3/POP is narrow and elongated, extending across
349 the entire Pacific basin with a maximum in the Central Pacific. In CCSM3/HYCOM, the spatial
350 structure of ENSO is limited to the eastern half of the Pacific (limited up to the dateline) and is
351 slightly broader, thereby conforming slightly better to the observations. However
352 CCSM3/HYCOM does not produce the typical horse-shoe pattern of SST anomalies in the
353 Western Pacific as in observations and CCSM3/POP.

354 CCSM3/HYCOM simulation showed a significant weakening of the biennial cycle of
355 Niño 3 SST observed in CCSM3/POP. In CCSM3/POP, the spatial structure of the biennial
356 oscillation closely resembles the structure of CCSM3/POP's ENSO longer timescale variance. In
357 CCSM3/HYCOM, there is strong relationship of the two-year oscillation of Niño 3 SST index
358 with the waters off the coast of Peru.

359 It is encouraging to note that if we isolate the ENSO time scales (as done using EEMD in
360 this study) then both models clearly show that their ENSO simulations conform to the widely
361 accepted recharge-discharge paradigm or the delayed oscillator theory. However, the variance on
362 ENSO timescales is relatively weak in CCSM3/HYCOM while in CCSM3/POP the biennial
363 oscillation is erroneously dominating.

364 The choice of OGCM for simulation of ENSO is important in many ways. As
365 demonstrated with the comparison of CCSM3/HYCOM and CCSM3/POP, the choice of OGCM
366 can substantially change the reproduction of ENSO. In this particular configuration, changing the
367 ocean model to HYCOM2 removed the biennial oscillation entirely. Other studies have shown
368 that improvements to the atmospheric convection scheme in CCSM3/POP can remove the
369 erroneous biennial signal.

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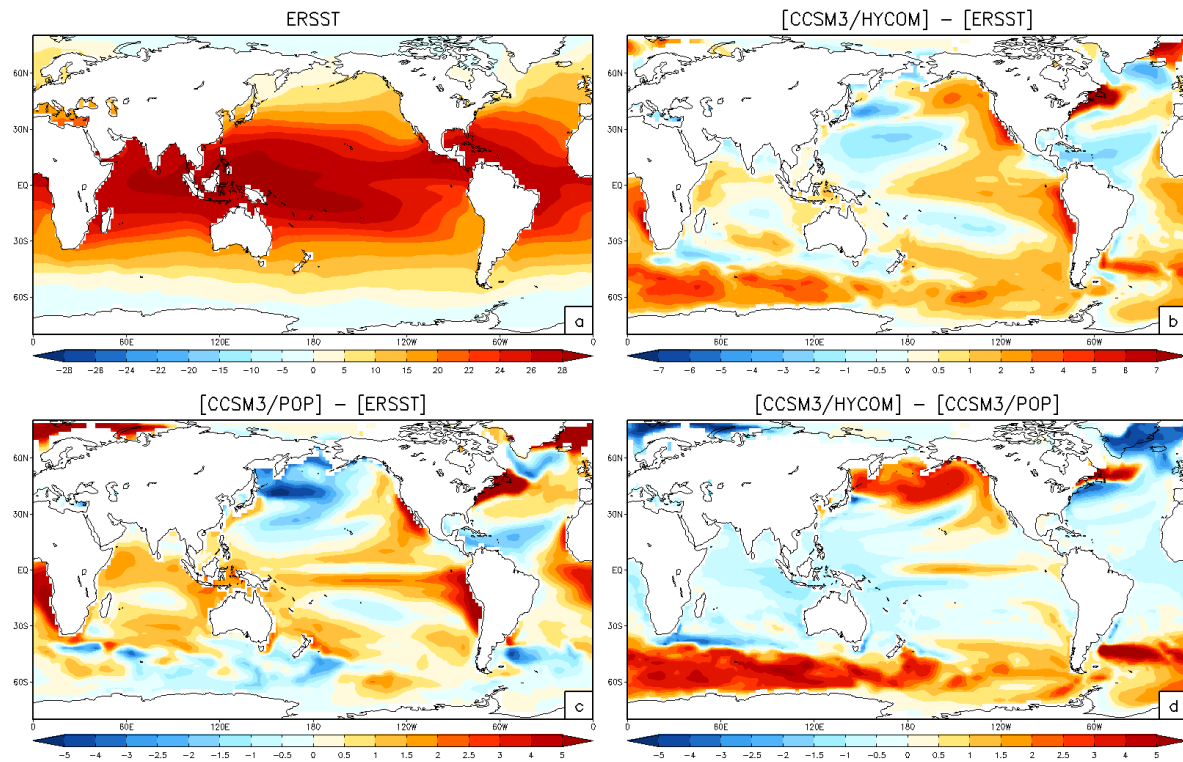
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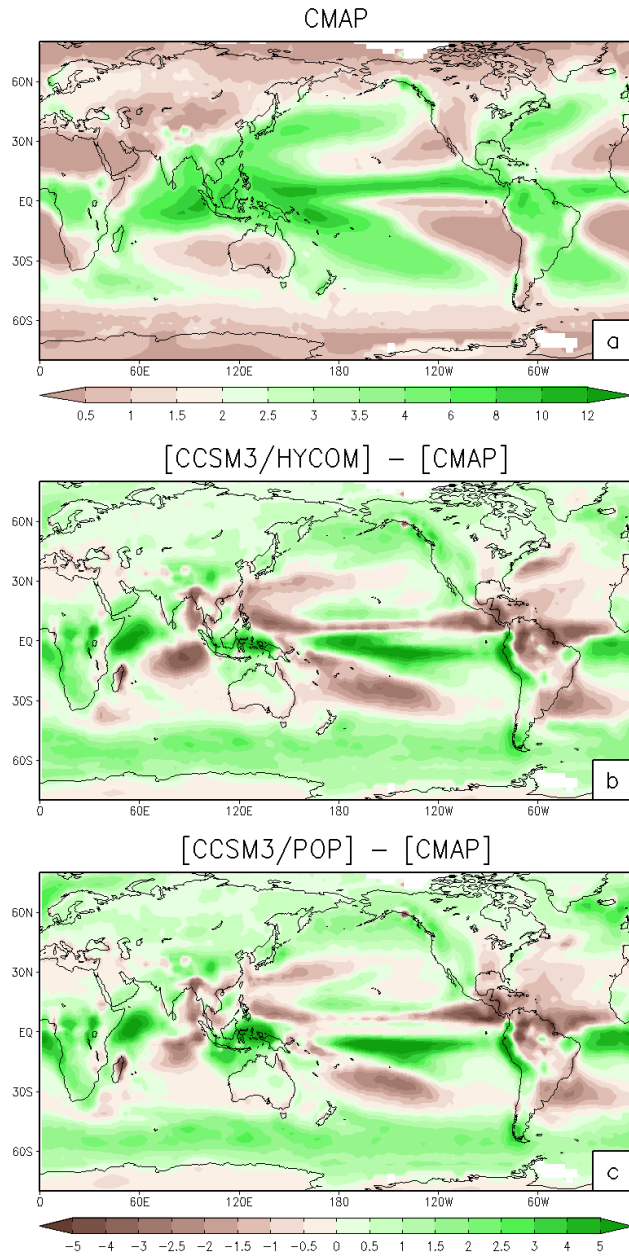
model years	CCSM3/HYCOM SST error (°C)	CCSM3/POP SST error (°C)
101-150	0.78	0.47
151-200	0.91	0.47
201-250	1.01	0.47
251-300	1.29	0.48

