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1 **Seasonal hydrological forecasts for watersheds over the Southeastern United States**
2 **for boreal summer and fall seasons**

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2

3 **Abstract**

4

5 We evaluate the skill of a suite of seasonal hydrological prediction experiments over 28
6 watersheds throughout the Southeastern United States (SEUS), including Florida,
7 Georgia, Alabama, South Carolina, and North Carolina. The seasonal climate
8 retrospective forecasts (the Florida Climate Institute–Florida State University Seasonal
9 Hindcast at 50 km [FISH50]) is initialized in June and integrated through November of
10 each year from 1982 through 2001. Each seasonal climate forecast has six ensemble
11 members. An earlier study showed that FISH50 represents state-of-the-art seasonal
12 climate prediction skill for the summer and fall seasons, especially in the subtropical and
13 higher latitudes. The retrospective prediction of streamflow is based on multiple
14 calibrated rainfall-runoff models. The hydrological models are forced with rainfall from
15 FISH50, (quantile-based) bias-corrected FISH50 rainfall (FISH50_BC), and resampled
16 historical rainfall observations based on matching observed analogues of forecasted
17 quartile seasonal rainfall anomalies (FISH50_Resamp).

18 The results show that direct use of output from the climate model (FISH50) results in
19 huge biases in predicted streamflow, which is significantly reduced with bias correction
20 (FISH50_BC) or by FISH50_Resamp. On a discouraging note, we find that the
21 deterministic skill of retrospective streamflow prediction as measured by the normalized
22 root mean square error is poor compared to the climatological forecast irrespective of
23 how FISH50 (e.g., FISH50_BC, FISH50_Resamp) is used to force the hydrological

1 models. However, our analysis of probabilistic skill from the same suite of retrospective
2 prediction experiments reveals that over the majority of the 28 watersheds in the SEUS,
3 significantly higher probabilistic skill than climatological forecast of streamflow can be
4 harvested for the wet/dry seasonal anomalies (i.e., extreme quartiles) using
5 FISH50_Resamp as the forcing. We contend that given the nature of the relatively low
6 climate predictability over the SEUS, high deterministic hydrological prediction skills
7 will be elusive. Therefore, probabilistic hydrological prediction for the SEUS watersheds
8 is very appealing, especially with the current capability of generating a comparatively
9 huge ensemble of seasonal hydrological predictions for each watershed and for each
10 season, which offers a robust estimate of associated forecast uncertainty.

11

1

2 **1. Introduction**

3

4 The Southeastern United States (SEUS) has the fastest growing population in the country
5 (Seager et al. 2009) and has a multibillion dollar agricultural industry, which is largely
6 rain fed (Hansen et al. 1998). Therefore, the SEUS requires a reliable prediction of
7 streamflow in its watersheds. Several studies have already indicated the benefits of
8 skillful streamflow prediction for water supply, agriculture, and hydropower generation
9 (e.g., Broad et al. 2007; Yao and Georgakakos 2001). The SEUS is the wettest region in
10 the continental United States during the boreal summer season (Chan and Misra 2010).
11 Chan and Misra (2010) further indicate that the SEUS also exhibits the largest seasonal
12 variance in the summer. However, most global climate models exhibit a poor seasonal
13 prediction skill, especially for rainfall during the summer and fall seasons over the SEUS
14 (Stefanova et al. 2012). In the winter and spring seasons, large-scale variations of global
15 SST (e.g., El Niño and the Southern Oscillation) have significant influence on the rainfall
16 variability in the SEUS (Ropelewski and Halpert 1986, 1987). There is an absence of
17 such teleconnection in the summer and fall seasons (especially at seasonal to interannual
18 time scales), which leads to corresponding reduction of the seasonal climate prediction
19 skill from climate models (Stefanova et al. 2012a).

20

21 Seasonal climate predictability is, however, rapidly evolving, with state-of-the-art climate
22 models that have increased in complexity and resolution over time (Saha et al. 2006,
23 2010; Gent et al. 2010, 2011), with updated data-assimilated products available for

1 initializing the various components of the climate system (Derber and Rosati 1989;
2 Rosati et al. 1997; Balmaseda et al. 2008; Cazes-Boezio et al. 2008; Saha et al. 2010; Xue
3 et al. 2011; Paolino et al. 2012), with newer ways of initializing the climate models
4 (Zhang et al. 2007; Yang et al. 2009; Balmaseda and Anderson 2009), and with increased
5 participation from several modeling groups (Palmer et al. 2004; Kirtman and Min 2009)
6 to generate multimodel estimates of seasonal climate. More recently, two multi-
7 institutional real-time projects in seasonal prediction have been instituted: the U.S.
8 National Multimodel Ensemble (NMME;
9 http://www.cpc.ncep.noaa.gov/products/ctb/MMEWhitePaperCPO_revised.pdf) and the
10 European Seasonal to Interannual Prediction (EUROSIP;
11 <http://cosmos.enes.org/uploads/media/TStockdale.pdf>) project that is anticipated to further
12 improve seasonal climate prediction skill and more importantly produce robust estimates
13 of seasonal forecast uncertainty.

14

15

16 Much of hydrological forecasting is based on empirical methods, like linear regression,
17 which use initial conditions and information on future climate conditions as predictors
18 (Rosenberg et al. 2011; Pagano et al. 2009). However, since the introduction of extended
19 streamflow prediction (Day 1985), the field of surface hydrological forecasting has
20 developed relatively fast with ensemble streamflow prediction (ESP; Franz et al. 2003;
21 McEnery et al. 2005; Wood and Schaake 2008; Bohn et al. 2010). ESP makes
22 probabilistic forecasts by running the calibrated hydrological models with a number of
23 realizations of meteorological forcing resampled from historical observations based on

1 matching analogues of the forecasted climate state. Calibrated hydrological models are
2 first run with historical observed climate data until the time of forecast to obtain initial
3 conditions for the hydrological model. Then the weather sequence resampled from
4 observed historical record corresponding to the closest analogue of the forecasted climate
5 state is used to force the hydrological models. Generations of daily precipitation by
6 resampling from historical record are widely used in hydrological, ecological, and
7 agriculture applications (Lobell et al. 2006; Wood and Lettenmaier 2006). The physical
8 and conceptual basis of hydrological models allows ESP to overcome some of the
9 limitations of the regression-based method. Furthermore, ESP is more flexible in the
10 sense that it can use output from different sources (e.g., different climate models). Unlike
11 the deterministic approach, the ESP framework provides information on the uncertainty
12 surrounding the point estimate, which may benefit water resources management
13 (Krzysztofowicz 2001). However, ESP is based on the premise that historical records are
14 representative of the possible future.

15

16 Some popular approaches to applying climate forecast in hydrology include using
17 observed resampling from historical data [e.g., the Schaake shuffle (Clark et al. 2004),
18 weather generators (Wilks 2002; Voisin et al. 2011), and climate downscaling (Wood et
19 al. 2004; Bastola and Misra 2013)]. In a resampling-based approach (e.g., the Schaake
20 shuffle), the number of years of historical data potentially restricts the number of
21 ensembles that can be generated. Furthermore, the Schaake shuffle reorders the samples
22 to preserve the observed spatial and temporal structure (Clark et al. 2004). The size of the
23 ensemble further reduces when resampling is made conditional upon the seasonal

1 forecast information. This makes the weather generator attractive. Weather generators
2 can generate daily weather sequences conditioned on climate forecasts. Weather
3 generators, however, may have limitations in reproducing certain observed statistics [e.g.,
4 underestimation of interannual variance of monthly mean, the distribution of hot and cold
5 spells (Semenov and Barrow, 1997)]. Furthermore, point weather generators are
6 inappropriate for multisite extension of the meteorological forcing that would become
7 essential if they were to be used for distributed or semi-distributed hydrological model
8 applications (Wilks 2002). In the climate model-based approach, the outputs from global
9 climate models are downscaled to finer resolutions and bias corrected to produce the
10 forcing for the hydrological model (e.g., Wood et al. 2005; Wood et al. 2002).
11 Examining the prospect of the National Centers for Environmental Prediction (NCEP)
12 medium-range forecast (MRF) for streamflow prediction over the United States, Clark
13 and Hay (2004) observed significantly large biases in precipitation and temperature over
14 many areas. However, they observed improvement in streamflow prediction over some
15 watersheds with statistical postprocessing of the NCEP MRF output.

