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4 **Validating ENSO teleconnections on Southeastern United**  
5 **States Winter Hydrology**

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## **Abstract**

In this study we contrast four centennial long meteorological datasets comprising of two sets of observations (Climate Research Unit [CRU] and Parameter-elevation Regressions on Independent Slopes Model [PRISM]) and two atmospheric reanalysis (20<sup>th</sup> Century Reanalysis [20CR] and Florida Climate Institute-Florida State University Land-Atmosphere Regional Reanalysis version 1.0 [FLARes1.0]) to diagnose the El Niño and the Southern Oscillation (ENSO) forced variations on the streamflow in 28 watersheds spread across the Southeastern United States (SEUS). We force three different lumped (calibrated) hydrological models with precipitation from these four sources of centennial long datasets separately to obtain the median prediction from 1800 (= 3 models x 600 simulations per model per watershed per season) multi-model estimates of seasonal mean streamflow across the 28 watersheds in the SEUS for each winter season from 1906 to 2005. We then compare and contrast the mean streamflow and its variability estimates from all three of the centennial climate forcings. The multi-model strategy of simulating the seasonal mean streamflow is to reduce the hydrological model uncertainty. We focus on the boreal winter season when ENSO influence on the SEUS climate variations is well known.

We find that the atmospheric reanalysis over the SEUS are able to reasonably capture the ENSO teleconnections as depicted in the CRU and PRISM precipitation datasets. Even the observed decadal modulation of this teleconnection by Atlantic Multi-decadal Oscillation (AMO) is broadly captured. The streamflow in the 28 watersheds also show similar consistency across the four datasets in that the positive correlations of the boreal winter Niño3.4 SST anomalies with corresponding anomalies of streamflow, the associated shift in the probability density function of the streamflow with the change in phase of ENSO and the decadal modulation of the ENSO teleconnection by AMO is sustained in the streamflow

43 simulations forced by all four climate datasets (CRU, PRISM, 20CR, and FLARes1.0).  
44 However the ENSO signal in the streamflow is consistently much stronger in the southern  
45 watersheds (over Florida) of the SEUS across all four climate datasets. But during the  
46 negative phase of the AMO there is a clear shift of the ENSO teleconnections with  
47 streamflow, with winter streamflows in northern watersheds (over the Carolinas) exhibiting  
48 much stronger correlations with ENSO Niño3.4 index relative to the southern watersheds of  
49 the SEUS. This study clearly indicates that the proposed methodology using FLARes1.0  
50 serves as viable alternative to reconstruct 20<sup>th</sup> century SEUS seasonal winter hydrology that  
51 captures the interannual variations of ENSO and associated decadal variations forced by  
52 AMO. However it is found that the FLARes1.0 forced streamflow is far from adequate in  
53 simulating the streamflow dynamics of the watershed over the SEUS at daily time scale.

## 1. Introduction

Rapid demographic changes (Ting et al. 2009; Carlson 2011) along with prevalent robust climate variations (Ropelewski and Halpert 1986, 1987; Kiladis and Diaz 1989; Misra et al. 2009; Misra and DiNapoli 2012) in the Southeastern United States (SEUS) pose a challenging task for managing fresh water resources. The impact of El Niño and the Southern Oscillation (ENSO) on the climate of the SEUS and its modulation by the influence of low frequency phenomenon like the Atlantic Multi-decadal Oscillation (AMO; Enfield et al. 2001; Tootle et al. 2005; Knight et al. 2006) and the Pacific Decadal Oscillation (PDO; Gershunov and Barnett 1998, Hidalgo and Dracup 2003) has been studied in some detail.

The importance of hydrologic data and its variability in planning and formulating policies for water resources management including irrigation, environment flow, reservoir management has resulted in growing interest in finding the link between hydrologic variability and natural climate variability such as ENSO phenomena (e.g., Zorn and Waylen et al., 1997; Cayan et al., 1999; Poveda et al., 2001; Schmidt et al., 2001; Rasanen and Kummu 2012). Such teleconnections are widely exploited in making streamflow forecasts (e.g. Gutierrez and Dracup 2001; Chiew et al., 2003; Tootle and Piechota 2004). For example, Gutierrez and Dracup (2001) concluded that ENSO based streamflow forecasts for reservoir and hydro-electric power distribution operation in Colombia was far superior over traditional streamflow forecasts that did not take ENSO into account. Such relationships are detectable in many regions with varying degree of success including North America where the correlation between peak season streamflow and ENSO are significantly persistent (Dettinger et al., 2000). Moreover many studies report a contrasting strength of ENSO teleconnections over western Hemisphere between that in the recent decades and during the period from 1920 to 1950 (also Waylen et al. 1993; Rasanen and Kummu 2012). For instance, Schmidt et al. (2001) indicate that ENSO has a strong influence on rainfall and

streamflow in the SEUS during winter season. However, their study indicates that the response of streamflow to ENSO in Panhandle Florida and South Florida is not uniform.

It is well known that warm (cold) ENSO events are characterized by colder and wetter (warmer and drier) boreal winter and spring seasons in the SEUS (Ropelewski and Halpert 1987; Kiladis and Diaz 1989). The magnitudes of these anomalies however decrease as one moves northwards within the SEUS. In this study, further analysis is carried on the impacts of ENSO and its modulation by the low frequency phenomenon (AMO and PDO) on the rainfall and streamflow over several watersheds across the SEUS and their characterization in 4 different century long precipitation datasets.

To achieve our objectives, we have examined the teleconnections from multiple datasets including those from independently analyzed rainfall observations and atmospheric reanalysis. The streamflow is estimated from multiple hydrological models (all of which are calibrated using an independent dataset of rainfall observations which is not used in the intercomparison) to account for model uncertainty. Due to uncertainty in data, parameter and structure of hydrological model, the uncertainty in hydrological prediction is significant (Gupta et al., 2003; Beven 2005). Refsgaard (2007) discusses methods to account for uncertainties in hydrological prediction. Generalized Likelihood Uncertainty Estimation (GLUE) (Beven and Binley 1992) framework, a widely used method is used in this study to account for uncertainties in hydrological simulation associated with the parameter and structure of the selected models (see Bastola et al., 2011).

The rest of the paper is organized as follows. The datasets used in the paper are described in Section 2, followed by a description of the hydrological models in Section 3. Section 4 discusses the results and the concluding remarks are summarized in Section 5.

## **2. Data**

Two sets of atmospheric reanalysis (20<sup>th</sup> Century Reanalysis (20CR; Compo et al. 2011) and Florida Climate Institute-Florida State University Land-Atmosphere Regional Reanalysis version 1.0 (FLAREs1.0; DiNapoli and Misra 2012; Misra et al. 2013) and two independent rainfall observational datasets viz., the Climate Research Unit (CRU; Mitchell and Jones 2005) and Parameter-elevation Regressions on Independent Slopes Model (PRISM; Daly et al. 1994) are used in the present study. The 20CR dataset has a spatial resolution of 200km x 200km and spans from 1871 to present. The 20CR has several ensemble members. However for this study we choose to pick one member of the ensemble. The FLAREs1.0 data is a dynamically downscaled version of such a member at 10km grid resolution. Furthermore, dynamically downscaled datasets is a manifestation of non-linear interactions of the small spatial scales and high frequency variability, which is influenced by the large-scale lateral boundary forcing (Misra 2006; Misra et al. 2013). As shown in DiNapoli and Misra (2012) and Misra (2013) such downscaled datasets can differ in important and significant manner from the large-scale reanalysis especially at diurnal scales and even in removing artificial discontinuities prevalent in 20CR. Therefore FLAREs1.0 and 20CR although are not totally independent datasets are still worth comparing. FLAREs1.0 was generated using the Regional Spectral Model (Kanamitsu et al. 2010) for downscaling. The FLAREs1.0 spans a period of 108 years from 1901 through 2008. Misra et al. (2013) indicate that by dynamic downscaling 20CR, the artificial discontinuity observed in 20CR owing to inhomogeneity in the density of observations around the 1940's is significantly reduced by the internal variations of the regional climate system in FLAREs1.0. Furthermore, DiNapoli and Misra (2012) and Misra et al. (2013) indicate that FLAREs1.0 simulates the decadal variations of winter precipitation, extreme events of winter freeze, precipitation associated with tropical cyclone landfall and diurnal variations of precipitation with reasonable fidelity.

