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Contribution of the wind and Loop Current Eddies to the circulation in the western Gulf of Mexico

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15 Abstract

16 The role of the Loop Current (LC) and their associated eddies in driving the circulation of the Gulf of Mexico (GoM) has been investigated for several decades from different perspectives. Nevertheless, 17 a clear understanding of the relative contributions of the wind forcing and the Loop Current Eddies 18 19 (LCEs) to the GoM circulation and variability remains lacking. In this study, the roles of these two factors in sustaining the less well-known western GoM circulation is investigated with two numerical 20 21 experiments using the HYbrid Coordinate Ocean Model (HYCOM). First, we examine the mean contribution of the wind and LCEs in setting the circulation in the western GOM. We then perform a 22 23 vorticity balance to analyze the relative importance of the physical processes, including the wind stress, 24 involved in sustaining the western GoM circulation. The results show that the wind stress contributes 25 to a mean anticyclonic circulation in the central and northwestern Gulf, while in the southwestern region both wind and LCEs combine to induce a cyclonic circulation, highlighting the role of wind 26 27 stress curl and topographic confinement. The vorticity balance conducted in the upper layer of the 28 western basin shows that planetary vorticity and stretching are primarily responsible for the balance in 29 time scales longer than weeks, and their co-variability are good indicators of LCEs entering the central and northwestern regions. However, the southwestern region is primarily driven by vortex stretching. 30 Mean advection of vorticity and planetary vorticity are also contributors to the time-averaged vorticity 31 32 field. Input of vorticity directly through wind stress is negligible in the regional vorticity balance, but 33 it does enter the vorticity balance through the vortex stretching term. The results also suggest that wind forcing acts to produce larger, faster moving, and longer-lived anticyclonic eddies that impact the 34 35 western Gulf and modulate the circulation over monthly timescales.

36 1 Introduction

37 The Gulf of Mexico (GoM) is a semi-enclosed sea located in the western Atlantic Ocean, connected 38 with the Caribbean Sea through the Yucatan Channel and with the North Atlantic Ocean through the 39 Florida Straits (Figure 1). The circulation in the basin is forced at the surface by the wind stress, and to a lesser degree by heat and freshwater fluxes, and at the Yucatan Channel by the Yucatan Current. 40 41 The Yucatan Channel flow is driven by the western boundary current of the North Atlantic Subtropical 42 Gyre, an anticyclonic circulation forced by the northward compensation of the Sverdrup transport due 43 to the wind stress curl, and the surface component of the meridional overturning cell (Schmitz, 2005), vielding a mean transport of 27.0 ± 0.5 Sv (1 Sv = 10⁶ m³ s⁻¹) (Athié et al., 2015). In the eastern GoM, 44 the Yucatan Current enters the GoM through the Yucatan Channel and forms an anticyclonic looping 45 46 circulation, the Loop Current, which, in its extended phase, intrudes further north and episodically 47 sheds large warm-core anticyclonic vortices called Loop Current Eddies (LCEs). These LCES have a 48 time interval between separation events (referred to as LCE separation period) observed to range from 49 a few weeks to 18-19 months (Leben, 2005; Sturges and Leben, 2000; Vukovich, 2012). LCEs have diameters of about 300 km or more (Vukovich, 2012), an average westward propagation speed of 4.4 50 51 +/- 2.9 km/day (Leben, 2005; Vukovich, 2007) and lifetimes of months to approximately a year (Elliott, 52 1982; Frolov et al., 2004). The LC exits the basin through the Florida Straits becoming the Florida 53 Current and then the Gulf Stream.

54 The upper layer circulation of the western Gulf is commonly described in two subregions with 55 different behavior: the northwestern region is dominated by an anticyclonic circulation and the 56 presence of mesoscale eddies (LCEs) (Vidal et al., 1994), and the Bay of Campeche (BoC) in the south, 57 characterized by a cyclonic circulation known as the Campeche Gyre (Vázquez De La Cerda et al., 58 2005, Pérez-Brunius et al., 2013; Olvera-Prado et al., 2023b). It has been shown that circulation on the 59 shelves presents strong seasonality driven by the wind (Zavala-Hidalgo et al., 2003; Morey et al., 60 2005). Through an analysis using self-organizing maps, Meza-Padilla et al. (2019) extracted circulation patterns from modeled salinity and current fields in the western GoM and confirmed the dominant role 61 62 of LCEs over local and regional dynamics as suggested by previous studies. By comparing different sources of observational data, Sturges (2020) found that the mean near-surface flow in the southwestern 63 GoM depicts an east-west direction with values ~10 cm/s or more, and no clear evidence of a near-64 65 surface return flow back to the east. In the northwestern part, the author found that mean flow is not 66 significantly different from zero, and transport to the west from LCEs is possibly returned in a deep 67 boundary flow driven by the rectification of deep topographic Rossby waves.