16
17

18 Apart from the skill of the seasonal climate forecasts, the fidelity of a hydrological
19 forecast also relies on the quality of the hydrological model in simulating the streamflow.
20 However, the predictions from hydrological models are also plagued with uncertainties.
21 These uncertainties stem from a variety of sources such as uncertainty in the parameters
22 and structure of hydrological models. In response to such challenges, some studies in
23 hydrological literature have adopted the multimodel approach in which streamflows from

1 different hydrological models are combined using ranges of statistical postprocessing
2 tools (e.g., Ajami et al. 2006; Duan et al. 2006; Bastola et al. 2011; Bastola and Misra
3 2013).

4

5 In this study we examine the results of a comprehensive set of seasonal streamflow
6 predictions over 28 watersheds distributed throughout the SEUS with the aim of
7 exploring the utility of the Florida Climate Institute–Florida State University Seasonal
8 Hindcasts at 50-km grid resolution (FISH50; Misra et al. 2012) as a tool for seasonal
9 hydrologic forecasting. FISH50, by way of its spatial resolution and its overall seasonal
10 prediction skill compared to the NMME models that also include the operational seasonal
11 climate forecasts from the National Centers for Environmental Prediction (NCEP),
12 represents state-of-the-art seasonal prediction skill (Misra et al. 2012). Misra et al. (2012)
13 showed that seasonal prediction skill for the boreal summer and fall seasons is superior to
14 most of the NMME models in the subtropical latitudes of the SEUS. The streamflow
15 forecasting is based on calibrated hydrological models. We examine both the
16 deterministic and the probabilistic forecast skill of rainfall and streamflow and discuss the
17 shortcomings and virtues of our forecast system.

18

19 **2. Study region and data**

20 In the SEUS region, which is one of the wettest parts of the United States in the boreal
21 summer and fall seasons (Chan and Misra 2010), surface streamflow is a major source of
22 the river system. We have a priori selected 28 watersheds that are minimally affected by
23 water management and are part of the Model Parameter Estimation Experiment

1 (MOPEX; Schaake et al. 2006). Among the selected watersheds, six, namely 2165000,
2 2217500, 210200, 3451500, 3454000, and 2156500, are affected to some extent by power
3 plants and small ponds on the tributaries, suggesting that they may be more suitable for
4 analysis at monthly time intervals (see <http://pubs.usgs.gov/wri/wri934076/stations/> for
5 details). Figure 1 shows the map of the watersheds (and their sub-basins) included in this
6 study. Further information on the characteristics of these watersheds can be found in
7 Bastola and Misra (2013).

8

9 The hydrological models used in this study are forced from the seasonal climate hindcast
10 data of FISH50 (Misra et al. 2012). A brief outline of FISH50 data is provided in Table 1
11 (see Misra et al. 2012 for further details on FISH50). The retrospective seasonal forecasts
12 of FISH50 are conducted from June 1 to December 1 of each year from 1982 to 2008. For
13 each season there are six ensemble members of the seasonal climate hindcast. The
14 observed rainfall dataset follows from the unified daily US precipitation analysis of the
15 Climate Prediction Center (CPC) available at 0.25° resolution (Higgins et al. 2000). The
16 CPC data, available from 1948 onward, are used as our observed rainfall. The number of
17 meteorological pixels, for both FISH50 and CPC, contained within each basin is shown
18 in Figure 2. It is clear that the resolutions of FISH50 and CPC are relatively coarse and
19 the size of the watersheds is comparatively small. This is a reminder that FISH50, which
20 offers the highest spatial resolution among the existing seasonal climate hindcast data
21 sources (e.g., NMME, EUROSIP), is far from sufficient for hydrological applications.

22

23 **3. Generation of the forcing for the hydrological models**

1

2 Hydrological models used for hydrological forecasting usually require meteorological
3 forcing at relatively high (typically daily) temporal resolution. However, given the
4 uncertainty, coarse resolution, and comparatively low fidelity of the climate models, the
5 direct use of the climate model forecast variables to force the hydrological model does
6 not yield reliable results (e.g., Clark and Hay 2004; Wood et al. 2002). Therefore, (either
7 statistical or dynamical) downscaling the output from climate models at locally relevant
8 spatial resolution is often considered for hydrological applications. In this study, three
9 different variants of FISH50 forcing are used for seasonal hydrologic hindcast
10 experiment: FISH50 daily data as are (FISH50), bias-corrected FISH50 (FISH50_BC),
11 and resampling from observed historical record on the basis of the closest analogue of the
12 forecasted climate state (FISH50_Resamp).

13

14

15 In generating the forcing for FISH50_BC, we correct for biases in FISH50 rainfall
16 following the quantile-based bias correction method (Eq 1), which has been widely used
17 in hydrological literature (e.g., Li and Wood 2010; Wood et al. 2004). For each grid, the
18 cumulative distribution function (CDF) of observed and FISH50 datasets is derived.

19
$$\hat{x}_{i,t}^m = F_{obs}^{-1}(F_{mod}(x_{i,t}^m)), \quad (1)$$

20 where $\hat{x}_{i,t}^m$ $x_{i,t}^m$ are the corrected and the uncorrected estimates of a variable i at timestep
21 t for the month m of the selected grid. $F_{obs}(\cdot)$ and $F_{mod}(\cdot)$ are the empirical CDFs of
22 the observed and the modeled datasets for the same grid. Maraun (2013) discusses in
23 great detail issues related to techniques based on model output statistics such as quantile

1 mapping (QM; Eq 1). In hydrological applications, correcting for biases in coarse
2 resolution data with fine resolution data implies that QM also serves to downscale the
3 variable to fine resolution, which has the effect of inflating the variance, and this can
4 have severe consequences (Maraun 2013). The author argues that the temporal structure
5 of rainfall derived from QM is based on coarse resolution data, so corrected data may fail
6 to reproduce the local-scale temporal structure. Consequently, QM may not be sufficient
7 to bridge the gap in scale. More importantly, the reproduction of temporal structure is
8 important when the focus of model simulation is on flood risk and small watersheds, and
9 on implementation of a distributed hydrological model that divides the basin into units
10 much smaller than those used in lumped or semi-distributed modeling. Over the SEUS,
11 regional scale phenomena such as sea breeze and thunderstorms are strong; therefore,
12 dynamic downscaling, which aims to resolve subgrid scale processes, is a strong
13 contender for downscaling (Stefanova et al. 2012b). However, because of the high
14 computational demand, only statistical approaches are revisited in this study for
15 downscaling and bias correction.

16 In lieu of statistical bias correction, resampling historical observations on the basis of
17 matching observed analogues of a certain forecasted climate state is another commonly
18 adopted approach to force hydrological models to circumvent the bias in climate models.
19 FISH50 is shown to produce reasonable conditional probability skill of seasonal
20 anomalies of precipitation (Misra et al. 2012). For FISH50_Resamp we leverage this
21 feature of FISH50 to isolate observed analogues on the basis of the forecasted seasonal
22 (six-month) anomalies of precipitation averaged over the watershed in consideration,
23 which are then used to obtain the meteorological forcing at high (daily) temporal and

1 spatial (sub-basin) resolution. Such resampling methods preserve various moments of a
2 time series (e.g., Efron 1979).

3 Following the methodology of Prudhomme and Davies (2009), we use block resampling
4 without replacement. Here a block is defined as a continuous six-month duration of daily
5 rainfall. The block could have been made smaller (i.e., one month or three months) for
6 the generation of the time series. However, resampling with such a small block is likely
7 to affect the seasonal structure and consequently introduce large biases (e.g., Prudhomme
8 and Davies 2009). Furthermore, FISH50 are six-month long integrations and therefore we
9 have the ability to extend this block to six months.