The CRU data is gridded rainfall observations (from rain gauge stations) available globally over land with a horizontal spacing of 50km x 50km and it spans over a time period of 1901 through 2006 (Mitchell and Jones 2005). For the CRU data, reference series were constructed by using data from neighbouring stations as proxy for grids with no observation stations. The station anomalies are interpolated to a 50km grid and merged with the published 1961-1990 series (Mitchell and Jones 2005). The PRISM dataset is another alternative rainfall observational dataset on a finer scale of 4 km grid resolution (Daly et al. 1994). Using a regression method, PRISM estimates the gridded precipitation from a point data source. The digital elevation model (DEM) is used to account for the effects of topography on precipitation. The PRISM data is available only over the continental US. The domain of interest for our analysis in this paper is the SEUS extending from 99°W to 75°W and 24°N to 37°N. From all of the above mentioned sources data were selected for a common period of 99 years at monthly interval spanning from December 1906 through November 2005. For calibrating the hydrological models we made use of the unified daily US precipitation analysis of the Climate Prediction Center (CPC) at 50km grid resolution (Higgins et al. 2000), which is available from 1948 onwards. Although CPC uses similar rain gauge observations as CRU and PRISM, they display some significant differences in their variations and seasonal mean (not shown) owing to their varied gridding methodologies. We therefore regard the CPC rainfall dataset as a pseudo-independent rainfall analysis data from CRU and PRISM, which is used in the calibration of the hydrological models. The CPC rainfall data makes use of quality controlled rain gauge data from a variety of sources including the National Oceanic and Atmospheric Administration's (NOAA's) National Climate Data Center (NCDC), daily co-op stations, river forecast centers data, and NCDC's hourly precipitation database to generate this analyzed precipitation dataset. The CPC rainfall is available at daily interval unlike CRU and PRISM, which is available at monthly interval.

However to be consistent in our comparisons, rainfall from all sources were used at monthly interval.

The Extended Reconstructed Sea Surface Temperature (ERSSTv3; Smith et. al. 2004), based on the International Comprehensive Ocean-Atmosphere Data Set (ICOADS) release 2.4 is used for the calculation of the Niño3.4 SST seasonal anomaly index.

### **3. Hydrological model**

Conceptual hydrological models are widely used to simulate hydrological response at watershed scales (Bastola et al. 2011; Kasiviswanathan et al. 2013; Hughes 2013). Such models use a range of simplifications to model a very complex and spatially distributed hydrological processes. Consequently, the process-based parameters of such models cannot be solely estimated based on their physical basis and must be estimated through model calibration. The experience of model calibration has defied the notion of existence of single set of best model parameters. The empirical evidence supporting the equifinality i.e., existence of a large model parameters set that result in equally acceptable model performance is overwhelming (Beven 1992; Freer et al. 1996). Therefore in the past two decades uncertainty analysis has become an integral part of hydrological modeling. In this study, the uncertainty in hydrological models stemming from model parameters and model selection is accounted for by using three different models and suite of their behavioral model parameters, using GLUE framework.

The hydrological simulation presented in this study builds on the work of Bastola and Misra (2013) who calibrated the hydrological models for watersheds of SEUS using the GLUE framework. The brief outline of the method used is as follows:

- a) Specify the range and distribution of model parameter. Bastola and Misra (2013) used uniform distribution from a specified range of values to define the prior



distribution of model parameter.

- b) Specify the likelihood measure (e.g., Nash Sutcliffe Efficiency, NSE) and a threshold value (e.g.,  $NSE > 0.5$  as behavioral model parameter) to contrast behavioral from un-behavioral model parameters.
- c) Retain the simulation from behavioral model parameter identified in (b) and rank and produce likelihood weighted model output.

In this study, the 600 behavioural model parameters for the selected watershed and hydrological model are taken from Bastola and Misra (2013). The behavioral model parameters were selected based on the NSE as likelihood function. For the simulation of the streamflow in this paper, all the 600 behavioural model parameters for each of the three models are used in this study within in the GLUE framework to account for uncertainties associated with the hydrological simulation. The multi-model estimate of the seasonal mean streamflow is then computed as the median of the 1800 simulations (= 600 simulations per watershed per model x 3 hydrological models) per season. The models used in this study are the Hydrological MODel (HyMOD; Wagener et al. 2001; Boyle 2001), the Nedbør-Afstrømnings model (NAM; Madsen 2000) and the TANK model (Sugawara 1995). The HYMOD accounts for two different components in the hydrology of the watersheds. The fast component comprises of surface processes like runoff while the slower component comprises of subsoil processes like infiltration and interflow. Hence the HyMOD uses a non-linear tank connected to two tanks, each parameterizing the two processes of different rates. NAM (Madsen 2000) uses the base flow as a separate component and the surface and the interflow as a separate component in the simulation of the streamflow. The water content in different yet interconnected storages like surface zone storage, root-zone storage and ground water storage, are accounted for in NAM model (Madsen 2000) to simulate different component of the hydrological cycle. The TANK model uses four tanks arranged vertically in series, each

pertaining to model a specific process like surface runoff, intermediate runoff, subsurface runoff and base flow (Sugawara 1995). These models are standard tools frequently used in hydrological studies. The parameters of these models are usually estimated through model calibration where the difference between model simulated value and observation are minimized with respect to some objective criteria e.g., Nash Sutcliffe Efficiency (NSE; Eqn 1), Volume error (VE; Eqn 2).

$$NSE = 1 - \frac{\sum_{i=1}^n (Q_i - Q_{obs,i})^2}{\sum_{i=1}^n (Q_i - \bar{Q}_{obs})^2} \quad (1)$$

$$VE = \frac{\sum_{i=1}^n (Q_i - Q_{obs,i})}{\bar{Q}_{obs}} \quad (2)$$

where  $Q_i$ ,  $Q_{obs,i}$  is the simulated and the observed flow,  $n$  is the total number of points. The timestep used for all three hydrological models is daily. As the four dataset used in this study are monthly dataset, the weather generator is used to disaggregate monthly total to produce daily sequence of rainfall. In this study, the weather generator model (WGEN) following Richardson and Wright (1984) is used. Readers are referred to Wilks and Wilby (1999) for a review of weather generators. The WGEN uses first order Markov model to simulate the wet/dry day status and it uses two-parameter gamma distribution to model the precipitation amount in wet days. Use of WGEN to generate daily rainfall sequence involves four parameters i.e., probability of wet day following a wet day and wet day following a dry day, and two-parameters related to gamma distribution that synthesize the distribution of rainfall amounts, which are usually estimated from historical data. These four parameters for each of the selected watersheds were derived on the basis of 30 years of historical data (1948-1977). Subsequently, these parameters are scaled on the basis of monthly rainfall total to produce daily rainfall sequence from 1905-2005. To scale the parameter of WGEN, the method outlined by Wilks (1992), which is based on monthly change in mean and variance of rainfall is used. In order to scale the parameters, the change factor (Eqn 3) in precipitation is

first derived for each month

$$CF_{i,j,k} = \frac{(P_{i,j,k} - \overline{P_{j,k}})}{\overline{P_{j,k}}} \quad (3)$$

where  $CF_{i,j,k}$  is the change factor for precipitation (for say, CRU precipitation) for  $i$ th year,  $j$ th month and  $k$ th watershed and  $\overline{P_{j,k}}$  is the climatologically average precipitation for  $j$ th month and  $k$ th watershed derived from historical rainfall data from 1948 to 1977. Disaggregation of rainfall data from monthly to daily time scale is done by scaling parameter of weather generator. As noted earlier, WGEN requires the specification of four parameters viz., shape and scale parameters of the two-parameter gamma distribution parameters related to the probability of wet day following wet and dry day following dry day to model the sequence of rain and no rain events. Therefore, adapting these parameters to account for future changes requires four constraints to solve for the four parameters. One of the constraints is derived from change in mean value of rainfall and the remaining three constraints are relaxed through assumptions i.e., the probability of wet day following wet day and dry day following dry day are assumed constant and the change in variability of rainfall is assumed proportional to change in the mean (see Wilks 1992).

Calculations of the different metrics involved (for example, correlations and composites) are done in the native grid of the datasets. The results of the analysis are tested for statistical significance using the bootstrapping method (McClave and Dietrich 1994; Efron and Tibshirani 1993). The idea of the boot-strapping is to create sub-samples of the exact same size as the original dataset to form a distribution of the metric to be tested (e.g. correlation, composite anomalies). The concept of boot-strapping which is a non-parametric test, tests the significance of a given metric against the null hypothesis that quantitative value of the given metric can arise from a random distribution of the time series. For example, when we test for the significance of the correlations in Figs. 4, 5, 8, and 9 to ENSO index, we

compare the correlations therein to the distribution of the correlations obtained from 100,000 sub-sampled pairs of time series of the ENSO index with say streamflow for a given watershed. These 100,000 pairs of time series have been obtained by randomly shuffling the (99) years of the original data of the ENSO Niño3.4 index and streamflow for a given watershed. The correlations in say Fig. 5 is then compared with this distribution of correlations obtained from the sub-sampled, randomly shuffled time series. If the sample correlation (in Fig. 5 for example) is in either tail end of the distribution then it is regarded to be statistically significant and the null hypothesis that the sample correlations can be randomly obtained is dismissed. So for example in Fig. 5, when the correlations reside in the regions of lesser than the fifth percentile or greater than the ninety-fifth percentile, it is recognized as a statistically significant correlation at 10% significance level (denoted by the circles outlined with thick lines).