68 Vázquez De La Cerda et al. (2005) presented strong evidence of a mean cyclonic gyre in the BoC likely forced by the positive wind stress curl that prevails in this region throughout the year (Figure 69 2f), using oceanographic observations available at the time. Other studies suggest that the LCEs 70 71 collapsing against the western boundary influence the cyclonic circulation in the BoC in an irregular 72 manner. Vidal et al. (1992), concluded that the collision of a LCE with the southwestern continental 73 shelf led to a transfer of mass and angular momentum to the south, thereby producing a cyclonic eddy 74 in the BoC. Also, Romanou et al. (2004) suggest that the cyclonic circulation in the BoC is caused by accretion of cyclones generated in the western Gulf by interaction of LCEs with the continental slope. 75 76 Using a set of observations, Pérez-Brunius et al. (2013) provided further evidence that the cyclonic gyre is vertically coherent, extending below 1000 m and confined to the deep western basin. The 77 78 authors concluded that the cyclonic gyre results from the contributions of wind stress curl and 79 topographic confinement via conservation of potential vorticity in an equivalent barotropic flow but 80 suggest the need to determine the role the wind plays in the seasonal modulation of the cyclonic circulation. Their data also suggest that the intraseasonal variability of the surface currents are mainly 81 82 due to changes in the position, size and intensity of the cyclonic gyre, influenced by energetic LCEs 83 impacting the western boundary. Using a set of numerical simulations, Olvera-Prado et al. (2023b) 84 found that the wind is the dominant factor modulating the seasonal variability of the Campeche Gyre,

85 and that the LCEs that collide with the western boundary, interacting with the gyre, contribute to the

86 non-seasonal component of the flow, consistently decelerating and inhibiting it through a positive

87 vorticity flux out of the BoC.

88 Comparatively, studies on the relative contributions of the wind and the LC-induced circulation 89 for the entire GoM are scarce. Early studies suggested that the wind stress (Figure 2e) and basin 90 geometry of the GoM seem suitable for the development of a Western Boundary Current (WBC) from 91 the combined effects of LCEs and large-scale wind stress curl forcing (Figure 2f) (Sturges and Blaha, 92 1976), although the relative importance of such forcings was not fully understood. Fundamental work 93 by Elliott (1982) of LC versus wind energy sources indicate that although the energy contribution of the wind stress and LC rings is about the same (2.8 $\times 10^4$ Jm⁻² and 5.1 $\times 10^4$ Jm⁻², respectively), the 94 wind stress energy is a basin-wide value, whereas the ring's available potential energy is concentrated 95 96 into a smaller length scale consistent with the north-south scale of the WBC. Contrary to Elliott's 97 (1982) deductions, Sturges (1993) examined their relative contribution by focusing on the annual cycle 98 of the estimated flow as deduced from a compilation of ship's drift data and concluded that the WBC 99 is driven by the annual variation in wind stress curl augmented by Ekman Pumping, with the current's 100 flow along the WBC strongest in July and weakest in October. He also found evidence that LCEs shed 101 from the Loop Current had no annual periodicity, so they make no significant contribution to the long-102 term annual signal. Vidal et al. (1999), through an analysis of the geostrophic circulation in the western 103 Gulf during summer in 1985, found that the WBC is formed by conservation of angular momentum 104 produced by the collision of LCEs against the western boundary and concluded that in the presence of 105 a LCE, the wind-driven background circulation is overwhelmed. Lee and Mellor (2003) noted that, in 106 addition to wind forcing, their model-determined anticyclonic upper-level circulation in the western 107 Gulf is strongly influenced by the average contribution of LCEs propagating to the west while 108 dispersing anticyclonic vorticity. The bulk of these previous studies suggest that seasonal flow in the 109 western GoM is wind forced, but that a detailed quantitative determination of the partitioning between 110 the wind and eddy contributions to the mean flow is needed (Perez-Brunius et al., 2013, Zavala-Hidalgo 111 et al., 2014).

112 In this study, we investigate the relative importance of the wind and the LCEs in driving the 113 circulation of the western GoM using a set of two long-term, free-running numerical simulations 114 conducted with the HYbrid Coordinate Ocean Model (HYCOM), in which we isolate the effects of 115 these processes to discern their relative contributions. First, the separate and joint mean effect of the 116 wind and LCEs is examined through calculation of a circulation index in three subregions defined over 117 deep waters (>1000 m) in the western GoM: north-western (NW), central-western (CW), and south-118 western (SW). Results from this calculation show that wind forcing reverses the mean circulation in 119 the NW region from weakly cyclonic in the non-wind forced simulation to weakly anticyclonic, 120 similarly enhances the anticyclonic circulation in the CW region, and forces the cyclonic circulation in 121 the SW region. Next, a vorticity balance is performed over these subregions to analyze the contribution 122 of the different terms in the balance and their relationships with the physical processes occurring in the 123 region, including the wind and LCEs. This analysis clarifies the relative roles of wind forcing and 124 eddies in governing the vorticity budget of the upper 1000 m in the western GoM regions. Wind forcing 125 impacts the vorticity budget through the vortex stretching, with eddies contributing to the modulation 126 of the vorticity on monthly timescales. The layout of this paper is as follows: In section 2, the numerical 127 setup is described, together with a quantification of the impact of atmospheric forcing on LCE metrics. 128 The relative contributions of the wind and LCEs to the circulation of the western GoM is addressed in 129 section 3. In section 4, a vorticity balance is used to infer the role of different processes in sustaining 130 the circulation of the western GoM over different time scales. Finally, a summary of the results and

- 131 conclusions are presented in section 5.
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133 **2** The numerical simulations

134 To address the contributions of the wind and mesoscale processes to the circulation in the western GoM, two free-running (i.e., no data assimilation) simulations were conducted in the GoM region with 135 HYCOM. HYCOM uses a generalized hybrid vertical coordinate system that allows vertical 136 coordinates to follow isopycnal layers in the deep stratified ocean and transition to pressure coordinates 137 or terrain-following coordinates in unstratified regions or coastal areas, respectively (Bleck, 2002; 138 Chassignet et al, 2006). The regional HYCOM GoM domain is equivalent to the one used by 139 140 Dukhovskoy et al. (2015) and Laxenaire et al. (2023), configured from 18°N to 32°N and 98°W to 77°W (Figure 1), therefore covering the northwestern Caribbean Sea and part of the western North 141 Atlantic Ocean, with a 1/25° horizontal resolution (~3.8-4.2 km) and 36 hybrid vertical layers, which 142 143 are mainly isopycnal layers in the open ocean below the mixed layer and z-layers in it. Both simulations use monthly climatology open boundary conditions constructed from the 22-year (1994-2015) 1/12° 144 Global Ocean Forecasting System 3.1 reanalysis GLBb0.08-53.X (Metzger et al, 2017). The target 145 densities, which define the vertical grid in the model, are inherited from the global reanalysis. 146