10

11 Daily meteorological variables resampled from historical record at a single site or
12 watershed is usually used in lumped hydrological modeling that treats the whole
13 watershed as a single unit. However, multisite extension or correction to samples from
14 historical record may be required to generate model forcing for distributed or semi-
15 distributed hydrological models because the rainfall field of each sub-basin or station
16 cannot be treated independently. Hydrological models used in this study (see below) are
17 semi-distributed and require sub-basin average rainfall, temperature, and potential
18 evapotranspiration (PET). Therefore, generation of spatially coherent sub-basin average
19 data is essential. A simple two-step procedure is used in this study. First, spatially
20 averaged rainfall (i.e., at basin scale) is generated by resampling from the observed
21 historical record. Second, on the basis of the calendar date of the resampled data in the
22 previous step, sub-basin average rainfalls are extracted from the relatively high resolution
23 gridded observation. Let $r_{i,j}^F, r_{i,j}^O$ be the forecasted and observed rainfall for i^{th} day and for

1 j^{th} sub-basin. The rainfall observations and corresponding FISH50 data are then used to
 2 define the limits of very wet, medium wet, medium dry, and very dry conditions (referred
 3 to as six-month rainfall quartile categories). Average rainfall over the period of six
 4 months (June through November) is sorted in ascending order and then partitioned into
 5 four groups of equal size quartiles: bottom, lower middle, upper middle, and top. For a
 6 given river system, O and F (Eq 2) are the vectors of observed and forecasted rainfall
 7 quartiles for categories x and y . Similarly, rainfall distribution (r_dist ; Eq 3) is the vector
 8 of q ensemble members resampled from historical precipitation at the i^{th} time step and for
 9 the j^{th} sub-basin.

10

$$11 \quad O = \{x_1, x_2, \dots, x_N\}; F = \{y_1, y_2, \dots, y_N\}, \quad (2)$$

12 Where $x_1 = \frac{1}{n} \sum_{i=1}^n \frac{1}{p} \sum_{j=1}^p r_{i,j}^O$; $y_1 = \frac{1}{n} \sum_{i=1}^n \frac{1}{p} \sum_{j=1}^p r_{i,j}^F$, n is the number of the time step, p is the

13 number of the sub-basin

$$14 \quad r_dist = \{z_{i,j,1}, z_{i,j,2}, \dots, z_{i,j,q}\} \quad \forall i = 1, n; j = 1, p \quad (3)$$

15 where n and p are as defined in Eq (2), and q is the number of ensemble members. For
 16 each watershed, rainfall category in Eq 2 is defined on the basis of a six-month (June
 17 through November) block of spatially averaged rainfall for a period of 20 years (1982–
 18 2001) for both CPC and FISH50. In this study, the rainfall total is categorized as very wet
 19 (VW), very dry (VD), medium wet (MW) and medium dry (MD).

20 The procedure to generate a conditioned daily sequence of weather data for the semi-
 21 distributed hydrological models (Eq 3) is as follows:

- 22 1. Obtain the quartile category of the six-month averaged (June–November) rainfall
 23 forecast (x in Eq 2) for a given year and watershed region.

- 1 2. Select q (=10 for this study) times a block (six months, from June–November) of
2 weather sequence from observed historical records that has the same forecast
3 category as defined in step 1. Repeat this procedure independently for each
4 ensemble member of FISH50 for a given year. At each instance (q) of selecting
5 the observed analogue, store the corresponding date (i.e., year, month, and day).
- 6 3. In correspondence with the selected date from step 2, select for each sub-basin the
7 precipitation, temperature, and PET from the observed historical record so that the
8 disaggregation from watershed to sub-basin scale is limited by the resolution of
9 the observed rainfall. (For very small watersheds, the values of daily rainfall may
10 be alike for the sub-basins).

11 In effect, in FISH50_Resamp, we generate 10 resamples for each ensemble member, and
12 then propagate them through three hydrological models. We thus obtain 180 (=3x6x10)
13 estimates of streamflow for each watershed per season.

14

15 **4. Description of the hydrological models and experimental setup**

16

17 In hydrological modeling, uncertainty stems from a variety of sources. Readers are
18 directed to Beven et al. (2012) and Clark et al. (2012) for an ongoing debate on how to
19 assess hydrological model uncertainty. Implementation of a robust statistical framework
20 for assessing uncertainty in a hydrological model is vital for water resource planning and
21 management (e.g., Steinschneider et al. 2012). Despite their known limitations,
22 conceptual rainfall-runoff (RR) models continue to be widely used for assessing the
23 impacts of climate change on water resources and for projecting potential ranges of future

1 climate change impacts (e.g., Bastola et al. 2011). In this study the uncertainty in
2 simulation is accounted for by combining simulations obtained from three RR models
3 [the Hydrologic MODel (HyMOD; Wagener et al. 2001), the Nedbør-Afstrømnings
4 Model (NAM; Madsen 2001), and the tank model (Sugawara 1995)]. These models have
5 been widely used to simulate hydrological responses in watersheds located in various
6 climatic regions (e.g., Bastola et al. 2011;Wagener et al. 2001; Tingsanchali and Gautam
7 2000). All three models quantify different components of the hydrological cycle by
8 accounting for moisture content in different but mutually interrelated storage.

9

10 The RR models discussed above require the calibration of key parameters to yield
11 reliable predictions (Gupta et al. 2003). In the present application, HyMOD, NAM, and
12 tank require 6, 10, and 16 parameters, respectively, to be estimated through model
13 calibration. Several studies discuss problems associated with model calibration and
14 parameter uncertainty (e.g., Kuczera 1997; Beven et al. 1992; Duan et al. 1992). There is
15 growing agreement in the hydrological modeling community that a large combination of
16 parameters results in reasonable model simulation (Beven 2005). We implement a
17 multimodel and multiparameter simulation to conduct the hydrological forecast
18 experiments. The generalized likelihood uncertainty estimation method (GLUE; Beven
19 and Binley 1992) is implemented to account for parametrically and structurally different
20 hydrological models (i.e., multiparameter and multimodel). The individual model's
21 likelihood measure is used to weight the model prediction for the ensemble simulation in
22 GLUE (Beven and Binley 1992).

23

1 The calibrated parameters for the 28 watersheds (Fig. 1) and the three selected models are
2 taken from Bastola and Misra (2013). Bastola and Misra (2013) calibrated the three
3 conceptual models for the period 1948–1968 using CPC rainfall data. The hydrological
4 models were then validated for the period of 1969–1979. The GLUE method was used to
5 calibrate a suite of models based on a selected threshold that differentiates good and poor
6 models according to selected likelihood measures. They used prediction interval (width
7 of the prediction interval), median model performance, and count efficiency (percentage
8 of observation points lying within the prediction interval) to demonstrate the performance
9 of the selected hydrological model. Bastola and Misra (2013) also reported that on
10 average (across watersheds), the performance of the model measured in terms of Nash
11 Sutcliffe efficiency (NSE) is 0.72 and the average volume error is around 10% for both
12 calibration (20-year block) and validation (10-year block). Furthermore, the large
13 fractions of observation points were well encapsulated within the simulated prediction
14 interval. Therefore, the same set of model parameters are used as calibrated model
15 parameters in this study. The output from these calibrated hydrological models forced
16 with CPC rainfall data is used as control or truth for verification of the hydrological
17 forecasts.

18

19 The seasonal hydrological forecast experiments for a six-month period (June–November)
20 are initialized in summer (first week of June) for a period of 20 seasons (1982–2001) that
21 coincides with the hydrometeorological data available for verification from MOPEX. The
22 seasonal hydrological forecast experiment in this study is carried out following the ESP
23 framework (Resamp hereafter; Wood and Lettenmaier 2006). ESP entails initializing the

1 hydrological models by forcing them with observed meteorological forcing up to the start
 2 of the forecast. Shukla and Lettenmaier (2011) and Wood and Lettenmaier (2006)
 3 suggested that initialization of hydrological models is important, especially for short-lead
 4 hydrologic forecasts such as those attempted here.

5

6 **5. Analysis of forecast skill**

7 Performance evaluation is an important part of any forecast experiment. There are many
 8 examples of objective skills scores, which measure the quality of a forecast with respect
 9 to, say, climatology (e.g., Murphy 1988; Wilks 2001). The deterministic accuracy
 10 measures, such as mean average error, mean squared error, or normalized root mean
 11 square [e.g., Nash Sutcliffe efficiency (NSE)] have also been commonly used to assess
 12 forecast skill. The NSE (Eq 4) is used as a skill score metric in this study. The skill of
 13 FISH50 seasonal prediction is assessed with respect to two reference forecasts: (a)
 14 climatology and (b) persistence (one-year lag).