## **4. Results**

In this study, the impact of ENSO and decadal variations e.g., AMO and the PDO on the winter rainfall and streamflow over 28 watersheds spread out in the SEUS is studied. The choice of these 28 watersheds follows from Bastola and Misra (2013). The watersheds are located in the states of Florida, Alabama, Georgia, and the Carolinas. These watersheds are a subset of the MOPEX US watershed database (Schaafe et al., 2006; Duan et al., 2006). The name of the river basin, its USGS ID and the location of the selected river gauging station are shown in Table I.

### **4.1. Fidelity of streamflow in the models**

Before further proceeding into the analysis of the streamflow, an assessment of the hydrological models would be useful. Two indices which are often used for the evaluation

purpose are defined in Section 2, namely the Nash-Sutcliffe Efficiency index (NSE) and volume error (VE). The NSE and VE were calculated for the winter streamflows simulated with 20CR and FLARes1.0 and validated against the corresponding simulated streamflow forced by the observed rainfall of CRU (left column) and that of the PRISM simulated flow (right column). These indices are based on 99 years of flow. The NSE as defined in eqn. (1) is plotted for all the watersheds in Fig. 1 and the VE is plotted in Fig. 2. These values are also listed for individual watersheds in Table II. Fig. 3 gives a quantitative summary of both (Figs. 1a and c and 2a and c). According to the definition, an ideal simulation will have a NSE value of 1 and a VE of 0. The NSE for most of the watersheds in the southern parts of the SEUS (viz. Alabama, Florida and parts of Georgia), is close to zero (Figs. 1-3; Table II), while in the northern watersheds it is negative (Figs. 1 & 2). The two atmospheric analysis (20CR and FLARes1.0) display large negative NSE compared to the simulated flow forced either by CRU or PRISM. However, watersheds which have greater negative values of NSE overlay the regions where the precipitation is insignificantly correlated with ENSO, and so are of lesser concern. VE is actually a fractional bias with lower values anticipated for good hydrological simulation. Northern watersheds in the Carolinas, northern Georgia and northern Alabama display some of the largest VE (Fig. 2) in both the reanalysis. In fact, the parts of the Florida and southern Alabama and southern Georgia have a lower VE (Figs. 2 and 3). A large fraction of the 28 watersheds in Fig. 3 show that for most of the river basins, the VE is clustered around 0 to 0.6 and the NSE mostly clustered around 0 (Fig. 3; Table II). It may be noted that 20CR has reduced variance in rainfall than FLARes1.0 (not shown), which results in reduced variance in the corresponding streamflow from 20CR that produces a higher NSE and lower VE scores than FLARes1.0 (Figs. 2 and 3).

#### 4.2. The ENSO teleconnection

To get an overview of the influence of the different phases of the ENSO on the SEUS, a correlation for the regional precipitation with the Niño3.4 SST seasonal mean index for winter seasonal mean (December through February [DJF]) rainfall anomalies is shown in Fig. 4. The seasonal mean Niño3.4 SST index anomalies is calculated by removing its 99 year mean instead of a method adopted by CPC where a centered 30 year mean is updated every 5 years. There are two reasons for removing a 99-year mean instead of method adopted by CPC. Firstly, the CPC provides indices dating back only to 1950. Secondly, this alternative definition of ENSO removes the confusion of calculation of the centered mean in the most recent 15 years of computation. The ENSO classification based on this definition of the Niño3.4 SST seasonal anomaly index instead of that by the CPC lead to negligible differences. When tallied with the data provided by CPC from 1950 through 2005, only 4 years (viz., 1953, 1959, 1984 and 2005) out of 55 years turns out to be neutral years as per the definition used here, which otherwise is labeled as El Niño or a La Niña year. With this classification, there are 28 El Niño, 26 La Niña and 45 Neutral years. The moderation of an ENSO event to a neutral event by this definition actually turns out to pose more strict limits to attain statistical significance of the results to detect ENSO teleconnections. It is important to point out that all the correlations (reported in the subsequent section) were carried out with respect to the seasonal anomaly Niño3.4 index for the winter as the ENSO has a seasonal peak in the boreal winter months.

The correlations between the DJF precipitation from reanalysis and observations with the winter seasonal mean Niño3.4 SST index indicate a positive correlation band over most parts of Florida and the southern parts of Alabama, Georgia and South Carolina (Fig. 4). In comparison to the correlations with the observed rainfall (Figs. 4a and b), the 20CR dataset (Fig. 4c) captures the ENSO teleconnection with rainfall over Florida quite well but does a comparatively poor job in representing this teleconnection in the other four states of our

SEUS domain (Alabama, Georgia and the Carolinas). FLARes1.0 (Fig. 4d) too displays a similar feature as 20CR with the ENSO teleconnections prevalent over Florida while it is relatively weak in the northern states of the SEUS. It should be noted that both CRU (Fig. 4a) and PRISM (Fig. 4b) display similar ENSO teleconnections, with positive correlations being strongest over the peninsular Florida and slightly weaker but statistically significant positive correlations appearing along the coast from the Carolinas to the southern tier of states up to Texas.

The six watersheds in the SEUS, two in Florida (viz., the Peace River and the St. John's River basins) and two in Alabama (viz., the Choctawhatchee River and Escambia River basin) and two in Georgia (viz., Ogeechee River and Oclockonee River basin) can be expected to maintain these observed ENSO forced atmospheric teleconnections in their streamflow since they lie in the region of strongest correlation of the precipitation with ENSO (Fig. 4). It should be noted that some of the watersheds spread across the states of Alabama and Georgia have their outlets in Florida where the streamflow is measured. Such watersheds will have their streamflow likely more influenced by the rainfall anomalies in Alabama and Georgia rather than that over Florida.

The correlation of the resulting winter seasonal mean streamflow with the corresponding Niño3.4 SST index is shown in Fig. 5. The CRU rainfall forced streamflow captures the positive correlation in the southern region of the SEUS and negative correlation higher up in the northern portion of the SEUS (Fig. 5a). The results from PRISM (Fig. 5b) compare well with the results from CRU (Fig. 5a) especially so for the southern watersheds in the domain. The 20CR (Fig 5c) captures the ENSO signal in Florida and Alabama but fails to capture more of the signal further north as depicted in Figs. 5a and b. However, most of the watersheds located in the northern portion of the SEUS do not show any statistically significant correlation in either the observed or the reanalysis forced rainfall datasets.

In fact in contrast to Fig. 4, the ENSO teleconnections of the streamflow in Fig. 5 display many inconsistencies between the four datasets. For example the strength of the correlations in South Florida in CRU (Fig. 5a) and PRISM (Fig. 5b) are different. Likewise the strength of the correlations over the southern watersheds differ between the reanalysis ENSO forced streamflow variations (Figs. 5c and d). A reason for this diversity in the streamflow response to ENSO could be due to the fact that the relation between precipitation and streamflow is not linear in these watersheds (Oh and Sankarasubramanian 2012). Many of the watersheds in the middle and northern part of the SEUS domain fall in the region where the rainfall is insignificantly correlated with ENSO or the watersheds spans a region of diverse ENSO teleconnections (positive and negative correlations with ENSO index). In a related study, Sankarasubramanian et al. (2001) showed that the streamflow in the SEUS watersheds exhibit a strong rainfall elasticity meaning that there is a disproportionate response in streamflow to changes in rainfall. Similar conclusions were drawn in Schaake (1990) and Nash and Gleick (1991). From these studies there is a growing consensus that lower elasticity is exhibited by regions where humidity and energy are seasonally out of phase (Budyko hypothesis) and in regions with high humidity index or lower values of potential evapotranspiration.

To understand the change in the probability distribution of the rainfall due to ENSO, the rainfall for the winter season is ranked and divided in three groups of equal size i.e., lower tercile, middle tercile and higher tercile each containing 33 years of data. Hence, the lower tercile corresponds to the years with lower values of rainfall, the medium tercile corresponding to the central region of the probability density of the rainfall and so on. Subsequently, within each tercile, a fraction of years featuring a particular ENSO event is calculated. A fraction of warm or cold ENSO event in lower and higher tercile for the four-rainfall dataset (Fig 6) shows a shift in the probability density function of rainfall. El Niño



years are featured with a distribution in rainfall with a shift towards the higher ranges. Hence, events with higher values of rainfall are more frequent in El Niño years accompanied by less frequent lower values of rainfall. The opposite happen for years, which feature a La Niña, where we see a shift towards the lower ranges in the rainfall. This is true for all the four datasets analyzed here (viz., (a) CRU, (b) 20CR, (c) FLARes1.0 and (d) PRISM). The neutral years and the middle tercile are not shown as they are statistically insignificant.

