1	Quantifying the role of the eddy transfer coefficient in simulating the response of
2	the Southern Ocean Meridional Overturning Circulation to enhanced westerlies
3	in a coarse-resolution model
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5	Yiwen Li ^{1,2} , Hailong Liu ^{1,2,3*} , Pengfei Lin ^{1,2} , Eric P. Chassignet ⁴ , Zipeng Yu ^{1,2} ,
6	Fanghua Wu ⁵
7	
8	
9	¹ State Key Laboratory of Numerical Modeling for Atmospheric Sciences and
10	Geophysical Fluid Dynamics (LASG), Institute of Atmospheric Physics, Chinese
11	Academy of Sciences, Beijing 100029, China
12	² College of Earth and Planetary Sciences, University of Chinese Academy of Sciences,
13	Beijing 100049, China
14	³ Center for Ocean Mega-Science, Chinese Academy of Sciences, Qingdao 266071,
15	China
16	⁴ Center for ocean-Atmospheric Prediction Studies, Florida State University,
17	Tallahassee 32306, Florida
18	⁵ China Meteorological Administration, Beijing 100081, China
19	
20	
21	
22	* Corresponding author: Hailong Liu (<u>lhl@lasg.iap.ac.cn</u>)
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25 Abstract

The ability of a coarse-resolution ocean model to simulate the response of the Southern 26 Ocean Meridional Overturning Circulation (MOC) to enhanced westerlies is evaluated 27 as a function of the eddy transfer coefficient (κ), which is commonly used to 28 parameterize the bolus velocities induced by unresolved eddies. By comparing five 29 different schemes for κ , it is shown that a stratification-dependent and spatiotemporally 30 varying coefficient leads to the largest response of the eddy-induced MOC (accounts 31 32 for 82% of the reference eddy-resolving simulation). By decomposing the eddyinduced velocity into a new term derived from the κ 's vertical variation (VV) and an 33 already existing term based on the κ 's spatial structure (SS), the largest response of the 34 eddy compensation is attributed to the significantly intensified SS term, while the VV 35 term weakens the response. Even though the parameterized eddy compensation 36 response is traced back to the response of the isopycnal slope for all five experiments, 37 the comparison between constant and spatiotemporally varying κ indicates that the 38 larger κ is the direct factor leading to the stronger eddy compensation response. 39 40 However, the stratification-dependent κ , especially its temporal variation, strengthens the eddy compensation response to enhanced westerlies by affecting the response of the 41 isopycnal slope, which is a secondary impact of κ . 42

Keywords: the eddy transfer coefficient; mesoscale eddies parameterization; enhanced
westerlies; Southern Ocean meridional overturning circulation; ocean model

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46 **1. Introduction**

The Southern Ocean crucially connects the Atlantic, Pacific, and Indian Oceans through 47 its meridional overturning circulation (MOC) and the Antarctic Circumpolar Current 48 (ACC). It is also full of mesoscale eddies, which can significantly affect the Southern 49 Ocean by altering the volume transport (Gent, 2016), the water mass formation (Waugh, 50 2014), and the carbon absorption (Swart et al., 2014). The Southern Ocean MOC 51 consists of two cells: the upper cell and the lower cell. The upper cell involves both the 52 upwelling of North Atlantic deep waters and the wind-induced northward surface 53 Ekman transport. According to satellite data and atmospheric reanalysis (Swart and 54

Fyfe, 2012; Bracegirdle et al., 2013; Farneti et al., 2015), the Southern Hemisphere 55 westerlies shifted poleward and intensified by ~20% due to anthropogenic global 56 warming and stratospheric ozone depletion (Gent, 2016). Based on the wind-driven 57 circulation theory, the intensified westerlies should enhance the circulations in the 58 Southern Ocean, but the isopycnal slope from the Argo observation (Böning et al., 2008) 59 does not show a corresponding enhancement. The reason is that the input energy from 60 the wind is compensated by mesoscale eddies in the Southern Ocean, which absorb 61 62 energy from the westerlies and are also enhanced by the intensification of the westerlies (Viebahn and Eden, 2010; Hofmann and Maqueda, 2011; Downes and Hogg, 2013). 63 The eddy compensation can further affect the Southern Ocean sea surface temperature 64 (SST) by dampening its response to enhanced westerlies (Doddridge et al., 2019). Thus, 65 the Southern Ocean eddy compensation and its response to changes in the westerlies 66 have a crucial role in the state of the Southern Ocean. 67