147 Both simulations share the specifications mentioned above, however, their main features are 148 listed below:

- Experiment GOM-W is the control run since it is the most realistic simulation with full atmospheric forcing. Following spin-up, hourly atmospheric forcing (10-m wind speed, 2-m air temperature, 2-m atmospheric humidity, surface shortwave and long-wave heat fluxes, surface atmospheric pressure, and precipitation) is prescribed using the Climate Forecast System Reanalysis (CFSR) (Saha et al, 2010) from 1997-2015. Wind stress is calculated using bulk formulas during model run time taking into account the surface current speed.
- Experiment GOM-noW (no wind forcing). The wind forcing is turned off to discern the influence of LCEs in the absence of wind forcing. Sea surface temperature (SST) and sea surface salinity (SSS) are restored to monthly climatological SSTs and SSSs from the Generalized Digital Environmental Model v. 4 (GDEM4).

159 Both experiments were initialized from the mean state of January 1994 of the global reanalysis, and they were run from 1994 to 2015 (22 years) with outputs saved daily. Please refer to Dukhovskoy 160 et al. (2015), Laxenaire et al. (2023), and Olvera-Prado et al. (2023b) for more specifications of the 161 162 numerical setup. The control experiment (GOM-W) was thoroughly validated against altimetry and insitu observations in Olvera-Prado et al. (2023b). The evaluation showed realistic LC variability, 163 including LC extension and length, and distribution of LCE separation period, monthly occurrence and 164 trajectories. The Yucatan Channel flow structure and transport comparisons with data were also in 165 good agreement. Finally, the model was shown to successfully resolve the mean deep circulation 166 patterns and energy fields previously reported by observational studies. It is important to note that 167 168 identical open boundary conditions are prescribed in both simulations, thus ensuring that the role of the wind in modifying some of the LC and LCE characteristics can be isolated. 169

The impact of the wind forcing on LCE metrics was evaluated by tracking the LC and LCE fronts using the 0.17-m contour in the 22-year record of demeaned SSH fields for experiments GOM-W and GOM-noW (Leben, 2005; Dukhovskoy et al., 2015; Olvera-Prado et al. 2023b). Results from the objective tracking technique identified a total of 37 and 36 separation events for experiments GOM-W and GOM-noW, respectively. The mean LCE separation period, which is the time between two

175 consecutive separation events, is 7 months with a median of 6.2 months for experiment GOM-W. 176 Meanwhile for experiment GOM-noW, the mean separation period is 7 months with a median of 6.6 177 months. Overall, there is good agreement in the distribution of the LCE separation period between 178 experiment GOM-W and the observations (Sturges and Leben, 2000). Although the shape and size of 179 a mesoscale eddy generally evolve during its life cycle, here the area of the LCE is used as an overall 180 measure of size and provide some insight on the impact of wind forcing on the LCEs. Table 1 shows 181 the mean LCE area from detachment until death for experiments GOM-W and GOM-noW. The mean 182 value of each distribution indicates that GOM-W LCEs are, on average, slightly bigger with wind forcing when compared to GOM-noW, 3.75 x10⁴ km² against 3.22 x10⁴ km². The mean lifetimes for 183 the LCEs are roughly 252 and 237 days with standard deviations of 122 and 103 days for experiments 184 GOM-W and GOM-noW, respectively (Table 1). These values fall within published estimates of ~ 6 185 186 months to one year (Elliott, 1982; Frolov et al., 2004). Mean westward propagation speeds are 3.6 and 187 3.12 km/day with standard deviations of 1.31 and 0.78 km/day for GOM-W and GOM-noW respectively. These results are comparable to several previous observational and numerical studies 188 189 (Leben, 2005; Vukovich, 2007, and more) based on the westward long Rossby wave speed around 190 these latitudes. These results suggest that the presence of wind forcing tends to increase the LCE size, 191 lifespan, speed and distance traveled before dissipation, and therefore the eddies in the simulation with 192 atmospheric forcing (GOM-W) are likely to more strongly impact the circulation in the western Gulf. 193 This is consistent with the increase seen in SSH variability along the LCE westward pathway in the

194 GOM-W simulation over the GOM-noW simulation (Figure 2).

195 **3** Mean contribution of wind and LCEs to the GoM circulation

We first address the relative importance of the wind and eddy-driven circulation in the GoM by examining the mean circulation obtained averaging the 22 years of daily output for each experiment. Figure 2 shows the maps of mean surface velocity and SSH for experiments GOM-noW (a) and GOM-W (b).

200 Experiment GOM-noW depicts a narrow area of high pressure, extending from the LC through 201 the central-western region which mimics the south-west trajectory of LCEs once they detach from the 202 LC, represented by light blue color (negative anomalies after demeaning). An interesting result is the 203 absence of a surface cyclonic circulation associated with a low in dynamic height representing the 204 Campeche Gyre in the BoC for experiment GOM-noW. Olvera-Prado et al. (2023b) found that the 205 average effect of topographic confinement in the western BoC can sustain a relatively weaker 206 subsurface cyclonic circulation via potential vorticity conservation of an equivalent-barotropic flow in 207 the absence of wind forcing.