To verify whether such a shift also occur in the streamflow distribution, the streamflow from the hydrological models are ranked and divided in to the three tercile categories. Subsequently, the fraction of cold and warm ENSO event in each category is analyzed (Fig. 7). The results for the streamflow are in good agreement with the results of the rainfall. The direction of shift in the probability density function (PDF) of the streamflow is consistent with the shift in the distribution of rainfall for most of the watersheds in the SEUS and most importantly they seem to be consistent across all 4 datasets.

#### **4.3 Interdecadal variations of the ENSO teleconnection**

The Atlantic Multi-decadal Oscillation (AMO) is a mode of sea surface temperature (SST) variability in the northern Atlantic Ocean with a period of around 60 years (Schlesinger and Ramankutty 1994). The positive phase of the AMO is chosen to be from 1930 through 1959 and the negative phase is from 1965 through 1989 (<http://www.esrl.noaa.gov/psd/data/timeseries/AMO/>). As seen in earlier works (Enfield et. al. 2001; Mo 2010; Misra et. al. 2012), a positive (negative) phase of the AMO suppresses (enhances) the ENSO teleconnections on the SEUS winter rainfall. In the negative phase of AMO, the ENSO teleconnection on DJF rainfall (as depicted by the positive correlations) appears to spread slightly northward and westward of northern Florida in CRU (Fig. 8a) and PRISM (Fig. 8c). Furthermore the negative correlations over Tennessee that appear during

the positive phase of AMO disappear during the negative phase of AMO. These features of the modulated ENSO teleconnection by AMO is broadly captured in the 20CR (Fig. 8e and Fig. 8f) and FLARes1.0 datasets (Fig. 8g and Fig. 8h). In fact the negative correlations over Tennessee during positive phase of AMO are well (poorly) captured in 20CR (FLARes1.0) in Fig. 8e (Fig. 8g). But the westward and northward extension of the correlations during the negative phase of AMO is reasonably well (poorly) captured in FLARes1.0 (20CR) in Fig. 8h (Fig. 8f).

A similar analysis is performed on the modulation of ENSO forced winter seasonal mean streamflow variations by AMO. With the change from a positive phase of the AMO to the negative phase, there is a clear shift in the streamflow variability in the northern watersheds of the SEUS, which displays a stronger positive correlation in the northern watersheds to the ENSO index (Fig. 9). This result is quite robust as there is a general agreement on this feature across all four climate datasets. In fact the streamflow in some of these northern watersheds of the SEUS change their sign in their correlations with the ENSO index in moving from positive to negative phase of AMO. This is very clearly observed in CRU (Figs. 9a and b), PRISM (Figs. 9c and d) and FLARes1.0 (Figs. 9g and h). But not as much in 20CR (Fig. 9e and f).

On the other hand, the watersheds in south Florida show insignificant change in the ENSO teleconnections to the change in the phase of AMO. This is consistently observed in all four climate datasets with the growing strength of the teleconnection between streamflow and ENSO index as one moves north from south Florida. Despite the lack of robust ENSO-rainfall teleconnection in the northern watersheds of the SEUS that is modulated by AMO (Fig. 8) the elasticity of the streamflows in the SEUS watersheds and the fact that the streamflow at the outlet is an aggregate response to rainfall over the entire watershed explains the appearance of the significant correlations in Fig. 9. Furthermore the lack of significant

correlations in south Florida in Fig. 9 runs in contrast to Enfield et al. (2001) who found that during opposite phases of the AMO, the inflow in Lake Okheechobee which is regarded as the reservoir of the south Florida water supply, changes by as much as 40%. This study illuminates that this variability of inflow in Lake Okheechobee forced by AMO seems to be independent of ENSO variations in the winter. As mentioned earlier since the watersheds of SEUS are characterized with high value of elasticity and streamflow at the basin outlet is an aggregated response to rainfall over the watershed, there is a stronger ENSO teleconnections in the streamflow in some watersheds in Alabama, Georgia, and South Carolina as compared to rainfall.

## **5. Conclusions**

In this study, the association of ENSO variability with rainfall and streamflow during the boreal winter season over 28 watersheds located in the SEUS is examined across four different centennial long datasets. The rainfall from the two of the four datasets is considered as observed datasets analyzed on regular grids while the other two are model generated atmospheric reanalysis. While the main objective was to inter-compare the ENSO teleconnections on SEUS hydrology, a larger goal was to establish if the model generated atmospheric reanalysis could be a viable alternative to the observed rainfall datasets to discern these low frequency variations in SEUS hydrology. An affirmative answer to the latter would help in reposing more faith in such reanalysis attempts of the SEUS hydrology.

A multi-model strategy was adopted to simulate the streamflow using rainfall from 4 different datasets (CRU, PRISM, 20CR, FLARes1.0). 20CR is the global atmospheric reanalysis at 250km<sup>o</sup> grid resolution (Compo et al. 2011). FLARes1.0 is a dynamically downscaled atmospheric reanalysis from 20CR at 10km grid resolution (DiNapoli and Misra 2012). The hydrological models were calibrated and validated for the period of 1949-1970

using an independent set of rainfall (CPC) observations. The monthly mean rainfall datasets were disaggregated to the time step of the hydrological models (daily) using a weather generator (WGEN; Richardson and Wright 1984). Our analysis clearly indicates that the streamflow simulation errors stemming from the hydrological models are not insignificant. These errors largely stem from the erroneous forcing of the atmospheric reanalysis precipitation in comparison to rainfall from either CRU or PRISM. These errors are larger in the northern watersheds of the SEUS compared to the southern watersheds in Florida.

In this study we have focused on ENSO teleconnections on the winter hydrology of the SEUS as it is well known to be robust and therefore an ideal metric to evaluate the fidelity of a dataset. Our analysis reveals that ENSO teleconnections with winter rainfall in the SEUS are comparable in all four datasets. The influence of ENSO variability is stronger in the southern parts of the SEUS domain compared to the northern part. This is also reflected in the ENSO teleconnections of streamflow. The variability of streamflow in the southern watersheds (over Florida) show stronger relationship than the northern watersheds in the SEUS. Similarly the shift in the PDF of the intensity of rainfall and streamflow with change in ENSO phase show consistency across all four centennial long datasets.

Another important feature that is analyzed in this paper is the decadal modulation of ENSO teleconnection by AMO (Enfield et al. 2001). In all four climate datasets, the winter streamflow in the northern watersheds in the SEUS show a stronger positive correlation with the ENSO index during negative phase of AMO. In fact in some of these watersheds, the correlations of the winter streamflow variations with ENSO index change their sign from negative to positive correlations from positive to negative phase of AMO. These robust decadal modulations of ENSO teleconnections with streamflow in the northern watersheds of SEUS are possible despite insignificant variations of rainfall with ENSO owing to the non-linear relationship (elasticity) between rainfall and streamflow (Sankarasubramaniam et al.

2001). Furthermore the disparity between ENSO-rainfall and ENSO-streamflow teleconnections (in Figs. 8 and 9 respectively) also stem from the fact that the streamflow at watershed outlets represent the aggregate response of rainfall over the entire watershed, which happen to span a diverse region of ENSO-rainfall teleconnection in the northern regions of the SEUS. This study clearly highlights the importance of centennial long datasets to resolve these important teleconnections in the SEUS.

Our study reveals that FLARes1.0 reproduced verifiable correlation of streamflow with Niño3.4 SST index in winter, consistent shifts in the distribution of streamflow with ENSO phase and AMO modulation of ENSO effects on streamflow compared to streamflow simulated with observed gridded precipitation and larger scale reanalysis data. However despite this fidelity shown by FLARes1.0 forced simulated streamflow, the errors of the streamflow simulations as measured by the Nash Sutcliffe Efficiency (NSE) and Volume Error (VE) are discouraging. This model error shows that there is still a significant challenge in utilizing the output from climate model in reproducing the streamflow dynamics as it leads to large systematic errors. Therefore datasets like FLARes1.0 can prove to be useful to detect the influence of large scale climate variations on streamflows in small watersheds such as those over the SEUS, while it can still be far from adequate in simulating the streamflow dynamics of the watersheds over the SEUS at daily time scale.