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The simulation of eddy compensation in climate models requires eddy parameterization. 69 70 Most climate models still use non-eddy-resolving ocean models, which means that eddy-induced transport must be parameterized. The parameterization is commonly 71 done using the diffusivity (Redi, 1982) and bolus velocities (Gent and McWilliams, 72 1990, hereafter referred to as GM), or the skewness flux (Griffies, 1998), with an eddy 73 transfer coefficient (κ). However, GM only parameterizes the transient eddy, so the 74 eddy compensation referred to here is the transient eddy compensation. To properly 75 parameterize the eddy compensation, κ should be variable in both space and time (Gent, 76 2016). This has been reported from the analysis of multiple non-eddy-resolving 77 78 simulations with different ocean models, including the mixing length scheme (Visbeck et al., 1997; Eden and Greatbatch, 2008) and the buoyancy-dependent scheme (Ferreira 79 et al., 2005). Hofmann and Maqueda (2011) show that the spatio-temporal variation of 80 κ based on the mixing-length scale is important in parameterizing the eddy 81 compensation. Gent and Danabasoglu (2011) emphasize the vertical variation of the 82 buoyancy frequency dependent κ . Abernathey et al. (2011) found that κ should be 83 proportional to the square root of the wind stress based on a zonal channel model with 84

idealized geometry. While previous studies have indicated the importance of considering both the spatial and temporal variations of κ in simulating the eddy compensation, it is not clear which variation has the greater impact. Additionally, the impact of the additional vertical variation has only been examined using a buoyancydependent scheme of κ (Gent and Danabasoglu, 2011). Further investigation with different schemes of κ is necessary to clarify the role of the different characters of κ and the different schemes of κ in the simulation of eddy compensation.

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The simulation of eddy compensation in coarse-resolution models requires 93 spatiotemporal variation in κ . However, previous studies have shown inconsistent 94 magnitudes of eddy compensation in response to changes in wind stress. For instance, 95 Hofmann and Maqueda (2011) show a 67% increase in the eddy-induced MOC with 96 doubled westerlies and a length-scale-dependent scheme for κ , while Gent and 97 Danabasoglu (2011) show a 60% increase with only 50% enhanced westerlies using a 98 buoyancy-dependent scheme. Furthermore, Downes et al. (2018) find a spread in the 99 100 simulated trends of the eddy-induced MOC among 12 COERII models with different schemes for κ . To understand the spread of eddy compensation in coarse-resolution 101 models, it is necessary to compare the results of different models and schemes. The 102 high-resolution model is usually used as a reference to evaluate the spread, as most 103 state-of-the-art eddy-resolving ocean models can resolve the impact of mesoscale 104 eddies on the MOC response. The idealized channel model by Abernathey et al. (2011) 105 and the eddy-resolving model by Meredith et al. (2012) both indicate a linear response 106 of the eddy-induced MOC to wind stress. Bishop et al. (2016) show a 22.8% increase 107 108 in the eddy-induced MOC (transient eddy-induced MOC) with a 50% increase in wind stress using an eddy-resolving coupled model. To determine the crucial features of κ 109 for parameterizing the mesoscale eddies, it is helpful to compare high-resolution and 110 low-resolution configurations of a single model, as this approach avoids the effects of 111 different models' dynamic cores. This evaluation will also help determine which 112 features of κ are important for parameterizing the eddy compensation in coarse-113 resolution models. 114