15

$$16 \quad NSE = 1 - \frac{\sum_{i=1}^n (A_{obs,i} - A_{pred,i})^2}{\sum_{i=1}^n (A_{obs,i} - A_{Ref,i})^2} \quad \text{where } Q_{Ref,i} = \begin{cases} \overline{A_{obs}} & \text{(climatological forecast)} \\ A_{obs,i-1} & \text{(One year lag forecast)} \end{cases} \quad 4$$

17

18 The NSE is a deterministic skill metric that uses the ensemble mean and ignores the
 19 ensemble spread, a measure of the forecast uncertainty. In the above equation (4), the
 20 observed streamflow for a given year I ($A_{obs,i}$) is obtained from the control simulation of
 21 the individual hydrological models, which is forced with the observed meteorological

1 forcing and then averaged across the three model estimates using GLUE. Similarly,
2 $A_{\text{pred},i}$ is the predicted streamflow from each of the three hydrological models forced with
3 a variant of FISH50 (e.g., FISH50_BC, FISH50_Resamp) and then averaged using
4 GLUE.

5

6 Brier score (Wilks 1995), ranked probability score (Wilks 1995), and relative operating
7 characteristic or receiver operating characteristic curves (ROC) (Wilks 2001), are a few
8 widely used probabilistic skill scores. These measures show the skill of forecast in
9 discriminating occurrence and non-occurrence of events (Zhang and Casey 2000; Wilks
10 2001). ROC, which is defined as the plot of sensitivity to specificity, is constructed from
11 a plot of probability of hit rate against probability of false alarm rate for a given
12 probability threshold. The area under the ROC (AROC) is a probabilistic forecast skill
13 metric (Marzban 2004). The AROC values range between 0 and 1, with 1 being a perfect
14 forecast and AROC values ≤ 0.5 regarded as no better than climatology.

15

16 **6. Results and discussion**

17

18 *i) Skill analysis: Deterministic*

19

20 In this section we focus on the multiensemble member mean of FISH50 and the
21 likelihood weighted average of the hydrological multimodel mean based on GLUE
22 (multimodel mean hereafter). The probabilistic skill is examined in the subsequent
23 subsection.

1

2 Over the 28 watersheds, the estimates of spatially averaged climatological rainfall
3 (averaged from June through November) from FISH50 are significantly higher than the
4 corresponding observations (Fig. 3). On average, over most of these SEUS watersheds,
5 FISH50 overestimates the summer and fall rainfall total by nearly 65%. For hydrological
6 applications, this error could prove to be grave. For example, in Fig. 4 it can be seen that
7 using FISH50 rainfall produces extremely large biases in the forecasted streamflow. It
8 should be mentioned that the volume error is computed with respect to the multimodel
9 mean of the streamflow in the control simulation (i.e., flow simulated with the CPC
10 precipitation dataset). In comparison, the bias-corrected FISH50 rainfall (FISH50_BC)
11 and FISH50_Resamp appreciably reduce the bias. The results for FISH50 and
12 FISH50_BC are based on average output from six ensemble members of FISH50 and the
13 multimodel mean (based on GLUE) from three hydrological models. However, the result
14 for FISH50_Resamp is based on six-ensemble members of FISH50, the three
15 hydrological models, and 10 realizations of observed resampling from historical records
16 for each ensemble member. This means that there are 180 realizations of streamflow
17 prediction for every season using the FISH50_Resamp as opposed to 18 realizations in
18 FISH50 and FISH50_BC forcing.

19

20 The elasticity of rainfall on streamflow (calculated as proportional change in the mean
21 annual streamflow to proportional change in mean annual rainfall) varies from 1.5 to 3.5
22 with an average value of 2.7. This is consistent with the estimates of the elasticity of
23 rainfall on the streamflow for the SEUS region from Sankarasubramanian et al. (2001).

1 Although we examine only six months of the season, most of the annual mean rainfall in
2 the SEUS occurs in the boreal summer and fall seasons. Therefore, given this large
3 elasticity, the use of the raw FISH50, with its large bias in rainfall, may amplify the
4 errors further when it is propagated through a hydrological model of a watershed. For the
5 sake of brevity, in Fig. 5 we show the monthly mean climatological streamflow for six
6 watersheds distributed across our study region (two in Florida, two in Georgia, one in
7 North Carolina, and one in South Carolina). These watersheds have been chosen across
8 latitude bands in the SEUS domain (Fig. 1) that exhibit the largest contrasts of
9 seasonality in rainfall (Misra and DiNapoli 2012) and therefore are most representative of
10 the SEUS region. The bias in predicted flow measured with respect to control simulation
11 (i.e., flow simulated with the reference precipitation dataset) is significantly large over
12 the selected watersheds irrespective of their latitudinal location. This bias is greatly
13 reduced both in FISH50_Resamp and FISH50_BC. Interestingly, the volume errors of
14 FISH50_Resamp are comparable to those of FISH50_BC. In other words, this result
15 suggests that despite the large wet bias in FISH50, the seasonal climate state as defined
16 by the precipitation anomaly (in quartile categories) is skillful enough to isolate observed
17 analogues that can yield relatively more realistic rainfall time series for these watersheds
18 than the raw FISH50 can.

19

20 Figure 6 shows the normalized root mean square errors of the ensemble average
21 streamflow based on two reference forecasts: the climatological (referred to as NSE
22 hereafter) and the one-year lag forecast [or persistent forecast, wherein the forecast from
23 the previous year is persisted through the following year, defined as the persistence

1 efficiency measure (PEM) hereafter]. With respect to the climatological forecast, the flow
2 simulated with FISH50_BC has little or no skill (i.e., NRMSE has a value less than or
3 equal to zero in Eq 4, which means that the forecast is inferior to the reference forecast).
4 FISH50 and FISH50_Resamp forcing are equally poor (not shown). However,
5 FISH50_BC and FISH50_Resamp exhibit relatively higher skill with respect to
6 persistence (Fig. 6). In fact in many of the watersheds, PEM_FISH50_Resamp shows
7 higher skill than PEM_FISH50_BC (Fig. 6). But there is no systematic decrease in
8 NRMSE with increase in lead time of the forecast¹ to suggest a significant impact of the
9 initial conditions on the forecast errors. The results in Fig. 6 suggest that the observed (or
10 control simulation) variability of streamflow is very large, which makes the
11 climatological forecast of streamflow superior to the persistence forecast. However, the
12 deterministic skill of streamflow forecast using variants of FISH50 forcing (FISH50,
13 FISH50_BC, FISH50_Resample) is unskillful, which is not what we expected.

14

15 *ii) Skill analysis: Probabilistic*

16

17 FISH50 shows some skill in discriminating different (quartile) categories of the seasonal
18 (June–November) rainfall. The bubble plot in Figure 6 shows the distribution of AROC
19 (with the size of the circles representing relative values above 0.5) for the seasonal mean
20 (June–November) rainfall over each of the 28 watersheds. The latitudinal gradient of
21 AROC in Fig. 7 is likely artificial as there are more watersheds in the northern latitudes
22 of the SEUS than in the southern latitudes. It is apparent in Fig. 7 that the extreme

22

¹ In Fig. 6, June has zero lead while July has a one-month lead and so on till November, which has a five-month lead.

1 quartiles (for both wet and dry seasonal anomalies) have higher skill than the medium
2 quartile seasonal rainfall categories. In fact, this result is consistent with the seasonal
3 prediction skill of the tercile rainfall anomalies analyzed in Misra et al. (2012). However,
4 there are exceptions, such as the watersheds in Florida (e.g., the St Johns and the Peace
5 River watersheds), which show higher skill for the medium quartile categories of
6 seasonal rainfall anomalies than for the extreme quartile categories. But more watersheds
7 display higher skill for the extreme quartiles than for the middle quartiles (Fig. 7). Most
8 of the watersheds in Georgia, North Carolina, and South Carolina show higher skill than
9 climatology in extreme quartiles. Of the 28 SEUS watersheds, FISH50 rainfall shows
10 skill (better than climatology) in the very wet (18 watersheds), medium wet (16
11 watersheds), medium dry (13 watersheds), and very dry (18 watersheds) rainfall
12 categories.

13

14 The 180 realizations of FISH50_Resamp for each watershed are used to calculate the
15 AROC to evaluate the experimental hydrological streamflow forecasts probabilistically.
16 The AROC values for the predicted seasonal (June–November) streamflow with
17 FISH50_Resamp are shown in Figs. 8 and 9. The results in Figs. 8 and 9 are summarized
18 in Fig. 10. In Figs. 8–10 it is clear that AROC is better for the extreme quartiles than for
19 the middle quartiles. The AROC for upper medium (i.e., wet medium) is the least skillful.
20 Considering that the SEUS watersheds have high elasticity of rainfall on streamflow, the
21 high value of the AROC for the extreme quartile of streamflow can be attributed to the
22 fact that the majority of the watersheds in the SEUS showed similar rainfall skill for
23 extreme wet quartiles. Contrary to our expectation that skill would decrease with lead-

1 time, the relationship between skill and lead-time is not apparent in Figs. 8, 9, and 10.
2 The comparable AROC values for streamflow are consistently less than 0.5 for FISH50
3 and FISH50_BC (not shown). These results suggest that despite the bias in FISH50
4 rainfall, it could be usefully applied for predicting the extreme quartiles, while for
5 medium categories the forecasts remain more uncertain.