#### *Acknowledgements*

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630



631 **Lists of Tables**

632

633 Table I. List of watershed used in the study.

634

635

SN	Stations	Longitude	Latitude	Station Name
1	2456500	-86.9833	33.7097	Locust Fork at Sayre, AL.
2	3574500	-86.3064	34.6242	Paint Rock River near Woodville AL.
3	2296750	-85.5608	33.1167	Tallapoosa River at Wadley AL.
4	2329000	-81.8761	27.2219	Peace River at Arcadia, FL.
5	2365500	-84.3842	30.5539	Ochlockonee River NR Havana, FL.
6	2375500	-85.828	30.776	Choctawhatchee River at Caryville, FL.
7	2236000	-87.2342	30.965	Escambia River near Century, FL.
8	2192000	-81.3828	29.0081	St. Johns River NR Deland, FL.
9	2202500	-82.77	33.9742	Broad River near Bell, GA.
10	2217500	-81.4161	32.1914	Ogeechee River near Eden, GA.
11	2347500	-83.4228	33.9467	Middle Oconee River near Athens, GA.
12	2383500	-84.2325	32.7214	Flint River near Culloden, GA.
13	2339500	-84.8331	34.5642	Coosawattee River near Pine Chapel, GA.
14	2387000	-85.1822	32.8861	Chatahoochee River at West Point, GA.
15	2387500	-84.928	34.667	Conasauga River at Tilton, GA.
16	2102000	-84.9414	34.5783	Oostanaula River at Resaca, GA.
17	2118000	-79.1161	35.6272	Deep River at Moncure, NC.
18	2126000	-80.659	35.845	South Yadkin River near Mocksville NC.
19	2138500	-80.1758	35.1483	Rocky River near Norwood, NC.
20	3443000	-81.8903	35.7947	Linville River near Nebo NC.
21	3451500	-82.624	35.299	French Broad River at Blantyre NC.
22	3504000	-82.5786	35.6092	French Broad River at Asheville, NC
23	3512000	-83.6192	35.1269	Nantahala River near Rainbow Springs, NC.
24	3550000	-83.3536	35.4614	Oconaluftee River at Birdtown, NC.
25	2156500	-83.9806	35.1389	Valley River at Tomotla, NC.
26	2165000	-81.4222	34.5961	Broad River near Carlisle, SC.
27	2414500	-82.1764	34.4444	Reedy River near Ware Shoals, SC.
28	3455000	-83.161	35.982	French Broad River near Newport, TN.

Table II: The Nash-Sutcliffe Error (NSE) and the Volume Error (VE) for all SEUS watersheds (listed in Table I) from simulated winter season streamflow forced by 20CR and FLARes1.0 and validated against simulated streamflow forced by CRU and PRISM.

SN	20CR based on CRU		FLARes1.0 based on CRU		20CR based on PRISM		FLARes1.0 based on PRISM	
	NSE	VE	NSE	VE	NSE	VE	NSE	VE
1	-0.1725	0.3946	0.1547	0.2880	0.1139	0.2831	0.3362	0.1851
2	0.0279	0.2256	-0.1315	0.2828	-0.1399	0.2444	-0.3494	0.3026
3	-4.3830	0.9240	-1.7180	0.4216	-4.9002	0.9700	-1.8897	0.4556
4	-0.3330	0.2131	-0.6778	0.2946	-0.4225	0.2575	-0.8955	0.3420
5	0.0354	-0.0564	-0.2785	-0.0736	-0.0683	0.0311	-0.3438	0.0123
6	-0.1491	0.0126	-0.3170	-0.0301	-0.1235	0.0385	-0.1570	-0.0052
7	-0.3418	0.0641	-0.0596	-0.0419	-0.6563	0.1404	-0.4716	0.0268
8	-0.3415	0.2696	-0.4076	0.1824	-0.3224	0.2876	-0.4196	0.1992
9	-1.3809	0.4501	-1.9669	0.5458	-1.6114	0.4976	-2.3619	0.5965
10	-0.1000	0.2864	-0.3475	0.1977	-0.2313	0.3309	-0.2908	0.2391
11	-0.6886	0.4137	-0.7884	0.4042	-1.0518	0.4278	-1.1047	0.4182
12	-0.6318	0.4828	-0.1237	0.1458	-0.6341	0.4402	-0.0403	0.1129
13	-0.1891	0.2706	0.0407	0.1894	-0.1332	0.2110	-0.0393	0.1336
14	-0.7540	0.4052	-0.1859	0.2006	-0.6869	0.3644	-0.2306	0.1658
15	-0.0128	0.2346	-0.2289	0.2256	-0.1092	0.2145	-0.2388	0.2056
16	-1.2800	0.4869	-1.7059	0.5143	-1.1349	0.3705	-1.2969	0.3958
17	-1.2274	0.4021	-4.4855	0.8549	-0.8333	0.3310	-3.4920	0.7610
18	-2.2922	0.5336	-10.2719	1.2527	-1.1491	0.3502	-6.6526	0.9833
19	-2.0774	0.3472	-6.0056	0.6424	-1.8183	0.3023	-5.0118	0.5877
20	-0.7503	0.1833	-10.2719	0.9185	-0.6357	0.0785	-8.7930	0.7487
21	-0.2089	-0.0145	-3.5209	0.4993	-0.4566	-0.2309	-1.6428	0.1700
22	0.0073	0.0756	-1.1184	0.2571	-0.0859	0.1377	-1.2725	0.3298
23	0.1152	-0.0103	-1.0533	0.2044	-0.0773	-0.2337	-0.5800	-0.0674
24	0.0567	0.0776	-1.1036	0.2589	0.0850	-0.0202	-0.7778	0.1446
25	-0.1314	0.0173	-0.2783	-0.0806	-0.1647	0.0016	-0.1371	-0.0948
26	-0.4682	0.2781	-3.0278	0.7770	-0.2529	0.2052	-2.3383	0.6756
27	-0.1944	0.2426	-1.5620	0.4891	-0.1290	0.2237	-1.4988	0.4664
28	0.1854	0.2086	-0.0506	0.2118	-1.1613	0.4890	-1.1157	0.4929

## List of Figures

Fig. 1. Nash-Sutcliff Error for (a-b) 20CR and (c-d) FLARes1.0 based on (a-c) CRU and (b-d) PRISM. Positive values are shown in red and negative values are shown in blue.

Fig. 2. Volume Error for (a-b) 20CR and (c-d) FLARes1.0 based on (a-c)CRU and (b-d)PRISM. Positive values are shown in red and negative values are shown in blue.

Fig. 3. Nash Sutcliffe Efficiency (NSE) Index and Volume Error estimated based on CRU as reference data.

Fig. 4. Correlation of DJF Precipitation with Niño 3.4 Index in (a) CRU, (b)PRISM, (c)20CR and (d)FLARes1.0. Only statistically significant regions at 90% level of confidence are shaded.

Fig. 5. Correlation of DJF streamflow with Niño 3.4 Index in (a) CRU, (b)PRISM, (c)20CR and (d)FLARes1.0. Positive values are shown in red and negative values are shown in blue. Only statistically significant regions at 90% level of confidence are shown as thick circles.

Fig. 6. Fraction of warm or cold ENSO event in tercile division of precipitation for (a-d)CRU, (e-h)PRISM, (i-l)20CR and (m-p)FLARes1.0. Only statistically significant regions at 90% level of confidence are shaded.

Fig. 7. Fraction of warm or cold ENSO event in tercile division of streamflow for (a-d)CRU, (e-h)PRISM, (i-l)20CR and (m-p)FLARes1.0 data. Fractions which are significantly high ( $>0.4$ ) are marked in red and those low ( $<0.2$ ) are marked in blue at 90% level of confidence.

Fig. 8. Correlation of DJF precipitation with the Niño 3.4 Index during (a, c, e, g) positive and (b, d, f, h) negative phases of AMO for (a-b) CRU, (c-d)PRISM, (e-f)20CR and (g-h)FLARes1.0. Only statistically significant regions at 90% level of confidence are shaded.

Fig. 9. Correlation of DJF streamflow with the Niño 3.4 Index during (a, c, e, g) positive and (b, d, f, h) negative phases of AMO for (a-b)CRU, (c-d)PRISM, (e-f)20CR and (g-h)FLARes1.0. Positive values are shown in red and negative values are shown in blue. Only statistically significant regions at 90% level of confidence are denoted as thick circles.