This study quantifies the response of the Southern Ocean MOC to increased westerlies 116 in an ocean model that incorporates parameterized eddy effects through different 117 schemes for κ . An eddy-resolving configuration serves as a reference for assessing the 118 effects of different κ on the simulated eddy compensation. Two widely used κ schemes 119 are considered: one depending on the buoyancy frequency from Ferreira et al. (2005), 120 and another that incorporates time and length scales provided by the Eady growth rate, 121 122 the Rossby radius of deformation, and the Rhines scale from Eden and Greatbatch (2008). Our findings reveal the following: (1) the largest simulated eddy compensation 123 response among the coarse-resolution experiments using different κ represents 82% of 124 the response from the reference eddy-resolving experiment, (2) the new term introduced 125 by the vertical variation of κ reduces the eddy compensation response to enhanced 126 westerlies, and (3) the stratification-dependent κ , particularly its temporal variation, 127 enhances the eddy compensation response to enhanced westerlies by affecting the 128 response of the isopycnal slope. 129

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The remainder of the paper is organized as follows. Section 2 describes the ocean model, experiments, and methods of decomposing the eddy-resolving output into the eddyinduced and Eulerian mean transports. In section 3, the response of the circulation to the intensified westerlies in the eddy-resolving model and the coarse-resolution model with different κ are investigated. Section 4 describes how the eddy compensation response in the Southern Ocean is influenced by κ . The last section is the summary and discussion.

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139 **2. Experiments and methods**

140 **2.1 Eddy-resolving experiment**

The ocean model used in this paper was developed at the State Key Laboratory of
Numerical Modeling for Atmospheric Sciences and Geophysical Fluid Dynamics
(LASG), Institute of Atmospheric Physics (IAP), and named the LASG/IAP Climate
system Ocean Model (LICOM). The eddy-resolving experiment uses LICOM version

2.0 (LICOM2.0, Liu et al., 2012), with a $0.1^{\circ} \times 0.1^{\circ}$ horizontal grid and 55 vertical 145 levels. In the upper 300 m, 36 levels are used with an average layer thickness of less 146 than 10 m. Biharmonic viscosity and diffusivity schemes are used in the momentum 147 and tracer equations, respectively. The model domain covers 79°S-66°N, excluding the 148 Arctic Ocean. There is a 5° buffer zone at 66°N, where temperature and salinity are 149 restored to the climatological monthly temperature and salinity (Levitus and Boyer, 150 1994). The experiment, called LICOMH hereafter, was conducted after a 13-year spin-151 up and using the 60-year (1948-2007) daily Coordinated Ocean-Ice Reference 152 Experiments (CORE, Large and Yeager, 2004) interannually varying forcing. Please 153 refer to Yu et al. (2012) and Liu et al. (2014) for the details of the model description 154 and basic performances. 155

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157 **2.2 Coarse-resolution experiments**

The coarse resolution experiments use version 3.0 of LICOM (LICOM3, Lin et al., 158 2020; Li et al., 2020), which is coupled to the Community Ice Code version 4 (CICE4) 159 160 through the NCAR flux coupler version 7 (CPL7), with approximately 1° horizontal resolution and 30 vertical levels. The vertical resolution is uniform in the top 150 m, 161 with a grid spacing of 10 m, whereas the spacing is uneven below 150 m. The horizontal 162 model grid uses a tripole grid (Murray, 1996) with two poles in the Northern 163 Hemisphere, which are located at 65°N, 30°W and 65°N, 150°E, respectively. The tidal 164 mixing parameterization scheme of St. Laurent et al. (2002) is implemented. The 165 coarse-resolution experiments (hereafter referred to as LICOML) follow the second 166 phase of the Coordinated Ocean-Ice Reference Experiments (COREII) protocol, forced 167 by six-hourly atmospheric data and the bulk formula of Large and Yeager (2009). These 168 experiments are integrated for 124 years, with two 62-year CORE-II cycles, and the 169 second cycle is used for analysis here. The strength of the residual MOC, defined as the 170 maximum positive value in the entire area, demonstrates similar trends and variability 171 between the two cycles, except for the first 12 years. The trend of residual MOC from 172 the second cycle is comparable to that from the eddy-resolving experiment, making the 173 comparison between the coarse-resolution simulations and the eddy-resolving 174

simulation valid, despite the skipping of the 12 years at the beginning of the cycle from
coarse-resolution experiments and the 13-year spin-up from the eddy-resolving
experiment.