208 Experiment GOM-W shows a broader anti-cyclonic circulation over the central- and north-209 western regions (Figure 2b) located from 90°W to the western boundary and from 20°N to the northern 210 boundary of the domain covering an area of approximately 700 x 500 km. This difference with GOM-211 noW is consistent with the role of the negative wind stress curl that prevails over the region (Figure 212 2f). In the BoC, bound to the west, the semi-permanent cyclonic circulation is shown with center at 95.5°W, 20°N and is represented by dark blue (a low in dynamic height) in Figure 2b. Higher values 213 214 of SSH standard deviation extending westward of 90°W is a manifestation of the larger and longer-215 lived LCEs found in the wind-forced simulation.

To quantify the circulation in the Gulf, an index is computed over the pre-defined regions of the western Gulf (Figures 1 and 2) over deep waters (>1000 m): north-western (NW), central-western (CW), and south-western (SW). We first assume that the GoM can be represented by a two-layer system with the interface at 1000 m (Hamilton, 2009). We can then compute the depth-averaged area220 integrated relative vorticity of the upper layer, i.e., the Circulation Index (CI). According to the Stokes'

221 theorem, the circulation, Γ , defined by the line integral of the velocity field around a closed curve C,

222 can be computed from the area integral of the vorticity over the area enclosed by the curve A as

$$\Gamma \equiv \int_{C} u \cdot ds = \int_{A} (\nabla \times u) \cdot dA.$$
⁽¹⁾

For this calculation, the 1000 m depth-averaged velocities are used and Γ becomes the CI. The CI is computed individually in each of the western basin subregions delimited by the dashed lines in Figures 1 and 2: NW, CW and SW. The time series of CI (not shown) for the GOM-W and GOM-noW experiments show no marked seasonal cycle; therefore, we focus on the average CI value for each subregion represented with a bar chart in Figure 3.

Focusing first on the NW region, it is observed that experiment GOM-noW produce a cyclonic 228 229 circulation on average with mean $CI = 0.8 \times 10^4 \text{ m}^2/\text{s}$, while for experiment GOM-W, the mean CI is = -0.5×10^4 m²/s meaning that the average circulation is anticyclonic. Now, if the western GoM 230 231 circulation can be thought as an eddy-induced flow superimposed over the wind driven circulation, it 232 could be said that in the NW region the eddy-driven flow is slightly cyclonic on average, and changes 233 to slightly anticyclonic with the inclusion of wind. Second, in the CW region, both experiments produce 234 an anticyclonic circulation (negative CI mean), somewhat expected since it is observed on the 235 horizontal maps of time-averaged velocity and SSH. The anticyclonic circulation is somewhat stronger 236 in the presence of wind (experiment GOM-W). Using the same analogy as above, it could be said that 237 wind tends to strengthen the anticyclonic circulation as seen in the more negative value of the mean CI 238 of experiment GOM-W (red bar). Finally, in the SW region, both experiments produce a cyclonic 239 circulation on average (positive CI mean) verifying the presence of the quasi-permanent gyre in the 240 western BOC, a result also expected. -It is noteworthy, however, that the CI is more positive in the 241 experiment with wind (GOM-W) by a factor of approximately 3 times the standard deviation, 242 demonstrating the importance of the wind stress curl in strengthening the Campeche Gyre in the BoC. This result could be confirming that the Campeche gyre is the result of the contribution of, at least, 2 243 244 processes: one being the topographic confinement and the other the cyclonic circulation produced by 245 the positive wind stress curl that prevails over the region throughout the year (Figure 2f).

246 The role of the wind stress in determining the circulation of the western GoM can be further 247 understood by considering the linear response of the ocean to the wind forcing, or the Sverdrup 248 circulation (Figure 4) as in Townsend et al. (2000). Barotropic Sverdrup transport streamlines show 249 an anticyclonic circulation strongest in the NW region (about 5 Sv) weakening over the CW region. 250 This result is consistent with the reversing of the mean circulation from weakly cyclonic to anticyclonic with the addition of wind to the nonlinear HYCOM simulation and slightly strengthening the 251 252 anticyclonic circulation in the CW region (Figure 3). A strong barotropic Sverdrup circulation is 253 induced by the wind in the SW region, again consistent with the profound strengthening of the cyclonic 254 circulation in this region when wind forcing is added to the nonlinear HYCOM simulation (Figure 3).

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256 4 The vorticity balance in the western Gulf of Mexico

257 |Analysis of the vorticity budget through calculation of the different terms in the vorticity
258 equation has been used to elucidate the roles of different physical processes in governing the circulation
259 of many ocean regions in numerical model studies (e.g., Boudra and Chassignet, 1988; Murray et al.,
260 2001; Azevedo Correia de Souza et al., 2015). Understanding the upper ocean vorticity balance in the
261 GoM provides insight into the conditions under which the circulation is driven by certain processes,

such as those associated with the wind and the LCEs. For this study, the vorticity balance equation is