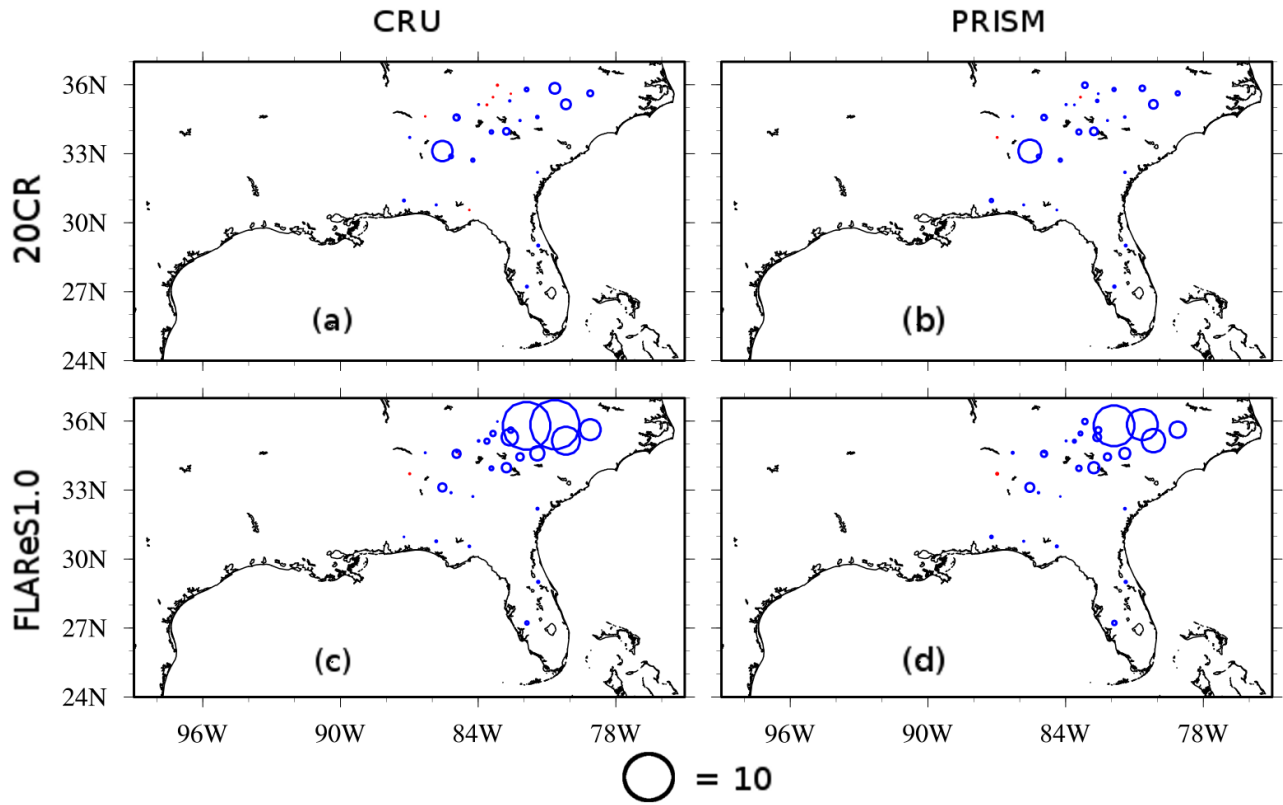


Fig. 1. Nash-Sutcliffe Error for (a-b) 20CR and (c-d) FLARes1.0 based on (a-c) CRU and (b-d) PRISM. Positive values are shown in red and negative values are shown in blue.



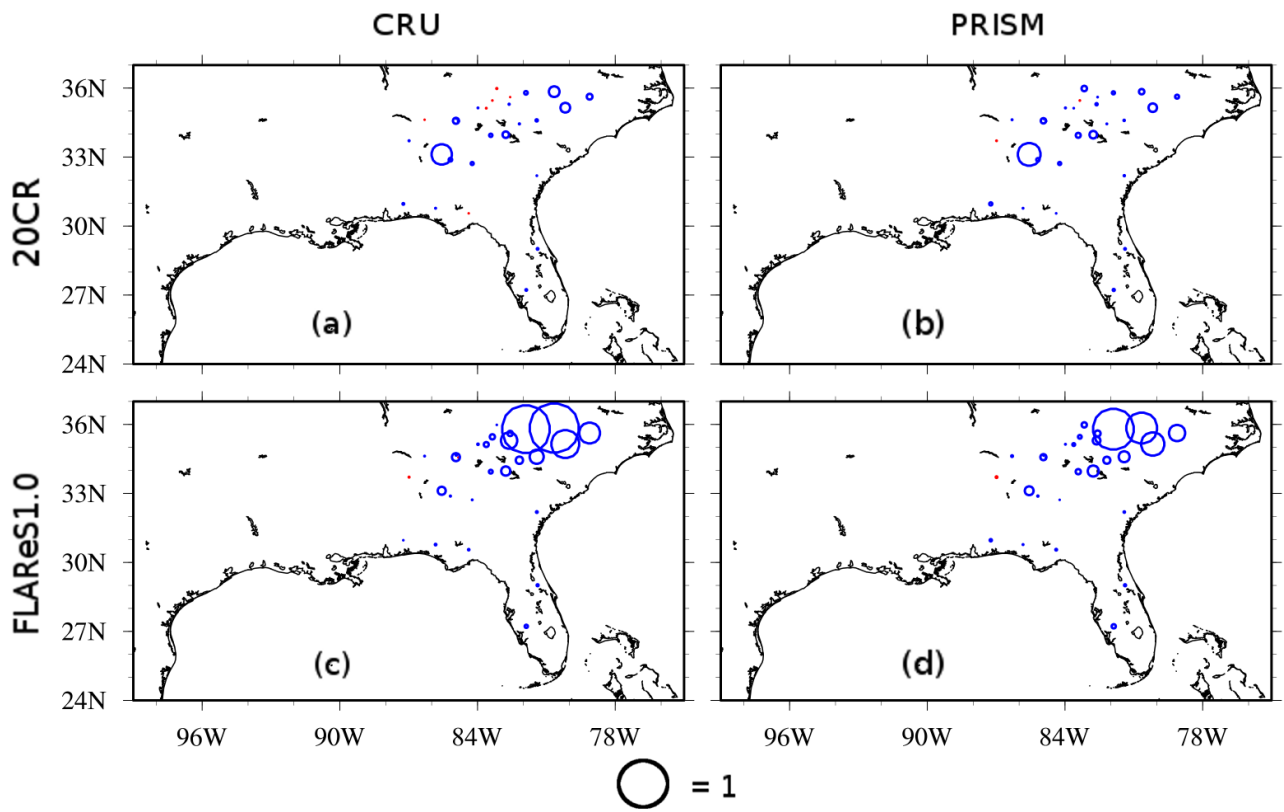


Fig. 2. Volume Error for (a-b) 20CR and (c-d) FLARes1.0 based on (a-c)CRU and (b-d)PRISM. Positive values are shown in red and negative values are shown in blue.

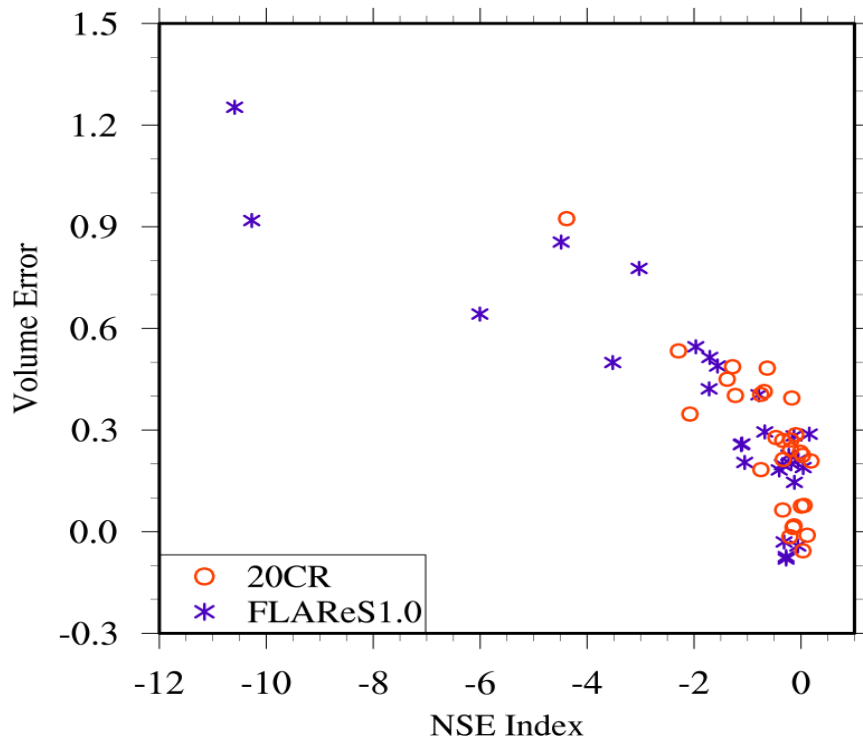


Fig. 3. Nash Sutcliffe Efficiency (NSE) Index and Volume Error estimated based on CRU as reference data.