6

7

8 **7. Conclusion**

9

10 In this study we have examined the deterministic and probabilistic skill of seasonal
11 hydrological predictions over 28 watersheds in the SEUS forced by an extensive set of
12 retrospective seasonal climate forecasts known as FISH50.

13 It is observed that FISH50 exhibits a relatively large wet bias in June–November over all
14 28 watersheds in the SEUS. Because of the large elasticity exhibited by most of the 28
15 watersheds, the errors in rainfall are translated to a disproportionate response in
16 streamflow. Therefore, direct application of FISH50 is not suggested for hydrological
17 application in the SEUS. The deterministic skill analysis as depicted by the NSE reveals
18 that the streamflow predictions forced with FISH50 have lower skill than those forced
19 with observed climatological rainfall over all 28 watersheds. This result holds true
20 irrespective of whether FISH50, FISH50_BC, or FISH50_Resamp is used to force the
21 hydrological models.

22

1 FISH50_Resamp, however, offers a relatively large ensemble of streamflow estimation
2 for every seasonal forecast from the climate model that potentially could be exploited for
3 probabilistic forecasts. This large ensemble stems from the multiple hydrological models
4 used in this study and the multiple (10 in our case) plausible matching observed
5 analogues for the forecasted climate state (which in our case is the June–November
6 rainfall quartile anomaly over the watershed). This gives rise to 180 independent
7 estimations of streamflow per season, considering that there are six ensemble members of
8 FISH50 for each season. Our study reveals that for extreme quartiles, there is
9 significantly higher probabilistic streamflow skill from FISH50_Resamp than from the
10 climatological forecast for the majority of the 28 watersheds in the SEUS.

11

12 In conclusion, this study provides evidence of useful probabilistic hydrological prediction
13 over the SEUS watersheds during the boreal summer and fall seasons. However, the
14 experiment yielded poor corresponding deterministic forecast skills. We contend that
15 using a multimodel framework with multiple ensemble members for the meteorological
16 forcing to generate a total of 180 forecasts per watershed, per forecast period allows for
17 robust estimates of hydrological forecast uncertainty. This is portrayed in the
18 probabilistic prediction skill, in contrast to its total neglect in the deterministic forecast
19 prediction. Furthermore, probabilistic hydrological prediction augurs well when we
20 realize that seasonal climate prediction is indeterminate, especially in the summer season
21 when external factors like El Niño and the Southern Oscillation have little influence on
22 the SEUS climate.

1 In other words, our study shows that despite the grave wet bias in FISH50, it still could
2 be exploited for harvesting useful streamflow predictions over a majority of these
3 watersheds. In fact, for the medium quartile categories, the uncertainty of the rainfall and
4 streamflow forecast is higher, which can also serve as useful information for managing
5 water resources. We believe that this is a significant result given the challenge of
6 seasonal climate prediction of the boreal summer and fall seasons over the SEUS.

7

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9

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7 in the Southeastern US (very dry, very wet, medium wet, and medium dry). AROC
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9

1

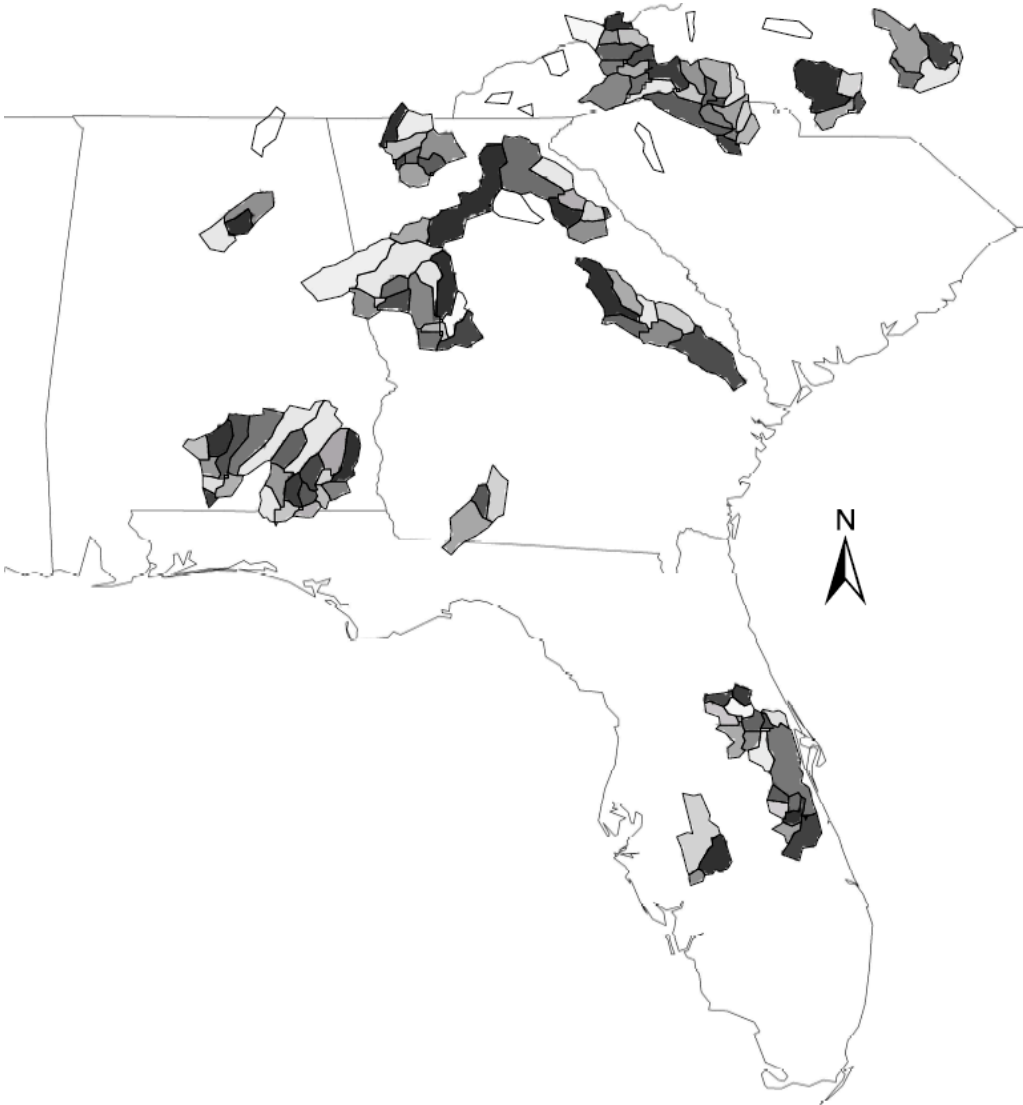
2

3 Table 1: A brief outline of FISH50

Number of years of the seasonal hindcast	Number of ensemble members per seasonal hindcast	Start date of the seasonal hindcast	End date of the seasonal hindcast
27 (1982–2008)	6	1 June	31 December

4

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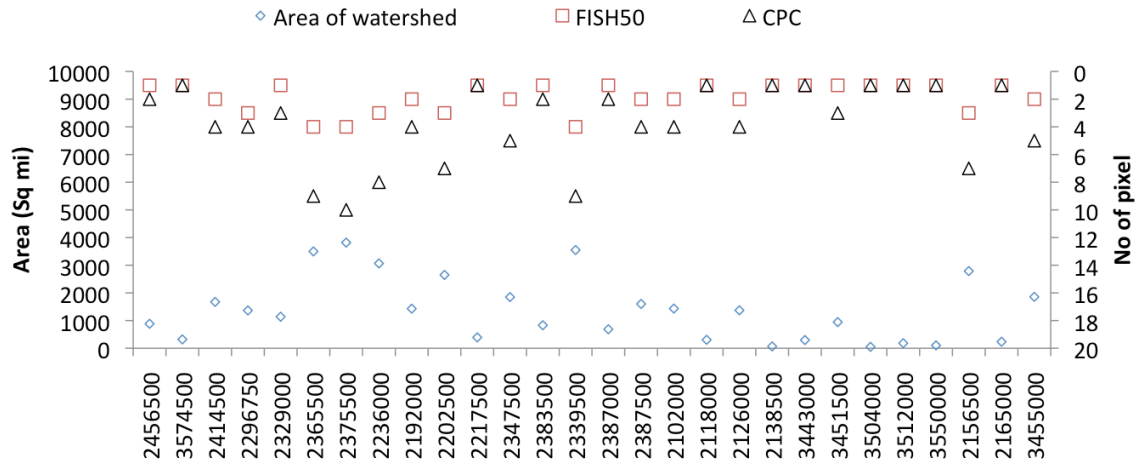
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8 hydrological forecasts are made. The sub-basins within each watershed are also indicated.