263 derived from the momentum equation given by

$$\frac{\partial \mathbf{v}}{\partial t} + \nabla \frac{\mathbf{v}^2}{2} + (\zeta + f)\mathbf{k} \times \mathbf{v} = -\nabla M + \alpha \frac{\partial \tau}{\partial p} + (\Delta p)^{-1} \nabla \cdot (\nu \Delta p \nabla \mathbf{v})$$
(2)

where **v** is the horizontal velocity vector (u, v), ζ is the relative vorticity, f is the Coriolis parameter, **k** is vertical unit vector, $M = z + p\alpha$ is the Montgomery Potential, α is the specific volume of water, τ is the wind stress, *v* is the horizontal turbulent viscosity and Δp is the layer thickness. Taking the vertical component from the curl of 2, yields the differential form of the relative vorticity equation. Then, it is possible to estimate the contribution of different forcing terms to the vorticity balance, calculated at each model grid point,

$$\frac{\partial \zeta}{\partial t} = -\underbrace{(u,v) \cdot \nabla \zeta}_{ADV} - \underbrace{v\beta}_{BETA} - \underbrace{(\zeta+f) \cdot \nabla \cdot \mathbf{v}}_{STRCH} + \underbrace{\alpha \nabla \times \tau_z}_{WIND} + \underbrace{\nabla \times \{(\Delta p)^{-1} \nabla \cdot (v \Delta p \nabla \cdot \mathbf{v})\}}_{VISC}$$
(3)

where, in addition to the terms described above, β is the meridional gradient of *f*. On the right hand side of Equation 3, the first term is the advection of relative vorticity (ADV); the second term is the advection of planetary vorticity (BETA); the third term is the vortex stretching (STRCH); the fourth term is the wind stress curl (WIND) and the fifth term is the viscous stress curl (VISC). Equation 3 is then discretized in a similar fashion to how Boudra and Chassignet (1988) did it for the Agulhas region, i.e.,

$$\frac{\partial \zeta}{\partial t} = -\overline{u}^{\overline{x}\overline{y}}\Delta_{x}\overline{\zeta}^{x} - \overline{v}^{\overline{x}\overline{y}}\Delta_{y}\overline{\zeta}^{y} - \overline{v}^{\overline{x}\overline{y}}\Delta_{y}f^{y} - (\zeta + f)\overline{\Delta_{x}u + \Delta_{y}v}^{xy} + \alpha\left(\Delta_{x}\left\{(\overline{\Delta p}^{y})^{-1}\tau_{y}\right\} - \Delta_{y}\left\{(\overline{\Delta p}^{x})^{-1}\tau_{x}\right\}\right) + \nu\left(\Delta_{x}\left\{\Delta_{x}^{2}v + \Delta_{y}^{2}v\right\} - \Delta_{y}\left\{\Delta_{x}^{2}u + \Delta_{y}^{2}u\right\}\right)$$
(4)

where the $\Delta_{(x,y)}()$ operator is the difference between () at neighboring grid points divided by the (x,y)directions grid distance. Similarly () is an average of () over consecutive grid points.

278 **4.1 Time-mean vorticity balance**

279 Determining the dominant balances of terms of the vorticity equation aids in understanding the physical mechanisms that govern the mean and variability of the circulation. This analysis examines 280 the time-mean upper-layer vorticity balance in the NW, CW, and SW regions of the GoM extending 281 seaward of the 1000m isobath. First, all the variables of Equation 4 are depth-averaged over the upper 282 283 30 layers of the model, corresponding to an upper layer extending down to a mean depth of approximately 1000 m, with the exception of the wind stress τ , which is a surface variable. Then, the 284 285 time-averaged spatial fields of the terms in Equation 4 are compared for experiments GOM-noA and 286 GOM-A.

Focusing on the western Gulf, the mean relative vorticity for experiment GOM-noW (Figure 5a) shows an anticyclonic maximum in the CW sub-region (negative values in blue) centered at 96°W and 23°N, which is accompanied with small regions of negative and positive relative vorticity. In fact, a secondary maximum of cyclonic vorticity (positive values in red) is observed to the east of the 291 anticyclonic maximum. The location of this secondary maximum suggests that it may be the result of 292 two processes, one is the barotropic signature of the Sigsbee Abyssal Gyre (Pérez-Brunius et al., 2018), a feature that has been recently found to be well-resolved in non-data assimilative HYCOM simulations 293 294 (Morey et al., 2020, Olvera-Prado et al., 2023a), and the other process may involve the cyclones that interact with LCEs and form directly to their north, which eventually travel clockwise surrounding the 295 LCE and with a final fate around this region (Frolov et al., 2004). In the SW region, the cyclonic 296 297 vorticity maximum in the western BoC is consistent with the quasi-permanent cyclonic circulation 298 mentioned earlier, and there is even a small area with anticyclonic vorticity just to the north that could 299 be produced by LCEs colliding with the western boundary and entering the SW sub-region. The NW 300 sub-region seems to be influenced by the LCEs traveling on a northern path and reaching the western 301 boundary.

302 Experiment GOM-W (Figure 5b) also depicts a region of strong anticyclonic vorticity 303 dominating in the CW sub-region, being consistent with the mean circulation on the western boundary 304 of figure 2b and with the region where most of the LCEs dissipate (LCs paths not shown). This structure 305 is stronger and more organized compared to experiment GOM-noW. In the SW sub-region, the 306 cyclonic vorticity maximum in the western BoC is also consistent with the Campeche Gyre, but with 307 larger magnitude than the GOM-noW experiment. The NW sub-region shows no very defined pattern, only a pair of cyclonic anticyclonic regions that could be the result of the average contribution of wind 308 309 and LCEs.

The relative vorticity structure over the LC region depicts similar patterns in both experiments, a strong anticyclonic vorticity core surrounded by a cyclonic vorticity band produced by the cyclones formed around the front of the LC. This average structure suggests the dominance of the LC system over the role of the wind in the eastern region.