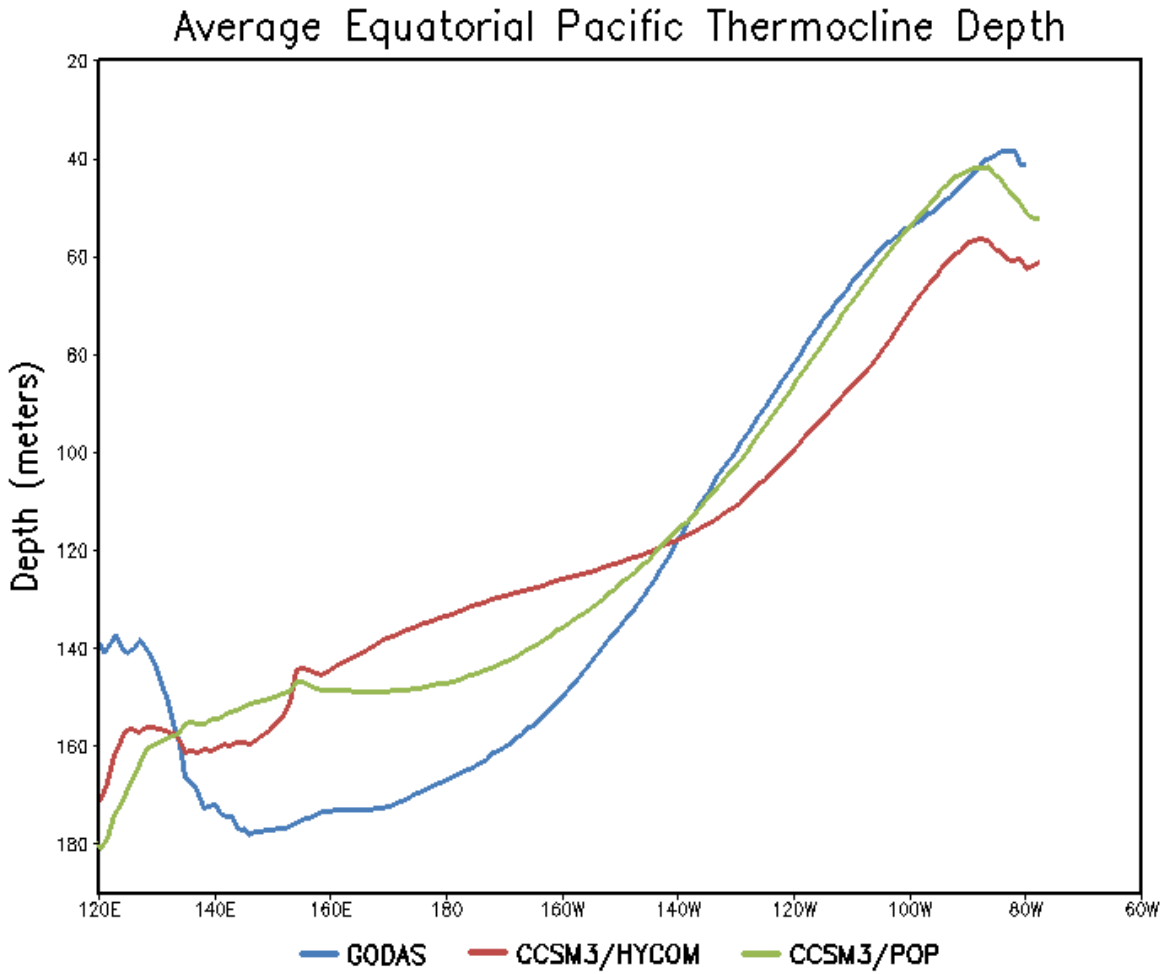
494 Table 1. Globally averaged SST errors for CSSM3/HYCOM and CCSM3/POP for 50-year
495 segments from their respective centennial integrations.

496



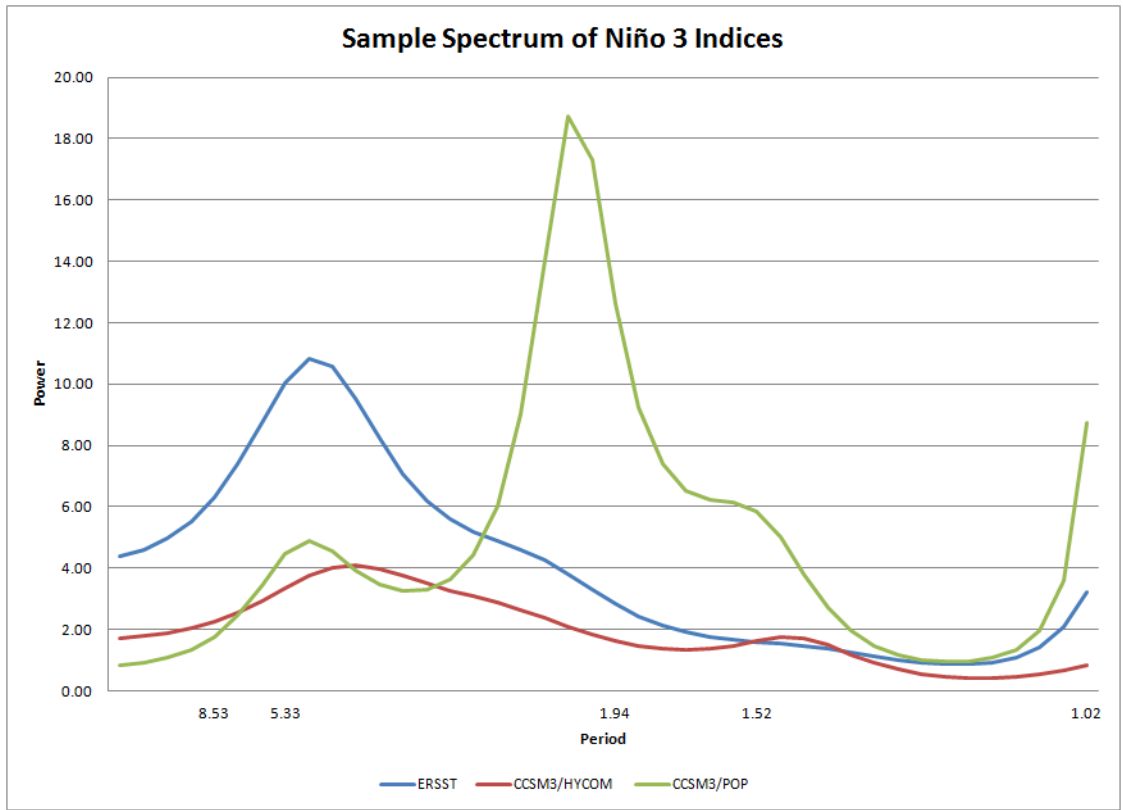
499 Fig. 1. Climatological annual mean global SST in degrees Celsius for a) ERSST. Annual mean
 500 climatological errors in b) CCSM3/HYCOM, and c) CCSM3/POP. Annual mean SST difference
 501 d) CCSM3/HYCOM-CCSM3/POP. Average errors and average RMSE between 30S and 30N
 502 for CCSM3/HYCOM: 0.52°C/2.49°C and for CCSM3/POP: 0.86°C /2.68°C.