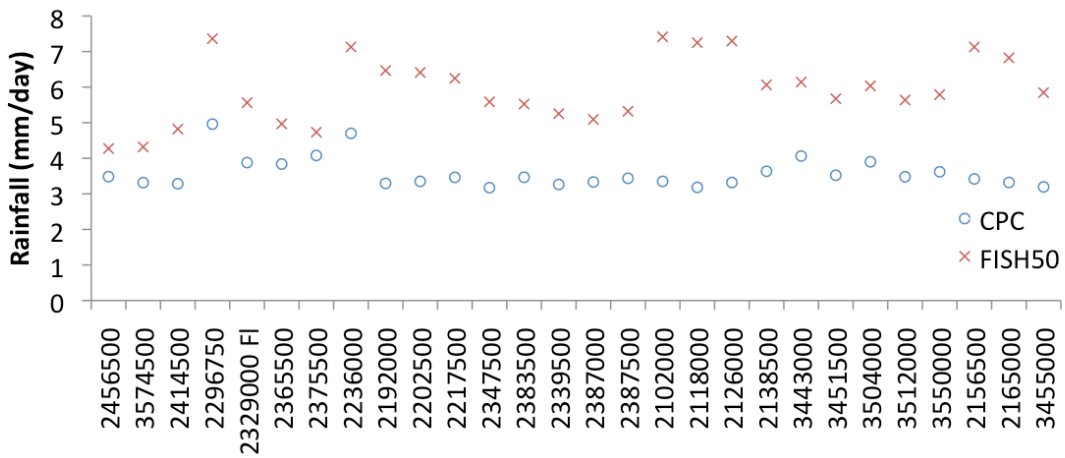


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2 Fig. 2. Area and number of meteorological pixels contained in each watershed for

3 FISH50 and CPC observed rainfall datasets.

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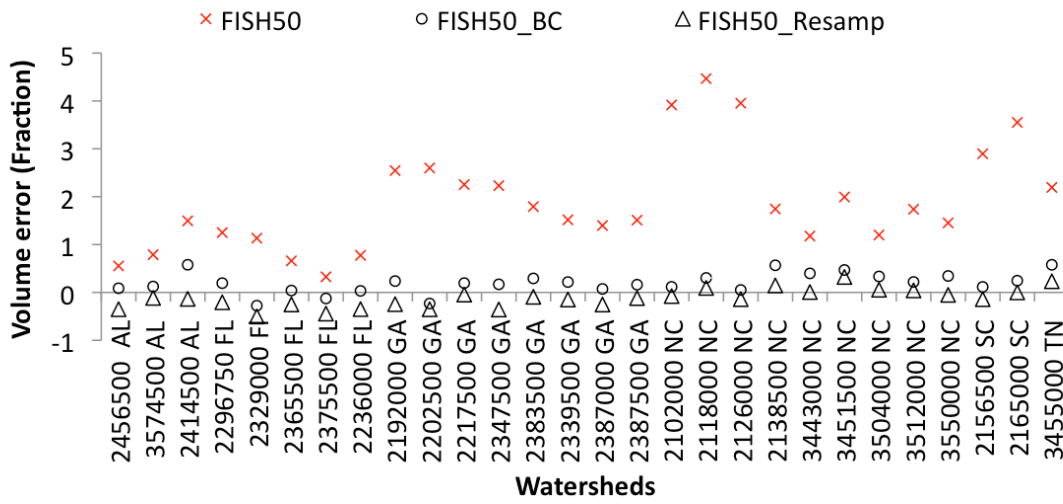
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7 Fig. 3. Climatological rainfall averaged over the 28 watersheds in the Southeastern US

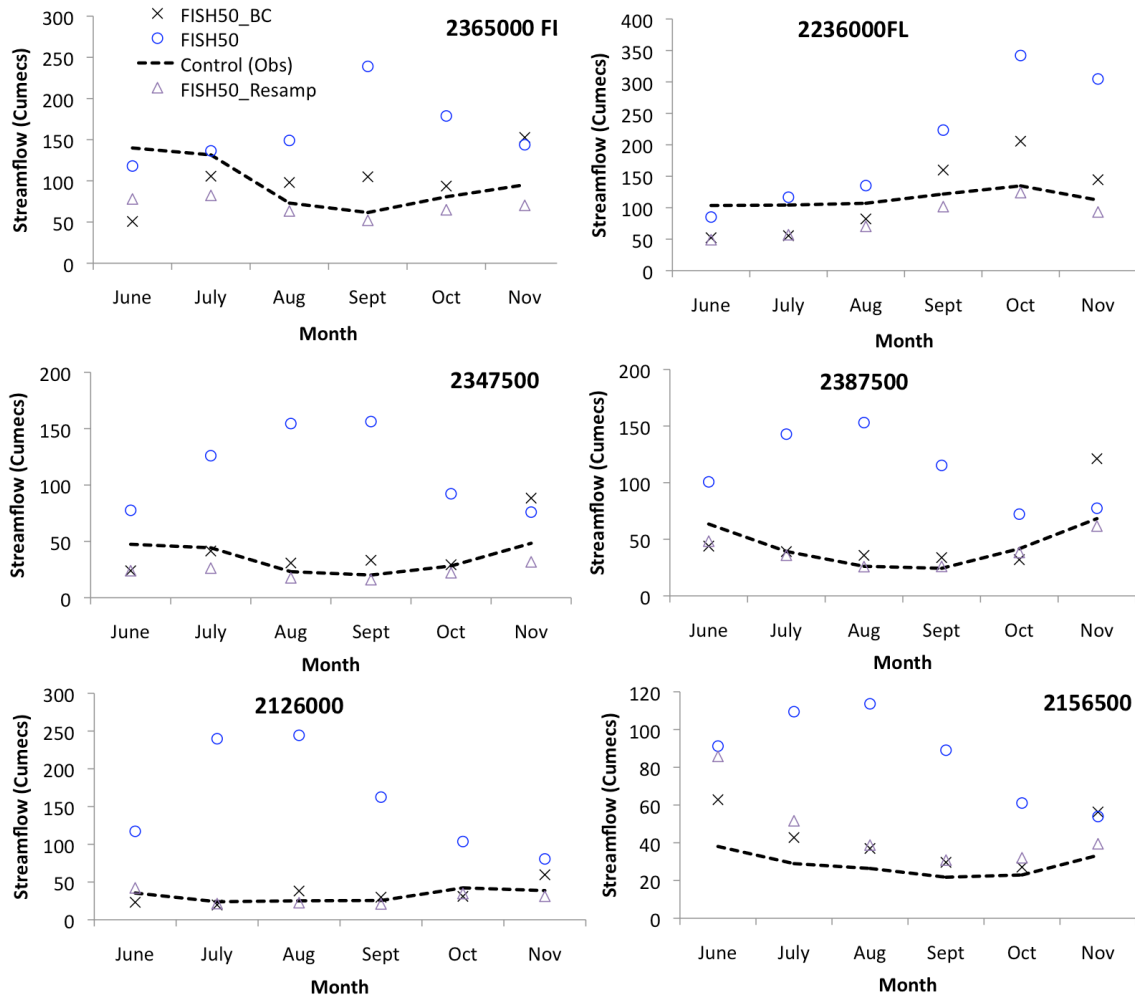
8 (identifiers indicated along the x-axis).