314 The horizontal maps of the STRCH, ADV and BETA terms averaged over the 22-year period are 315 shown in figure 5c-e and g for experiment GOM-noW. The average contribution of advection (Figure 316 5e) reveals paths of advected anticyclonic and cyclonic vorticity (blue and red respectively) through 317 the CW and NW regions, with a north-south orientation, presumably responsible for the anticyclonic 318 maximum and part of the secondary cyclonic maximum shown in Figure 5a. Also, the SW region shows an area of advection of cyclonic vorticity, which contributes to the cyclonic vorticity maximum 319 320 in that region. Similarly, the advection of planetary vorticity (Figure 5g) seems to be responsible for 321 conveying part of the anticyclonic vorticity carried by LCEs northward, once they reach the western 322 boundary (around 96.5° W and confined mainly within the CW and NW regions) and part of the 323 cyclonic vorticity carried by the cyclones formed to the north of LCEs southwards, once they reach the western boundary (around 95° W within the CW and NW regions). Being a second-order derivative, 324 325 the vorticity gradient present in the ADV term is highly sensitive to noise, producing a noisier ADV 326 field, which can be seen in Figure 5e while the planetary vorticity advection term, a first order 327 derivative, presents a smoother pattern (Figure 5g). However, it is somewhat noticeable that the 328 meridionally-oriented bands of positive and negative BETA on the western gulf are balanced partially 329 by ADV. The above is reasonable given the fact that these two terms are also highly balanced over the 330 LC region, an interesting fact beyond the aim of this study but certainly important to mention. The 331 mean map of STRCH is also shown (Figure 5c). It exhibits similar magnitude values as ADV and 332 BETA in the western GoM, but with no clear pattern that could be related to a particular physical 333 process.

The horizontal maps of the vorticity balance STRCH, ADV and BETA terms for experiment GOM-W are shown in Figure 5d, f, and h. In general, ADV and BETA present similar structure and behavior to those for experiment GOM-noW, but with higher magnitude values. The meridionally337 oriented bands of positive and negative BETA are compensated partially by the ADV term. On the 338 other hand, the mean contribution of STRCH term to the mean vorticity field is stronger and depicts a 339 defined pattern in GOM-W. The map shows strong paths of anticyclonic and cyclonic vorticity oriented in a northeast-southwest direction in the NW and CW subregions. These paths propagate down to the 340 341 SW subregion, where a strong core of positive vorticity is located in the Campeche Gyre region. The fact that this particular distribution of paths appear with such strength in the wind-driven experiment 342 343 (GOM-W), suggests that they can be related to the wind forcing and, therefore, the STRCH term can 344 be a mechanism for the input of vorticity through wind stress (e.g., Ekman pumping). Results of the 345 vorticity analysis also indicate that the contributions of the WIND and VISC terms to the mean vorticity 346 field are negligible in both experiments; therefore, these maps are not presented. Overall, the presence 347 of a well-organized (mean) circulation indicates that the dominant contribution to mean surface relative 348 vorticity is stretching, advection of vorticity and planetary vorticity advection.

349 **4.2 Time-varying vorticity balance**

Analyses of the mean state and vorticity balances in the GoM do not yield a complete picture of the processes controlling the circulation due to its highly variable nature. The GoM circulation exhibits strong variability at high frequencies due to synoptic variability, monthly periods associated with its energetic mesoscale activity and long period variability due to seasonal and interannual modulation of inflow conditions and atmospheric forcing. The analysis in this section now focuses on the vorticity balances at high frequencies through inspection of instantaneous values of the terms of the vorticity equation, and at lower frequencies associated with mesoscale activity.

357 Figure 6a-h shows the horizontal maps of relative vorticity ζ , STRCH, ADV and BETA terms for experiments GOM-noW and GOM-W respectively. The maps are for the 8th of August 2000 for 358 359 GOM-noW (a, c, e and g), when two LCEs are located inside the CW subregion, and for the 7th of 360 September 1996 for GOM-W (b, d, f and h), a time when the LC depicts an intermediate stage, a LCE 361 is just detached from the LC and another LCE is located in the CW subregion. From these maps, one can observe large magnitudes of the terms representing advection of relative vorticity and planetary 362 363 vorticity over regions of strong currents like the LC or LCEs in both experiments. The BETA term (advection of planetary vorticity) has smaller magnitudes compared to the advection of relative 364 vorticity, but with less small-scale variability and thus appearing more coherent with the mesoscale 365 366 circulation features. In particular, the dominance of the meridional velocity in the BETA term leads to 367 defined patterns of negative and positive values found to the left and right sides of the LCE and LC due to the anticyclonic circulation (taking into account the negative sign in front of the term in Equation 368 369 3).

Instantaneous maps of the STRCH term reveal generally higher magnitudes and spatial variability in the GOM-W simulation compared to the GOM-noW simulation, which confines the higher spatial variability to a region over the LC and LCE. The differences in the STRCH terms in the GOM-W simulation can be due to wind-induced processes, such as Ekman transport, inertial currents and generation of more small-scale oceanic features. The enhanced values and variability of the STRCH term over the LC and LCE areas are likely associated with small scale frontal eddies or meanders traversing the periphery of the larger mesoscale features.