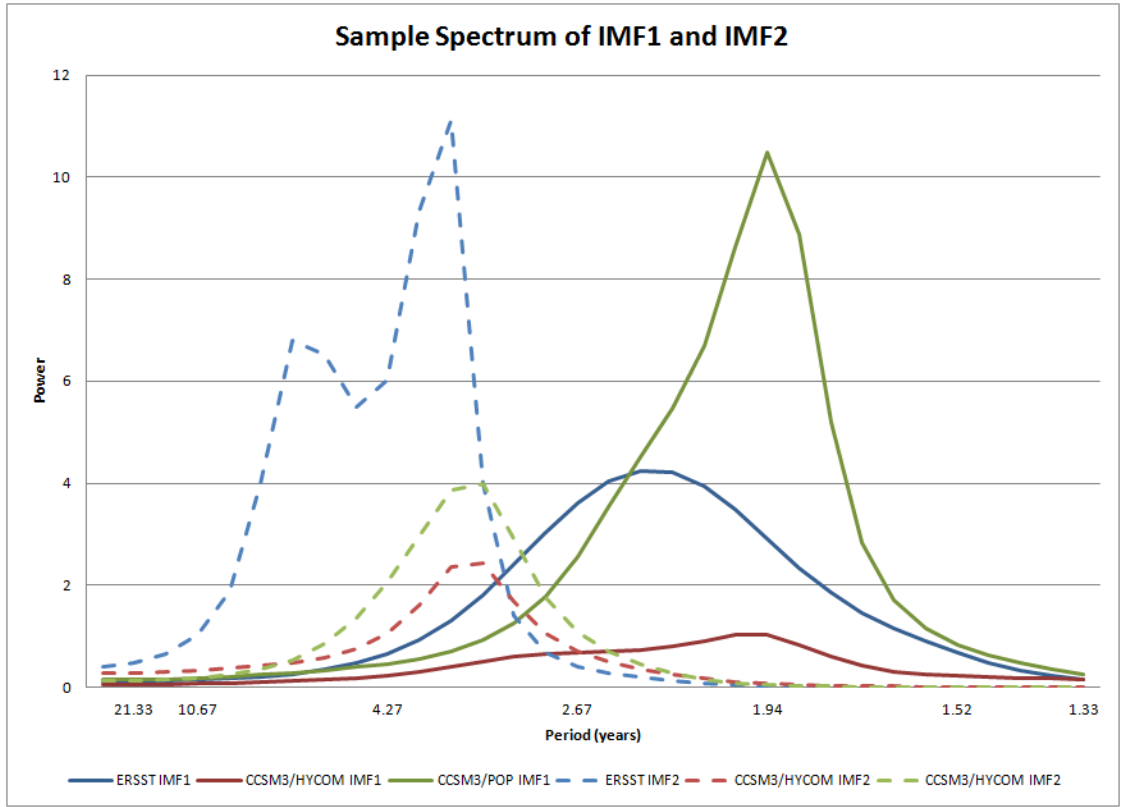
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507 Fig. 3. Average Equatorial Pacific thermocline depth (20°C isotherm) for GODAS (blue),
 508 CCSM3/HYCOM (red), and CCSM3/POP (green).



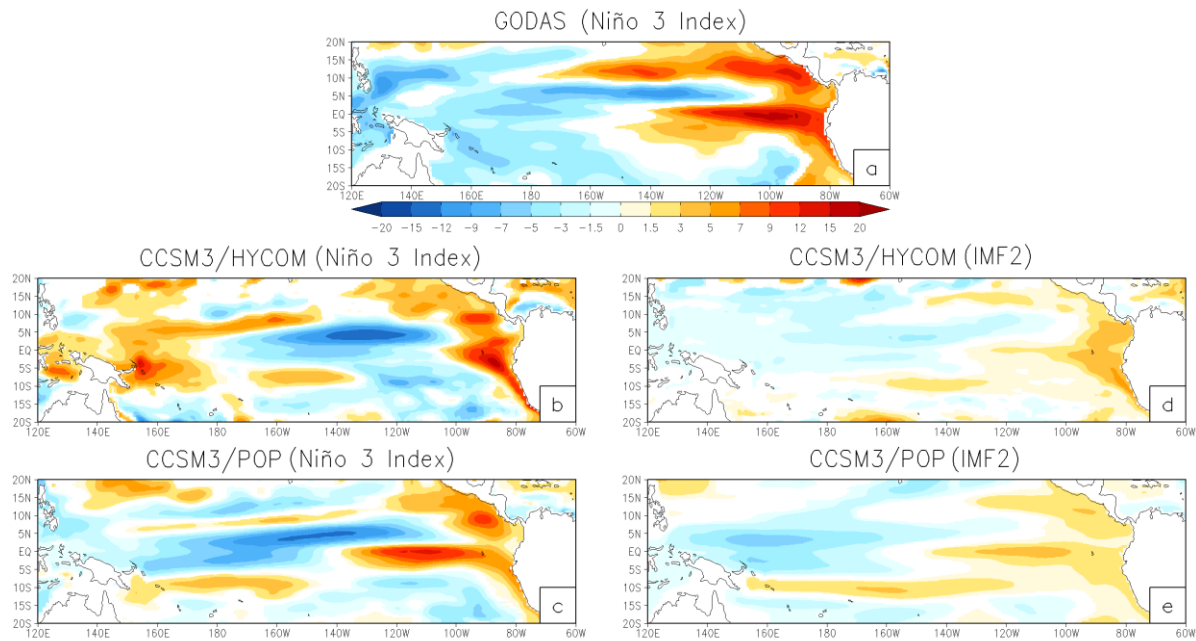
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510 Fig. 4. Sample Spectra of Niño 3 SST generated using the Maximum Entropy Method for
 511 ERSST (blue), CCSM3/HYCOM (red), and CCSM3/POP (green). The units are °C² months.