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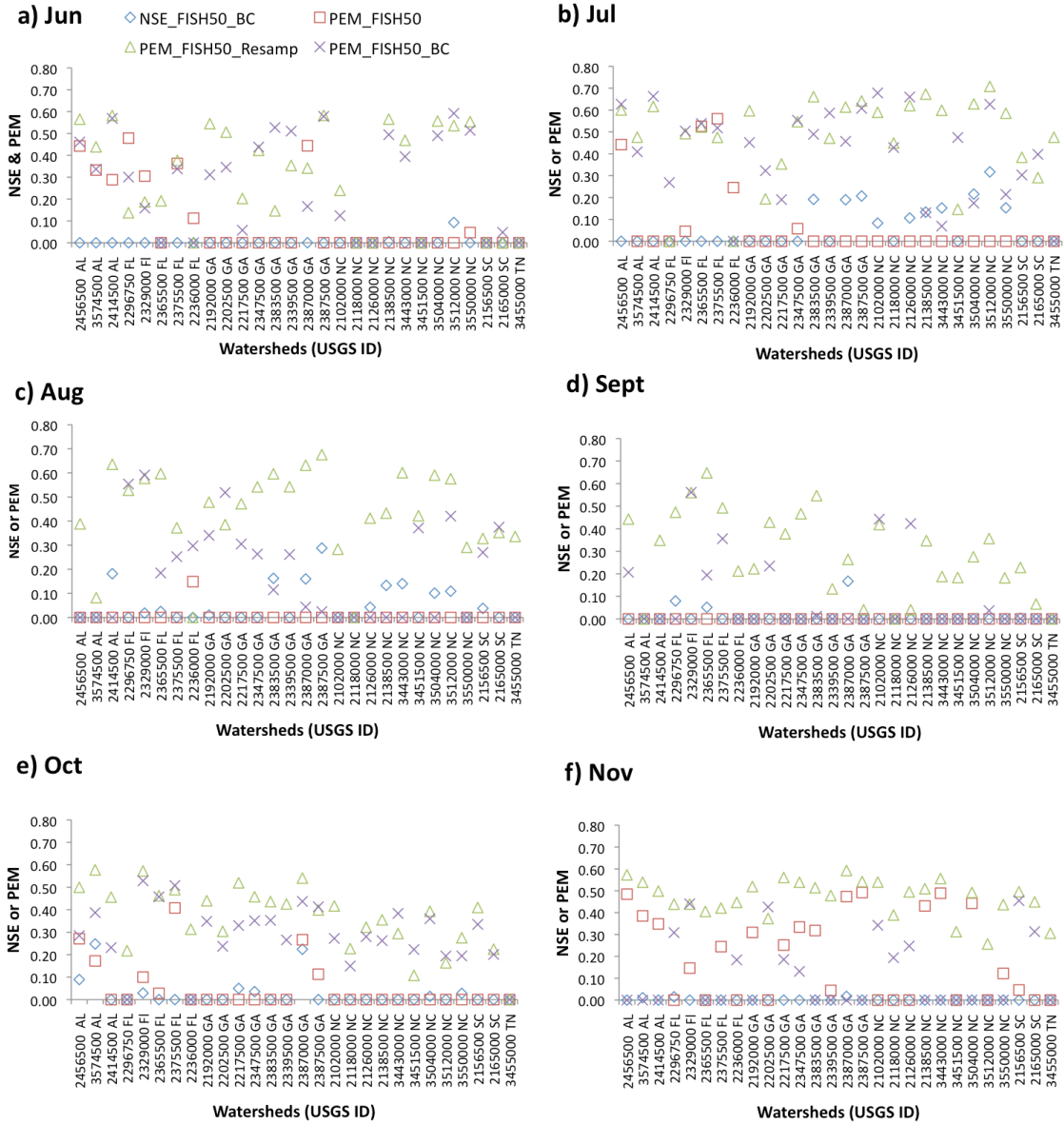
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Fig. 4. Volume error of the flow predicted with FISH50 daily data as are (FISH50), bias-corrected FISH50 (FISH50_BC), and resampling from observed historical record on the basis of the closest analogue of the forecasted climate state (FISH50_Resamp)



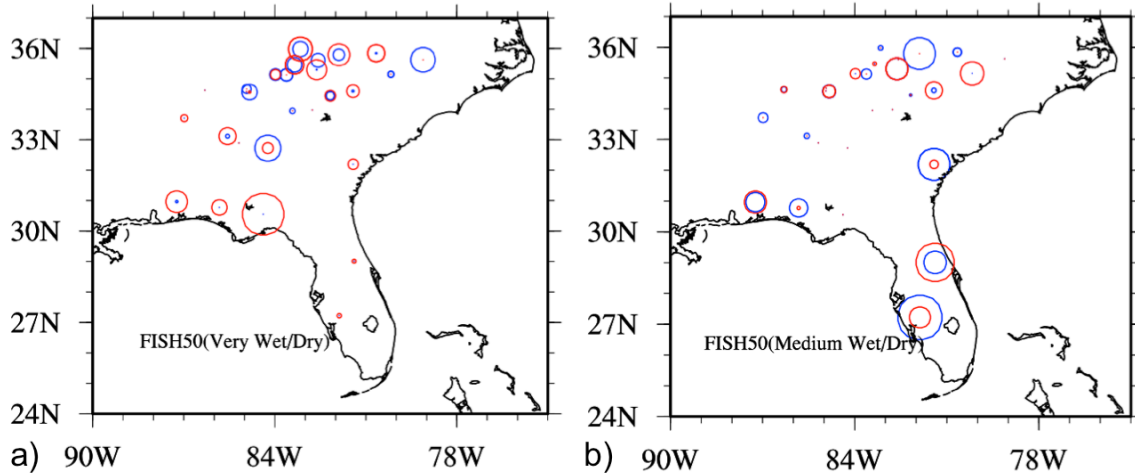
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2 Figure 5: Flow predicted with FISH50 daily data as are (FISH50), bias-corrected FISH50
 3 (FISH50_BC), and resampling from observed historical record on the basis of the closest
 4 analogue of the forecasted climate state (FISH50_Resamp).



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Fig. 6. Skill scores of the hydrological prediction based on normalized root mean square errors. PEM (persistence efficiency measure) is the Nash Sutcliffe efficiency criteria measured with respect to a one-year lag as the reference forecast. NSE is the Nash Sutcliffe efficiency measured with respect to climatological value as the reference forecast.



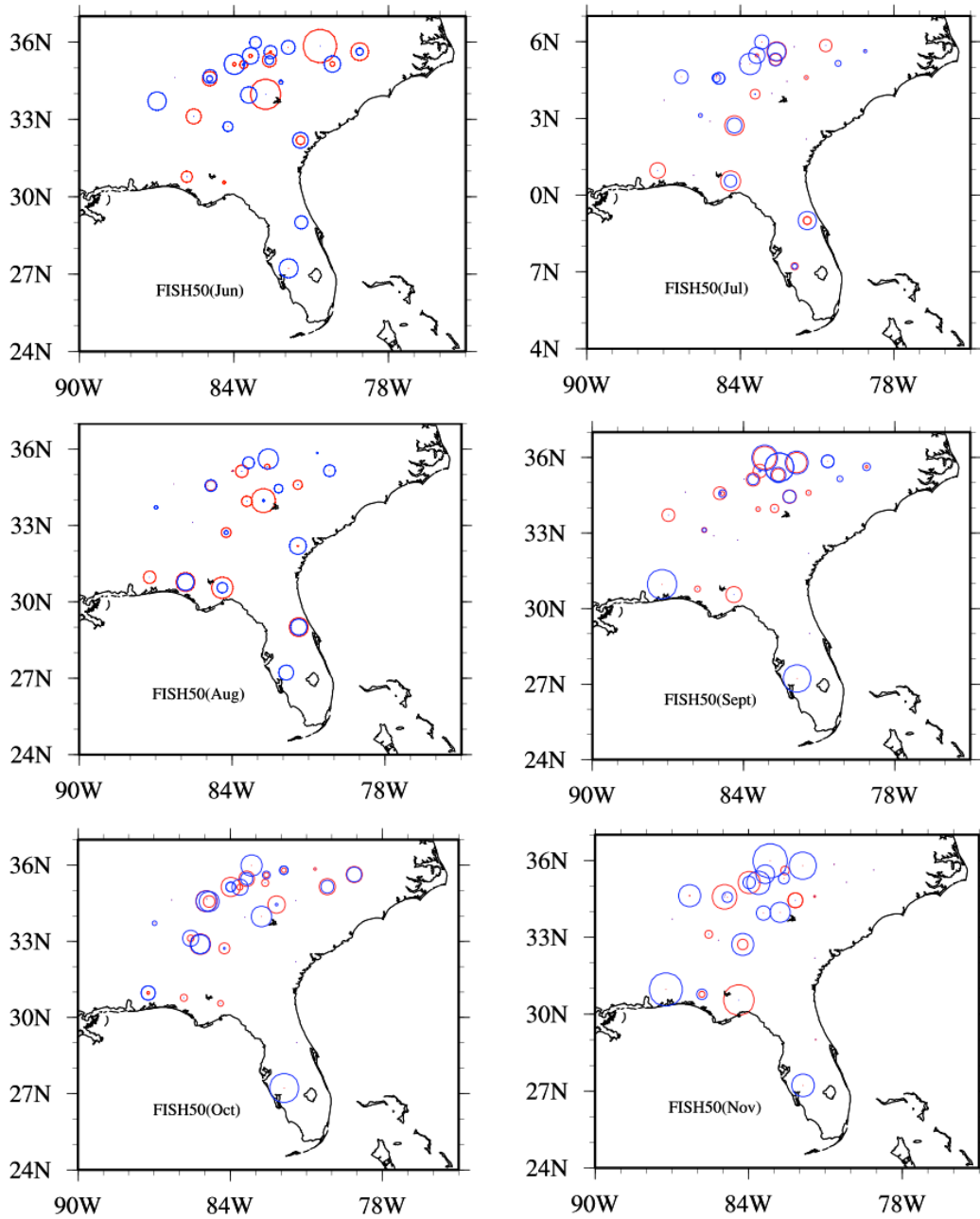
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3 Fig. 7. Area under ROC (AROC) for FISH50 June–November mean precipitation
 4 averaged over the respective watersheds in the Southeastern US. (a) AROC value for
 5 very wet (blue circle) and very dry (red circle) rainfall categories, (b) AROC value for
 6 medium wet (blue) and medium dry (red) categories. Only AROC values over 0.5 are
 7 shown. The size of the bubble indicates the relative magnitude of the AROC, which can
 8 range between 0.5 and 1.0. Values of AROC below 0.5 are not plotted.