In order to look into the time-varying balance at longer time scales typical of the mesoscale variability in the Gulf, time series of the terms in Equation 3 are first constructed by integrating them over each sub-region for the whole 22-year record of daily model output. Then, a 30-day running mean filter is applied to the whole set of time series in the three sub-regions for GOM-noW and GOM-W (Figures 7e-f). Mostly, the balance in both cases is a combination of vortex stretching, planetary vorticity advection and advection of vorticity and to a lesser extent to viscous stress curl and wind 383 stress curl in GOM-noW. Figures 7b, d and f show that in the three sub-regions, the contribution of the 384 STRCH (green line) dominates, since it is the term that exhibits larger amplitude and variability, 385 especially in the NW and CW. However, in the NW and CW regions BETA (blue line) also becomes 386 important in some time periods. In fact, it can be seen that for periods of \sim 2-3 months length, BETA balances STRCH. The advection of vorticity (pink line) in GOM-W occurs either because it balances 387 STRCH when it and BETA are out of phase or to help BETA compensate STRCH when its amplitude 388 389 is high. There are a few exceptions with periods when BETA is negligible and ADV compensates 390 STRCH entirely. In GOM-noW (Figures 7a, c and e), STRCH, BETA and ADV depict the same 391 behavior as in GOM-W, except that the latter still shows remnants of high-frequency variability after 392 the filter was applied. In both experiments, typical values of vortex stretching, and planetary vorticity advection are around +/- 1 x10¹⁰ m²s⁻² with maximum values of +/- 2 x10¹⁰ m²s⁻², while advection of 393 vorticity does not increase above +/- $0.5 \times 10^{10} \text{ m}^2\text{s}^{-2}$ in most of the cases. On the other hand, wind 394 stress curl (gray line) in GOM-W shows values an order of magnitude smaller and its contribution to 395 396 the balance becomes important in apparent atmospheric events, presumably during storms such as cold 397 fronts or tropical cyclones.

398 To assess the importance of the different terms in the vorticity balance over long time scales and 399 how they compare between experiments, a scale analysis was performed for the low-pass filtered data 400 of GOM-noW and GOM-W for the whole 22-year simulation. The scale analysis consists of dividing 401 each term by the sum of them i.e., $\partial \zeta / \partial t$ as defined in Equation 3, in order to ascertain how much of 402 the variability is explained by each term. Figure 8 shows box plots of the distribution of scaled terms 403 for each sub-region for the filtered time series. The wide distributions of STRCH in both experiments 404 confirms its dominance in impacting the variability in the three sub-regions. It can reach values as high 405 as 5 times $\partial \zeta / \partial t$, with an exception for the CW region in GOM-noW (blue box plots) where ADV 406 becomes equally important as STRCH. ADV and BETA show secondary importance and distributions 407 behave similarly between GOM-noW and GOM-W. WIND and VISC contributions to the vorticity 408 balance can be considered negligible. An interesting result is that the distributions of the vorticity 409 balance terms show a smaller variability for GOM-W than for GOM-noW in the three subregions; this 410 fact suggests that circulation remains more persistent through the year in the presence of wind forcing.

411 Now the question arises as to what ocean process is responsible for the first-order balance 412 between STRCH and BETA lasting around 2-3 months, especially because these events happen with 413 apparently no periodicity. Our hypothesis is that these events could be related to mesoscale features 414 like LCEs. Therefore, we focus on a single event, in this case the one happening in late 1996 of the 415 GOM-W simulation, to shed more light into the problem. Figure 9 shows the instantaneous horizontal 416 maps of vorticity ζ, BETA and STRCH terms for October 1996 for the control experiment (GOM-W), 417 when a LCE is entering the NW region, along with the time series of BETA and STRCH in which the 418 vertical red line indicates the time in which the snapshots are shown. Also, the gray thick contours 419 show the 0.17 m contour representing the LCE and LC cores. It can be observed that when the eddy is 420 entering the region, BETA becomes more negative and STRCH more positive, balancing each other; 421 this suggests that the northward velocity in the region becomes stronger and the water column stretches 422 due to the arrival of the deepened isopycnals within the eddy.

423 **5** Summary and conclusions

The Gulf of Mexico is one of the most studied regions in the world ocean, even so, there is still a need to better understand the mechanisms that govern the circulation in the region. One example is the western Gulf, where the circulation over deep waters responds to the large-scale, low-frequency winds and the migration of LCEs in a complex way. We used two multi-year, free-running numerical simulations configured for the Gulf of Mexico using HYCOM in order to help us better understand the role of the wind, LCEs and other processes in the circulation of the Gulf but in particular the western region. The results show that there is an indirect effect of the wind on the circulation leading to an increase of the LCE size, lifespan, speed and distance traveled. Although the scope of the present study is not to understand the mechanisms behind this behavior, the fact that LCEs tend to stay longer in the western GoM in the presence of wind, means that the region is exposed to more vorticity input through LCEs. Therefore, we can expect that, for instance, the BETA term in the vorticity balance becomes more important more frequently in experiment GOM-W.

436 We computed a circulation index (CI), which is the depth-averaged and horizontally-integrated relative vorticity for the three sub-regions in the western Gulf, to estimate the average contribution of 437 the wind and LCEs on the circulation of each region. Although the physics of the ocean model is 438 439 nonlinear, the results show that the effect of these factors is approximately additive as long as the western GoM circulation is thought as an eddy-induced flow superimposed over a wind-driven 440 441 circulation. We found that, in the NW region the LCEs produce a mean cyclonic flow in the absence 442 of wind. When the wind is added, the circulation changes to weakly anticyclonic. In the CW region. 443 Mean anticyclonic flow induced by the wind tends to enhance the comparatively weaker anticyclonic flow induced by LCEs. In the SW region LCEs and topographic confinement can induce a cyclonic 444 flow, which is enhanced by the wind. 445