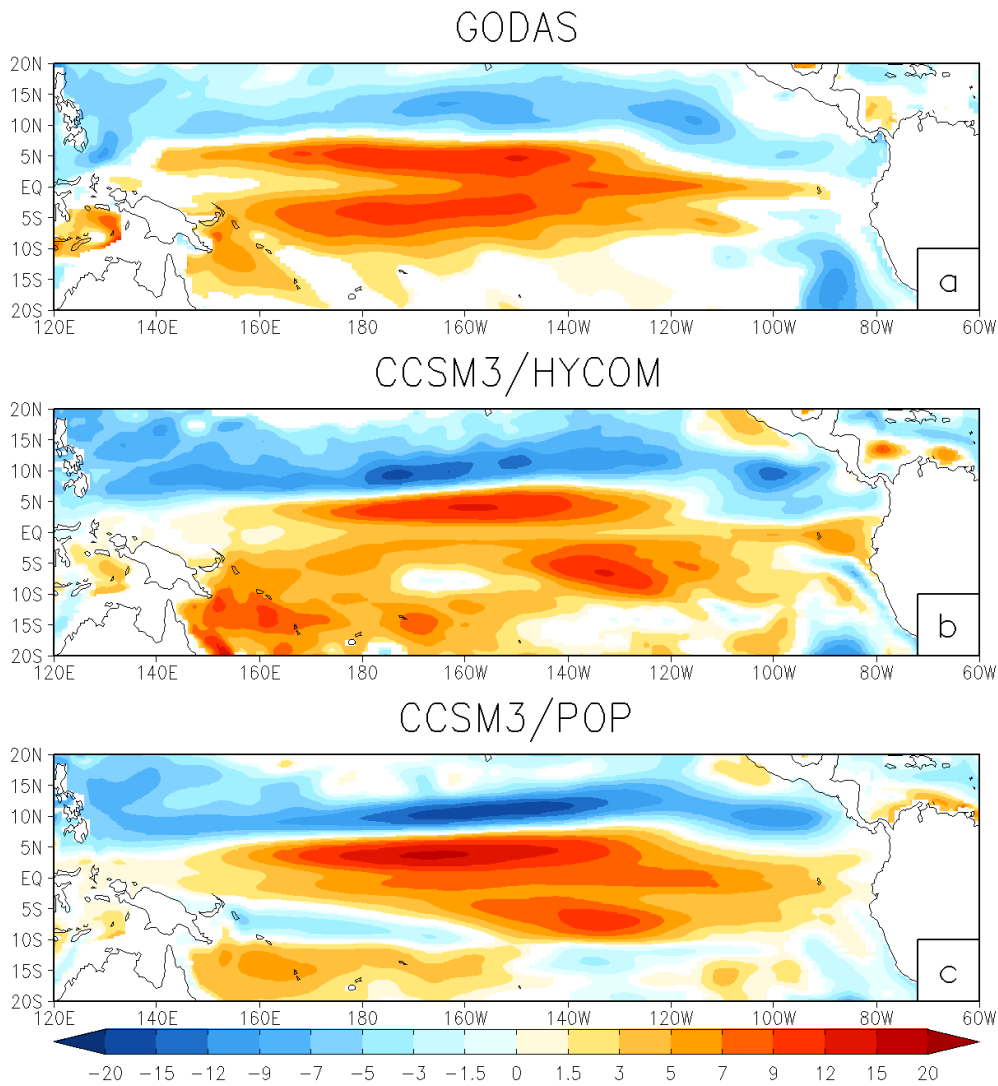
512

513 Fig. 5. Sample Spectra IMF1 (solid, biennial mode) and IMF2 (dashed, ENSO mode) generated
 514 using the Maximum Entropy Method for ERSST (blue), CCSM3/HYCOM (red), and
 515 CCSM3/POP (green).