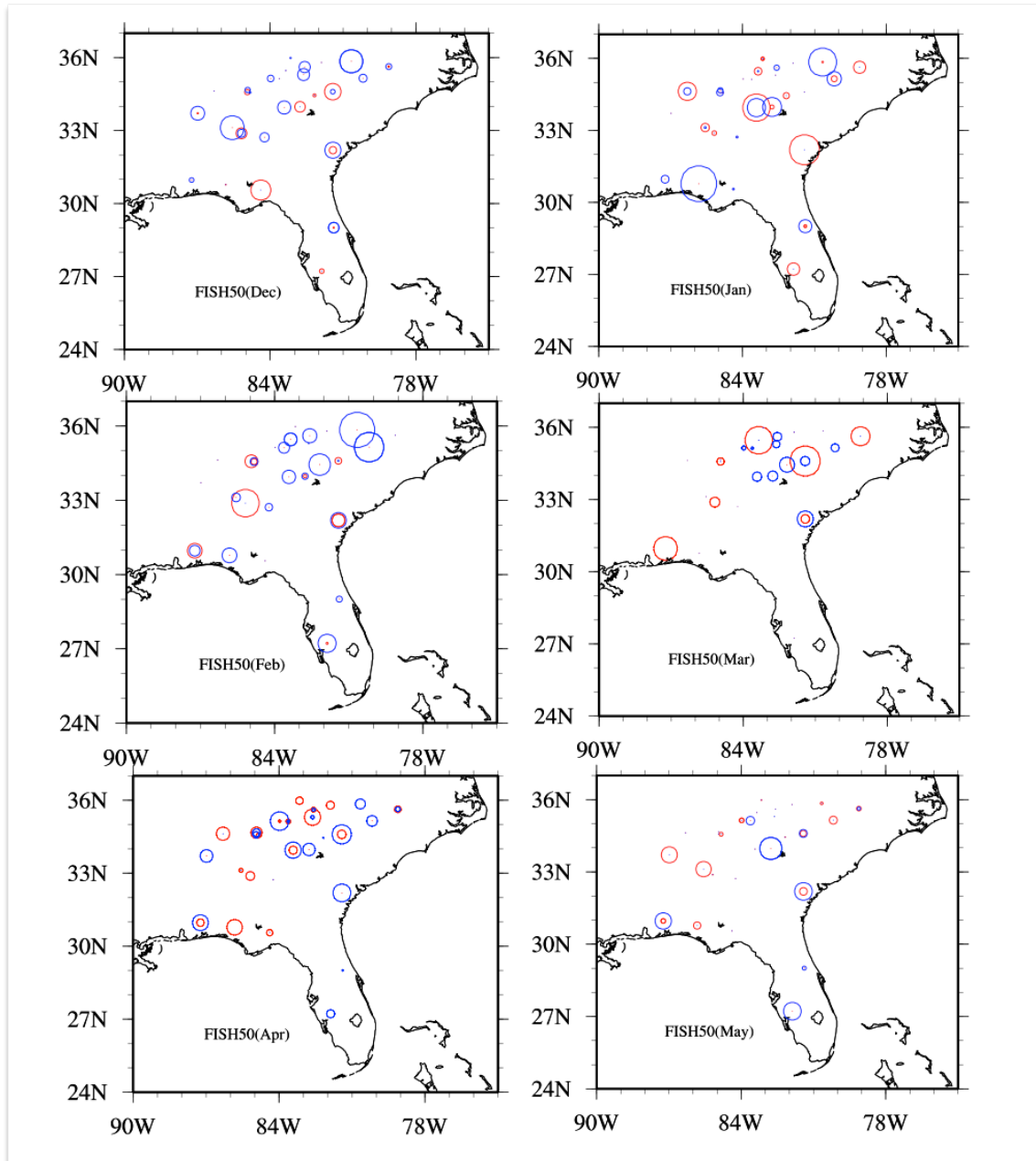
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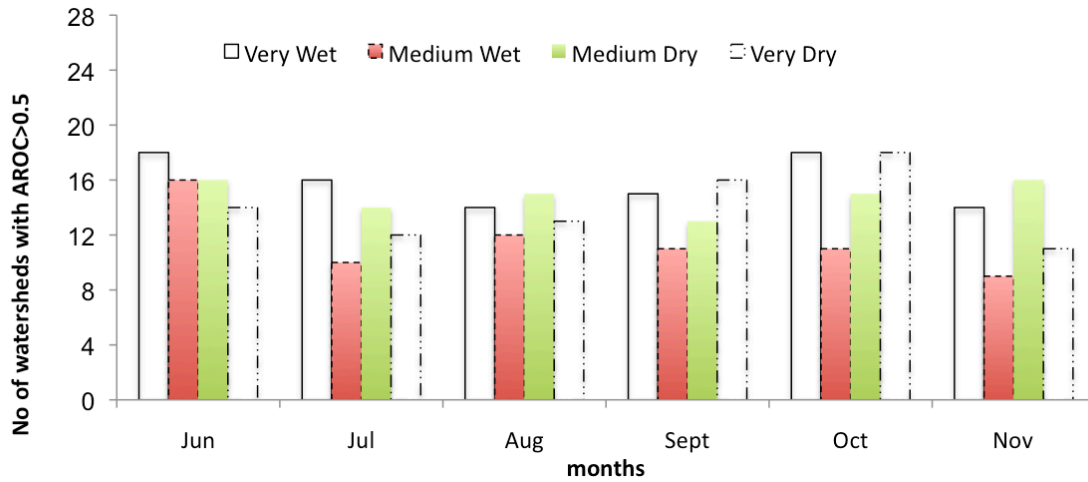
3 Fig. 8. AROC for very wet (blue) and very dry (red) categories of streamflow predicted
4 with FISH50_Resamp forcing. The size of the bubble indicates the relative magnitude of
5 the AROC, which can range between 0.5 and 1.0. Values of AROC below 0.5 are not
6 plotted.



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2 Fig. 9. Same as Fig. 8 but for AROC values for medium wet (blue) and medium dry (red)
 3 categories of streamflow.

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2 Fig. 10. Summary of probabilistic assessment of flow predicted with FISH50_Resamp
 3 for four selected categories of June–November mean rainfall over the various watersheds
 4 in the Southeastern US (very dry, very wet, medium wet, and medium dry). AROC
 5 greater than 0.5 suggests that the prediction skill is better than climatology.

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