We analyze the upper (~1000 m) ocean vorticity field in order to obtain a description of the 446 447 contribution of different processes to the circulation in the three western sub-regions described above 448 and at different time scales. The results show that stretching (STRCH), the advection of relative vorticity (ADV) and the advection of planetary vorticity (BETA) are the dominant terms transporting 449 450 or moving around vorticity on average. This can be interpreted as the influence of the mesoscale activity generated by the LCEs and low-frequency wind variability. We speculate that the high-451 frequency wind variability is responsible for the inertial currents present in the STRCH instantaneous 452 453 field and in the area-integrated time series. We found that there is a primary balance between the low-454 pass filtered area-integrated time series of BETA and STRCH within the NW and CW regions at 455 irregular intervals. This balance is reached by means of isopycnal deepening and strengthen of the ycomponent of the velocity occurring whenever a LCE enters the eastern boundary of the NW or CW 456 457 sub-regions, therefore the variability of these terms become a good indicator of LCEs entering the western Gulf. On the other hand, the scaling analysis helps to determine the relative importance of the 458 459 STRCH and BETA terms on long time scales, as well as the more persistent cyclonic circulation in the 460 BOC compared with the CW and NW regions. Finally, we found that the input of vorticity through wind stress curl (WIND) and viscous stress curl (VISC) are negligible. However, it is important to 461 clarify that this fact does not mean that the contribution of wind to the circulation is null. 462

463 **Conflict of Interest**

- 464 The authors declare that the research was conducted in the absence of any commercial or financial 465 relationships that could be construed as a potential conflict of interest.
- 466 Author Contributions
- 467 All authors designed the study, analyzed, interpreted the data, and contributed to the writing of the468 manuscript. EROP ran the numerical simulations. All the authors approved the submitted version.

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481 **Data Availability Statement**

482 The raw data supporting the conclusions of this article will be made available by the authors upon 483 request, without undue reservation.

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598 **Figure Captions**

- 599 Figure 1. Schematic of the major upper layer circulation patterns in the GoM: The Loop Current (LC), Loop
- 600 Current Eddy (LCE), the Western Anticyclonic Gyre (WAG) and the Campeche Gyre (CG). The boundaries of
- 601 the three western subregions are also shown in in black lines. The gray contours represent the 1000-, 2000-, and 602 3000-m isobaths.
- 603 Figure 2. (a and b) Mean surface velocity vectors and SSH contours for experiments GOM-noW and GOM-W
- 604 respectively; standard deviation of the SSH from (c) GOM-noW and (d) GOM-W (e) mean wind stress vectors
- 605 and (f) mean wind stress curl. Gray contours in a-d represent the 1000-, 2000-, and 3000-m isobaths.
- 606 Figure 3. Mean circulation index computed in the three sub-regions for experiments GOM-noW (blue) and 607 GOM-W (red). Error bars indicate one standard deviation.
- 608 Figure 4. Wind-induced linear Sverdrup circulation computed as in Townsend et al. (2000).
- 609 Figure 5. Mean maps of upper-layer relative vorticity derived from modeled velocity for experiments (a) GOM-
- 610 noW and (b) GOM-W; stretching for (c) GOM-noW and (d) GOM-W; advection of vorticity for (e) GOM-noW
- 611 and (f) GOM-W and; planetary vorticity advection for (g) GOM-noW and (h) GOM-W. Gray contours represent
- 612 the 1000, 2000 and 3000 m isobaths, and black dashed lines the limits of the NW, CW and SW regions.
- 613 Figure 6. Instantaneous maps of upper-layer relative vorticity derived from modeled velocity for experiments
- (a) GOM-noW (August 8th, 2000) and (b) GOM-W (September 7th, 1996); stretching for (c) GOM-noW and (d) 614
- 615 GOM-W; advection of vorticity for (e) GOM-noW and (f) GOM-W and; planetary vorticity advection for (g)
- 616 GOM-noW and (h) GOM-W. Gray contours represent the 1000, 2000 and 3000 m isobaths, and black dashed
- 617 lines the limits of the NW, CW and SW regions. Although the dates differ between experiments, the aim is to
- 618 show the variability and magnitude of the fields rather than directly compare them.
- 619 Figure 7. Time series of low-pass filtered spatially-integrated advection of vorticity (pink line), advection of 620 planetary vorticity (blue line), stretching (green line), wind stress curl (gray line) and viscous stress curl (yellow 621 line); for experiment GOM-noW, for the (a) NW (c) CW and (e) SW regions; and for experiment GOM-W, for the (b) NW (d) CW and (f) SW regions. All values are multiplied by $x10^{-10}$. Red vertical dashed lines indicate 622
- 623 the LCEs shedding dates for the corresponding experiment.
- 624 **Figure 8.** Boxplots of the distributions of vorticity balance terms scaled by $\partial \zeta / \partial t$ in the three sub-regions and 625 for experiments GOM-noW (blue boxes) and GOM-W (red boxes).
- 626 Figure 9. Instantaneous fields of (a) relative vorticity, (b) STRCH and (c) BETAfor 21st of September 1996 of
- 627 experiment GOM-W; the time series are shown for the BETA and STRCH terms, for the (d) NW, (e)CW and
- 628 (f) SW. The vertical red line is centered on the date of the analysis.
- 630 Tables
- 631 Table 1. Mean LCE properties for experiments GOM-noW and GOM-W. Numbers in parentheses indicate the 632 standard deviations.
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Experiment	# events	Area (x10 ⁴ km ²)	Lifespan (days)	Translation speed (km/day)	Distance (km)
GOM-W	37	3.75 (1.71)	252 (122)	3.6 (1.31)	1405 (459)
GOM-noW	36	3.22 (1.66)	237 (103)	3.12 (0.78)	1285 (311)





