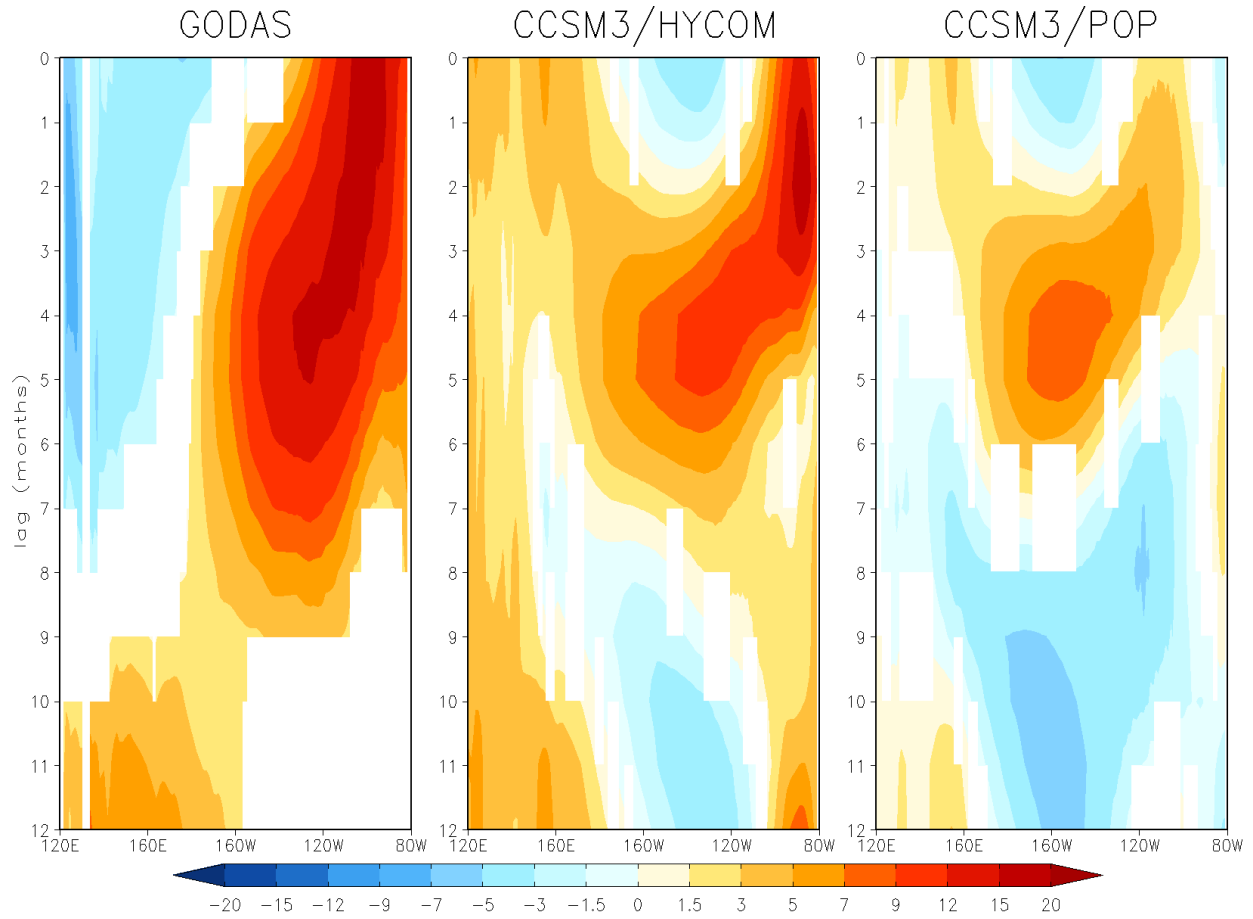


516

517 Fig. 6. Regression of the thermocline depth (20°C isotherm) on the normalized Niño 3 Index for
 518 a) GODAS, b) CCSM3/HYCOM, and c) CCSM3/POP; regression of thermocline depth on
 519 normalized IMF 2 (ENSO mode) for d) CCSM3/HYCOM, and e) CCSM3/POP. Shaded values
 520 are given in meters significant at the 90% confidence limit according to t-test.

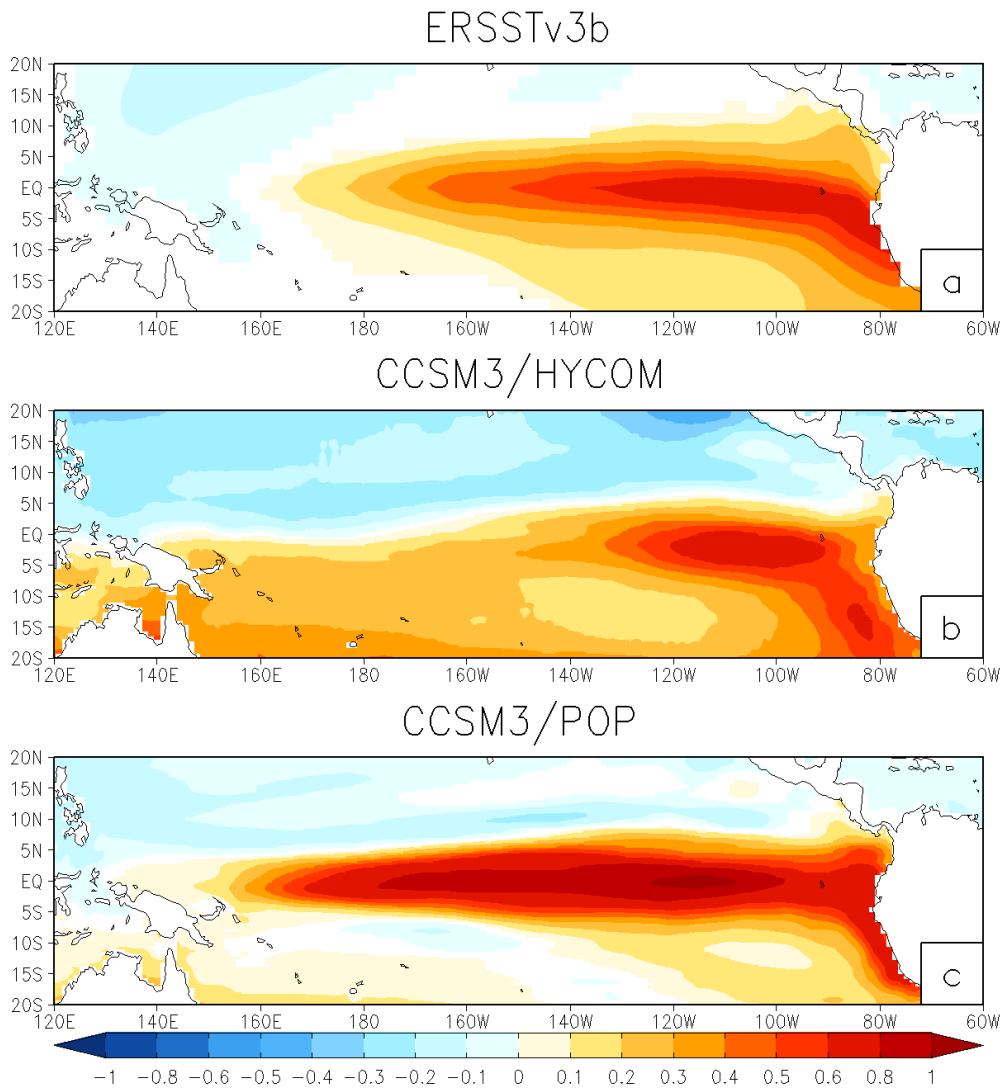


522 Fig. 7. Regression of thermocline depth (20°C isotherm) on the normalized Niño 3 SST tendency
 523 on for a) GODAS, b) CCSM3/HYCOM, and c) CCSM3/POP. Shaded values are given in meters
 524 significant at 90% confidence limit according to t-test.