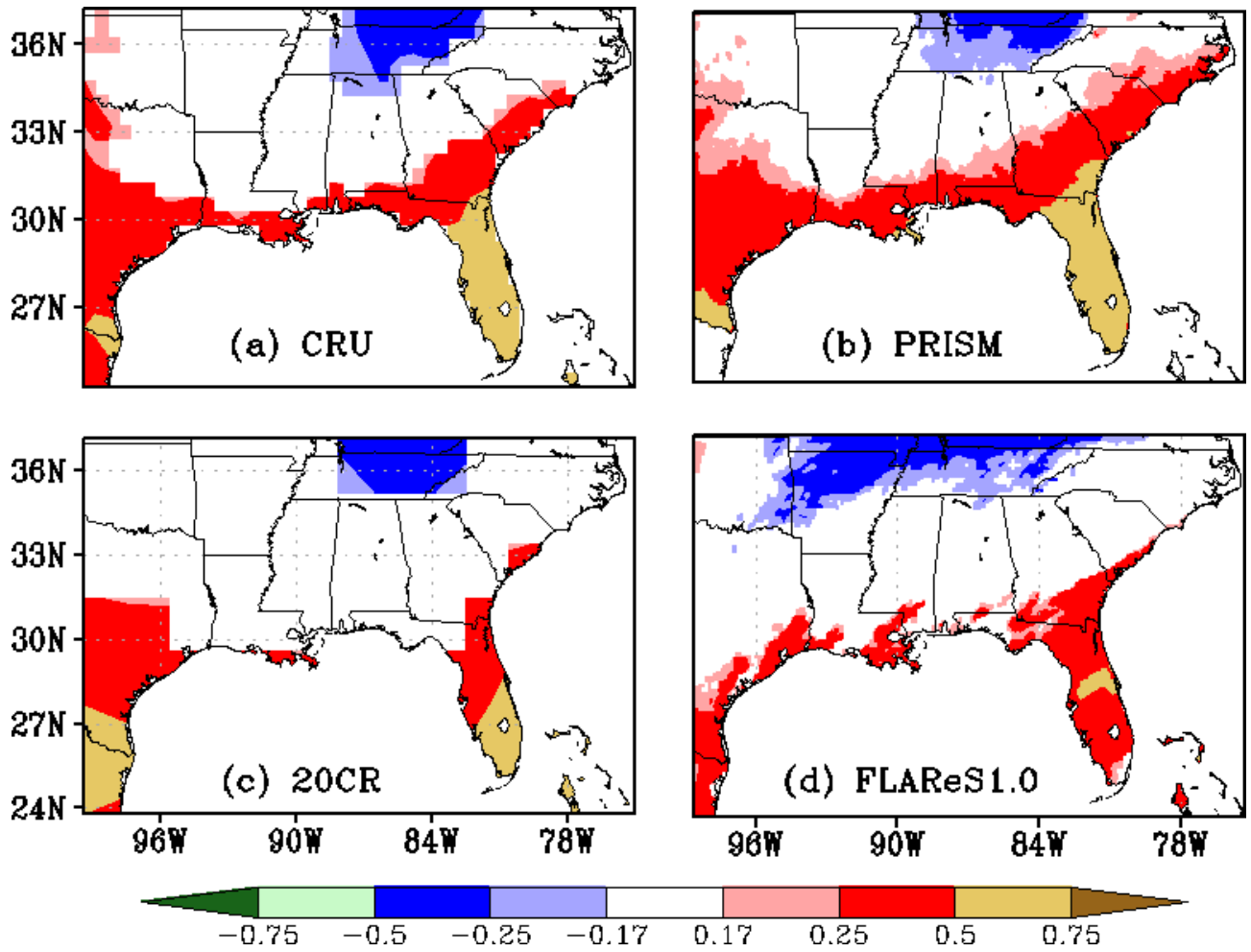


Fig. 4. Correlation of DJF Precipitation with Niño 3.4 Index in (a) CRU, (b)PRISM, (c)20CR and (d)FLAREs1.0. Only statistically significant regions at 90% level of confidence are shaded.

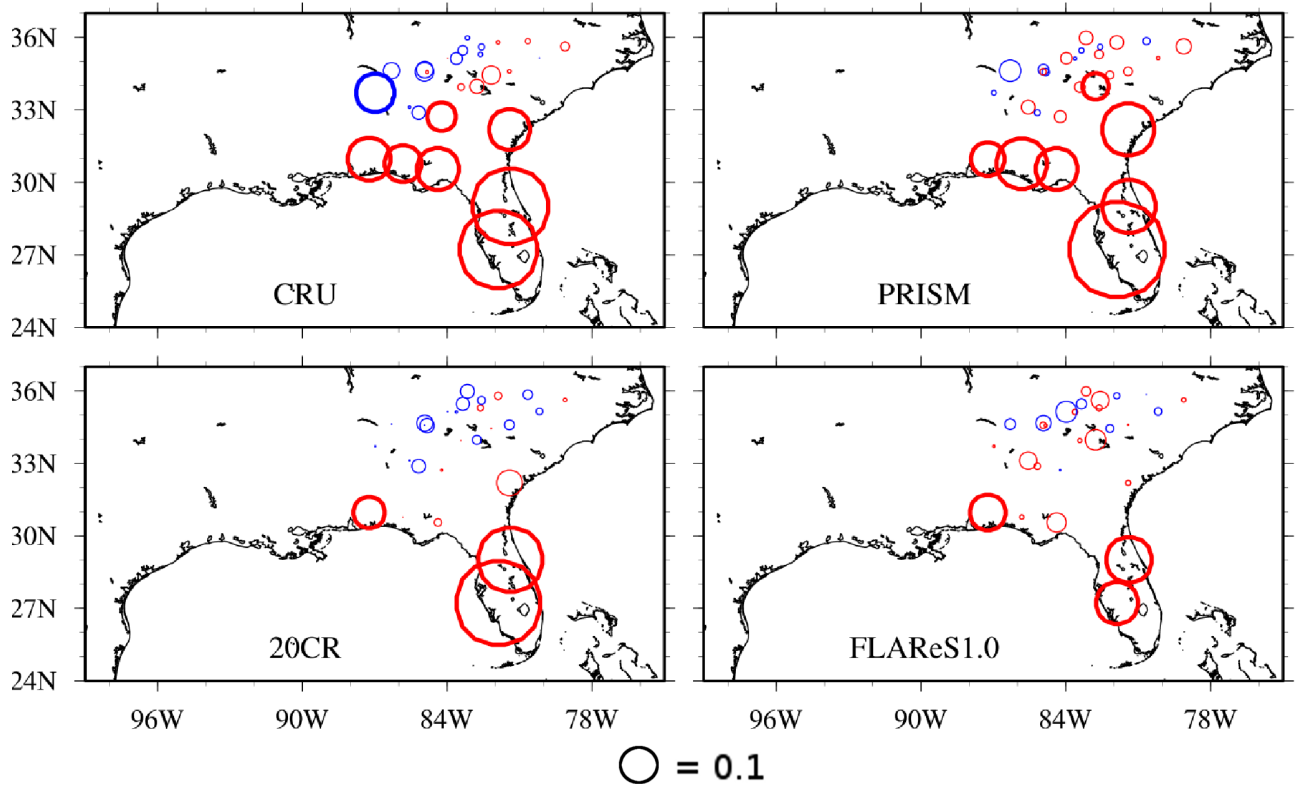


Fig. 5. Correlation of DJF streamflow with Niño 3.4 Index in (a) CRU, (b)PRISM, (c)20CR and (d)FLARes1.0. Positive values are shown in red and negative values are shown in blue. Only statistically significant regions at 90% level of confidence are shown as thick circles.

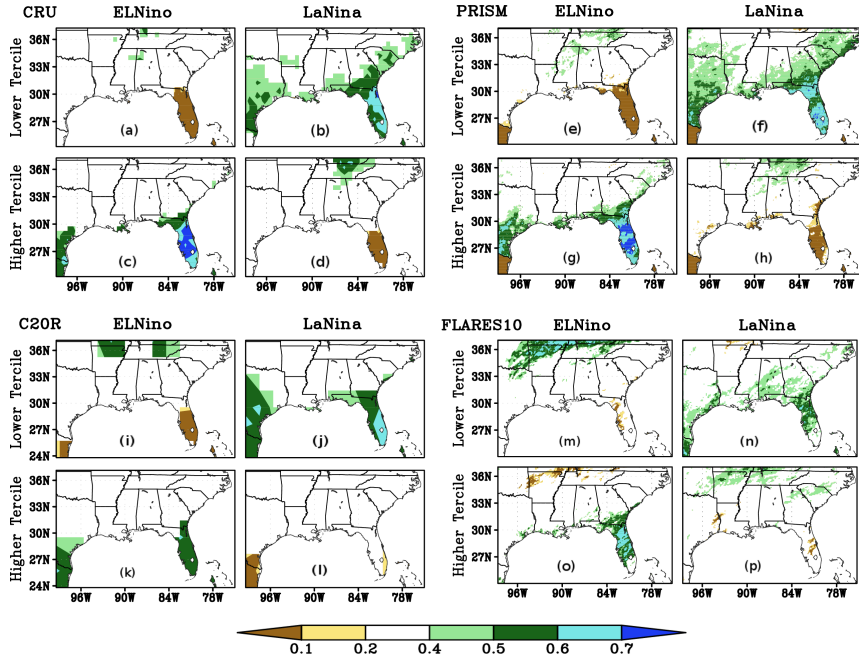


Fig. 6. Fraction of warm or cold ENSO event in tercile division of precipitation for (a-d)CRU, (e-h)PRISM, (i-l)20CR and (m-p)FLAREs1.0. Only statistically significant regions at 90% level of confidence are shaded.