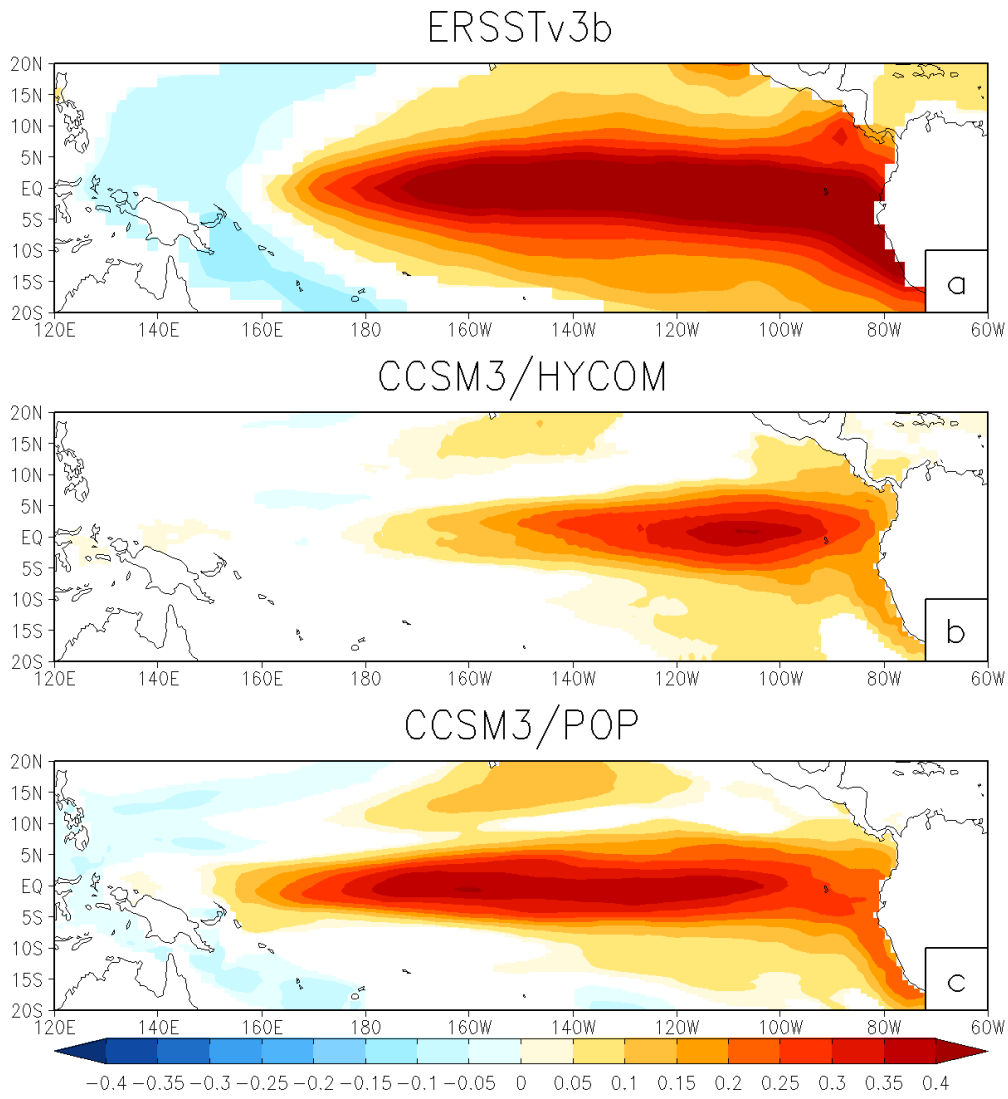


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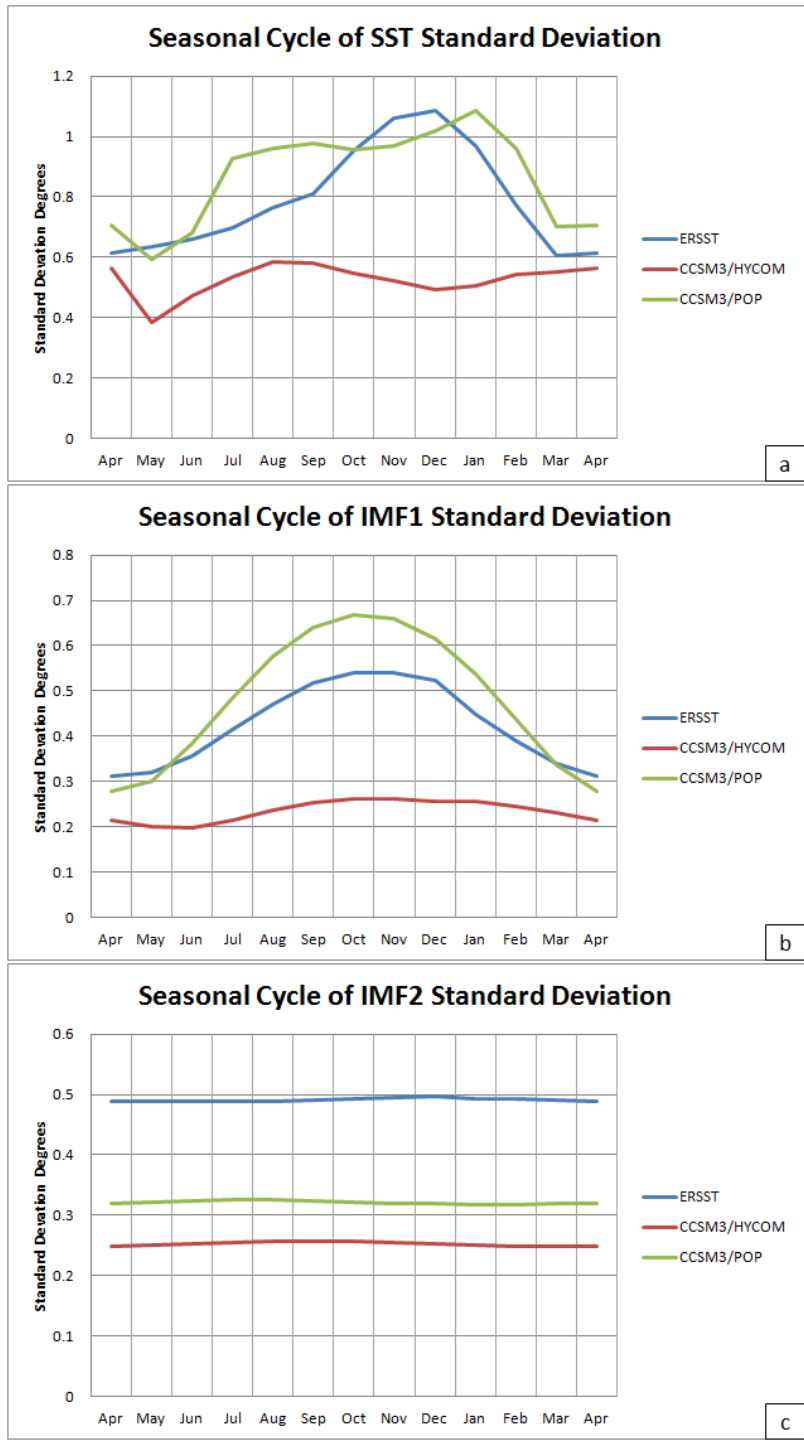
526 Fig. 8. Lag-Regression of equatorial thermocline depth (20°C isotherm) on the normalized Niño
 527 3 SST index for a) ERSST, b) CCSM3/HYCOM, and c) CCSM3/POP. Shaded values are given
 528 in meters significant at 90% confidence limit according to t-test. Positive lags indicate Niño SST
 529 lags thermocline depth.



531 Fig. 9. Regression of tropical Pacific SST on the normalized IMF1 (biennial oscillation)
 532 component of the Niño 3 SST index (in Celsius) for a) ERSST, b) CCSM3/HYCOM, and c)
 533 CCSM3/POP. Shaded values are given in degrees Celsius significant at 90% confidence limit
 534 according to t-test are shaded.

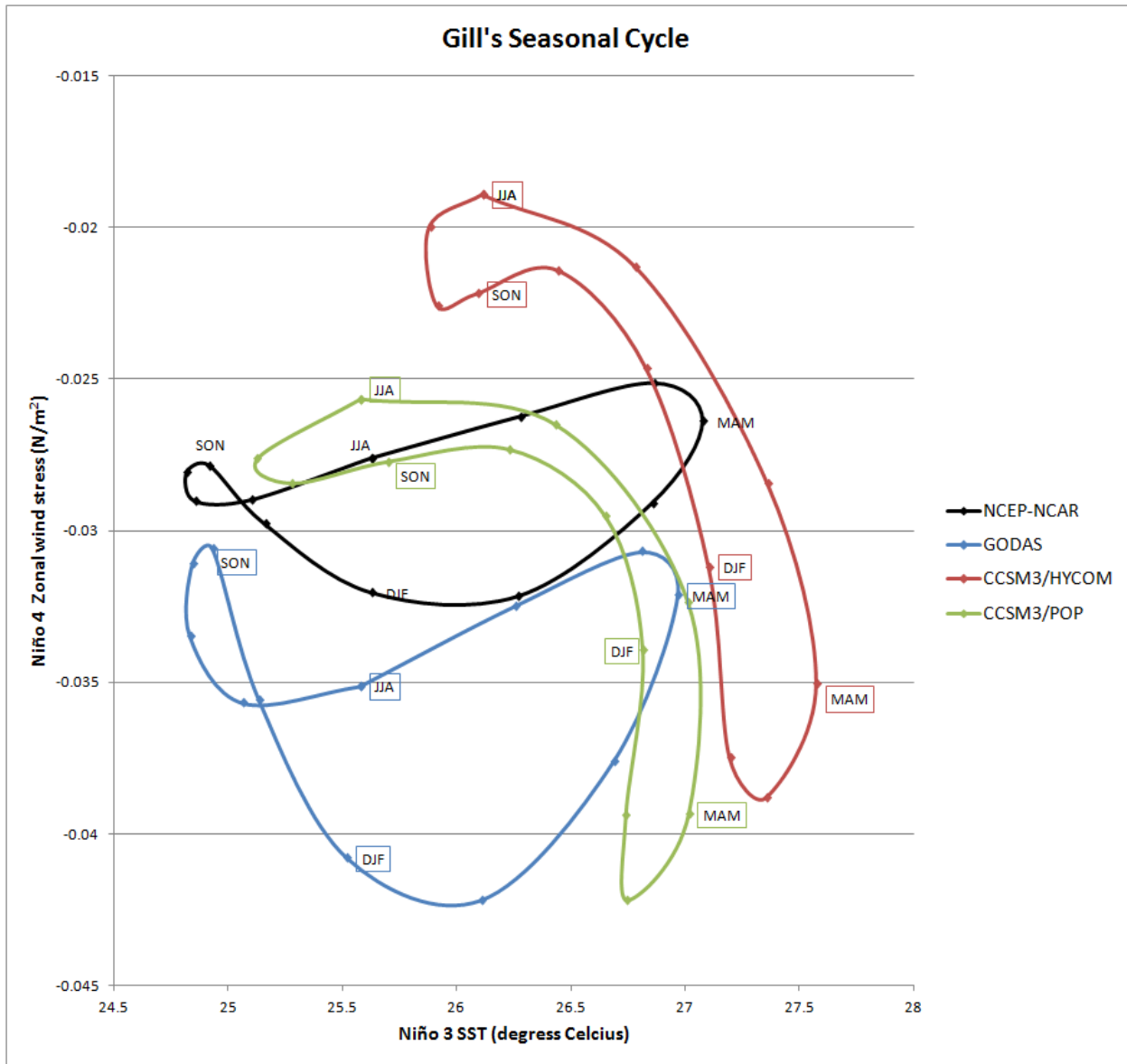


536 Fig. 10. Same as Fig. 9 for IMF2 (ENSO mode).



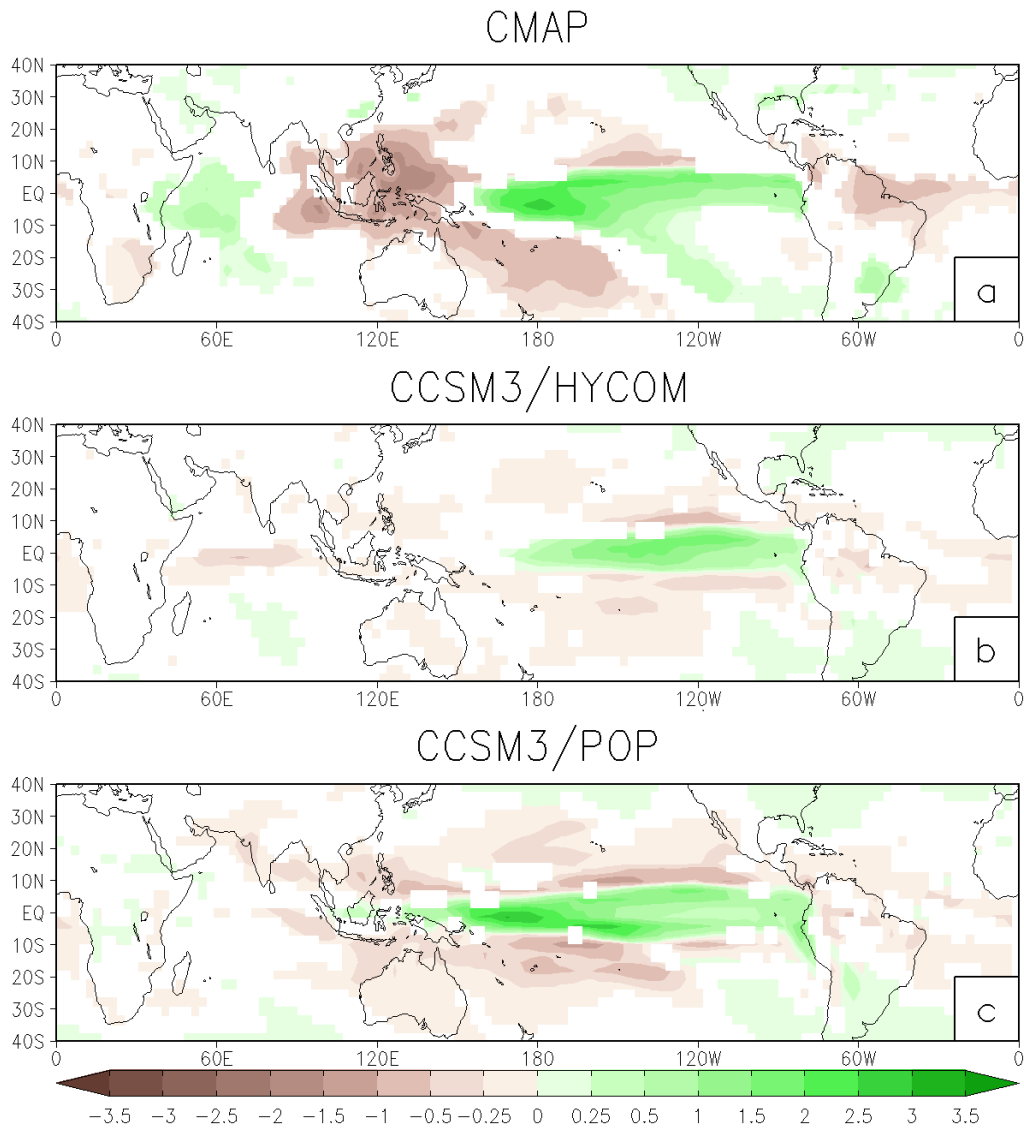
537

538 Fig. 11. Seasonal cycle of standard deviation of a) SST in Niño 3 region b) IMF1 and c) IMF2
 539 for ERSST (blue), CCSM3/HYCOM (red), and CCSM3/POP (green).



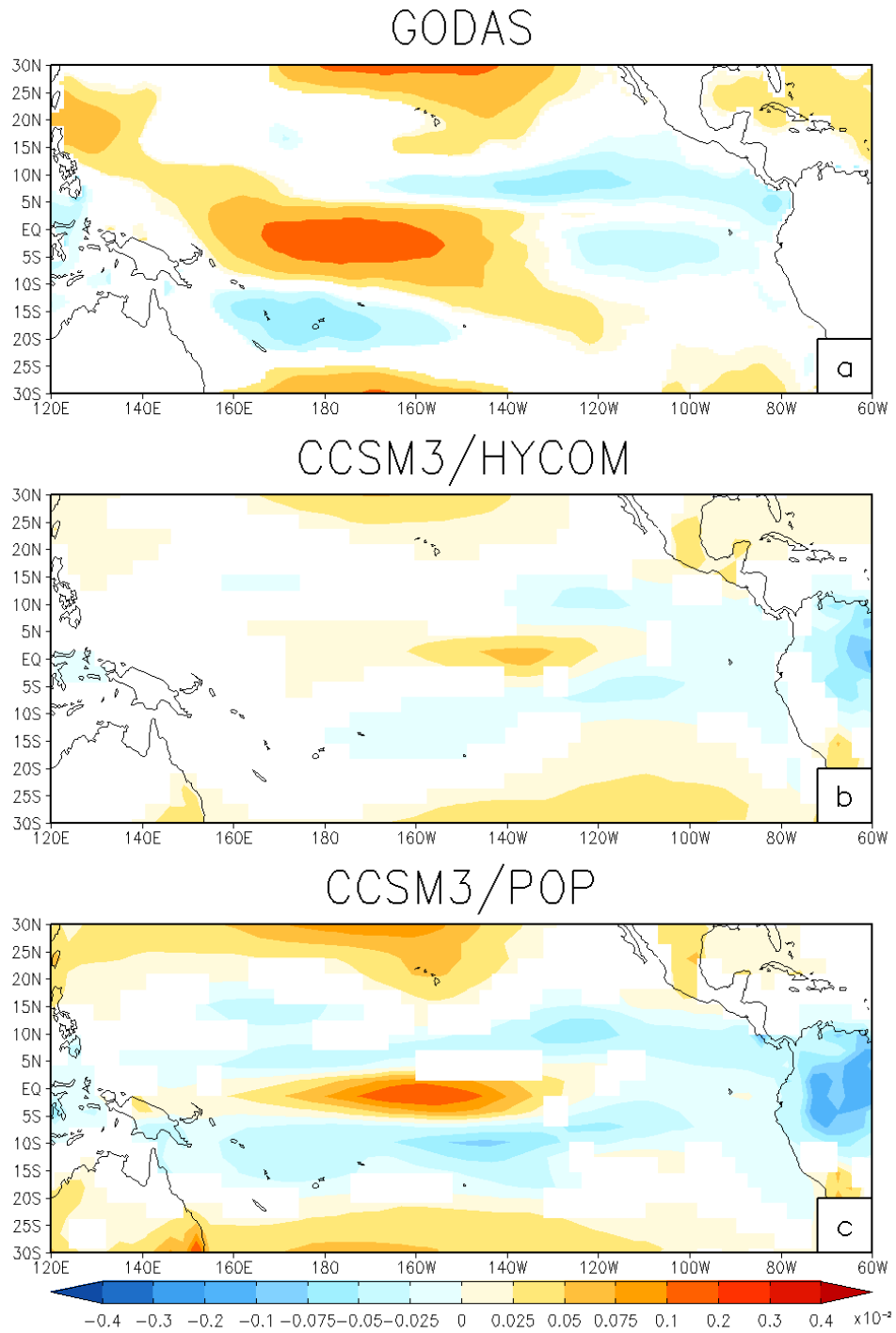
540

541 Fig. 12. Seasonal cycle of Niño 3 SST against Niño 4 zonal wind stress for NCEP-NCAR R1
 542 (black), GODAS (blue), CCSM3/HYCOM (red), and CCSM3/POP (green).



543

544 Fig. 13. Regression of precipitation on the normalized Niño 3 SST index (in mm day⁻¹) for a)
 545 CMAP, b) CCSM3/HYCOM, and c) CCSM3/POP. Only values significant at 95% confidence
 546 limit according to t-test are shaded.



547

548 Fig. 14. Regression of zonal wind stress on the normalized Niño 3 SST index (in N/m^2) for a)
 549 NCEP-NCAR Reanalysis, b) CCSM3/HYCOM, and c) CCSM3/POP. Only values significant at
 550 95% confidence limit according to t-test are shaded.