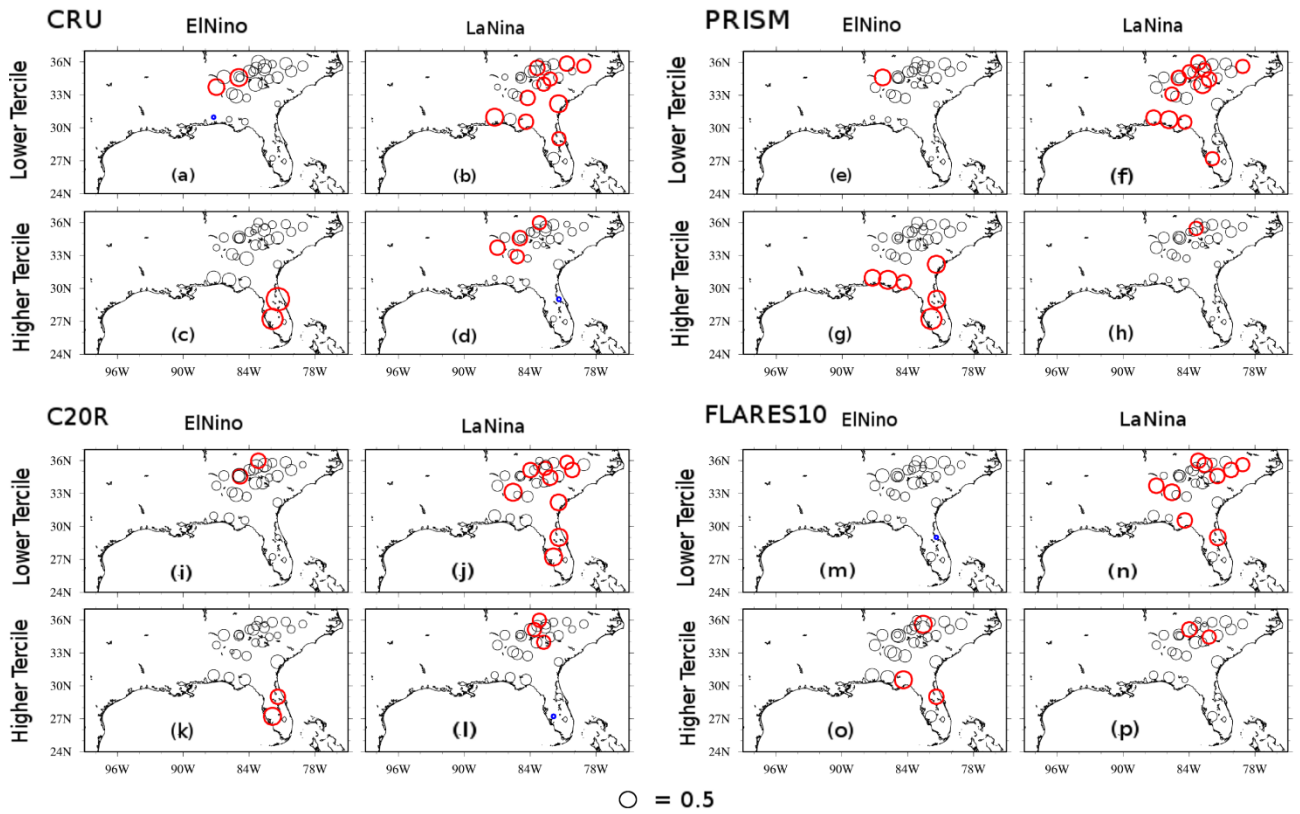


Fig. 7. Fraction of warm or cold ENSO event in tercile division of streamflow for (a-d)CRU, (e-h)PRISM, (i-l)20CR and (m-p)FLAREs1.0 data. Fractions which are significantly high ( $>0.4$ ) are marked in red and those low ( $<0.2$ ) are marked in blue at 90% level of confidence.

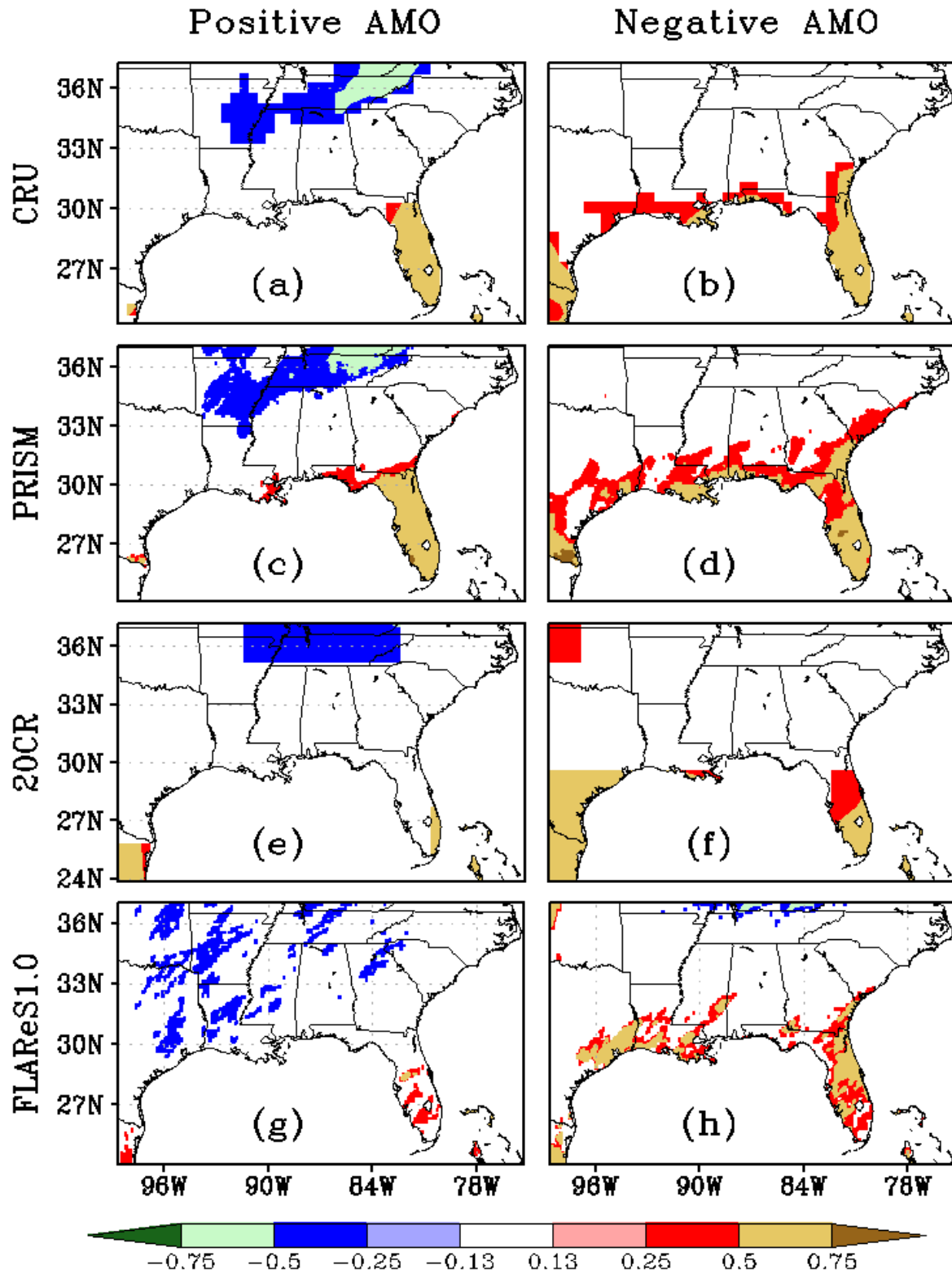


Fig. 8. Correlation of DJF precipitation with the Niño 3.4 Index during (a, c, e, g) positive and (b, d, f, h) negative phases of AMO for (a-b) CRU, (c-d) PRISM, (e-f) 20CR and (g-h) FLARes1.0. Only statistically significant regions at 90% level of confidence are shaded.