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There are five coarse-resolution experiments with different schemes for κ (listed in Table 1) to evaluate the influence of κ . The first two experiments, referred to as K500 and K1000, use constant κ of 500 m² s⁻¹ and 1000 m² s⁻¹, respectively. The next two experiments (called FMH3D and FMH4D respectively) use an eddy transfer coefficient scheme based on the structure of buoyancy frequency as described in Ferreira et al. (2005):

$$\kappa = \frac{N^2}{N_{\rm ref}^2} \kappa_{\rm ref} \tag{1}$$

186 where κ is the eddy transfer coefficient, κ_{ref} is constant and set to 4000 m² s⁻¹, N² is 187 the buoyancy frequency, and N_{ref}^2 is the reference buoyancy frequency at the bottom of 188 the mixed layer. FMH4D uses a spatiotemporally varying κ , which follows Eq. (1). In 189 FMH3D, its κ is the time-averaged κ during 1948-2009 from FMH4D, making it a 190 control experiment to investigate the impact of the additional temporal variation of κ .

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Experiment	Resolutions	(°) $\kappa (m^2/s)$	Periods	Forcing
LICOMH	0.1	-	1949-2007	CORE II
K500	1	500	1948-2009	CORE II
K1000	1	1000	1948-2009	CORE II
FMH3D	1	$\overline{\kappa_{ref}(N^2/N_{ref}^2)}$	1948-2009	CORE II
FMH4D	1	$\kappa_{ref}(N^2/N_{ref}^2)$	1948-2009	CORE II
EG	1	$\alpha\sigma(x,y,z)L^2(x,y,z)$	1948-2009	CORE II

194 The fifth coarse-resolution experiment uses the scheme of κ from Eden and Greatbatch 195 (2008), which is computed from time and length scales derived from the Eady growth

196 rate, the Rossby radius of deformation, and the Rhines scale:

σ

197
$$\kappa = \alpha \sigma(x, y, z) L^2(x, y, z)$$
(2)

198

$$=\frac{f|u_Z|}{N}$$
(3)

199 where σ denotes an inverse eddy timescale that is given by the Eady growth rate, which 200 is calculated by Eq. (3); *L* is an eddy length scale, which is the minimum of the local 201 Rossby radius of deformation and the Rhines scale; and α is a constant parameter of 202 order one following Eden and Greatbatch (2008) and Eden et al. (2009). The experiment 203 is called EG hereafter.

204

Despite previous studies indicating that the isopycnal diffusivity is influenced by wind stress (Abernathey and Ferreira, 2015) and the diffusivity coefficient (also known as Redi coefficient, Abernathey and Marshall, 2013) can impact the Southern Ocean MOC in ocean models (Marshall et al., 2017), the focus of this study is solely on investigating the impact of the eddy transfer coefficient (also known as the GM coefficient). In all coarse-resolution experiments, the Redi coefficient is held constant at a value of 500 $m^2 s^{-1}$.

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213 **2.3 Decomposition of MOC in LICOMH**

The total MOC, also named the residual MOC, consists of the Eulerian and the eddyinduced MOC. Following Poulsen et al. (2018), the eddy-induced MOC in LICOMH is defined by the deviation of the total MOC from the Eulerian MOC calculated based on time-mean velocities. As in previous studies, we perform the decomposition analysis in the isopycnal coordinate system (e.g., Hallberg and Gnanadesikan, 2006; Munday et al., 2013; Bishop et al., 2016; Poulsen et al., 2018).

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221 The residual MOC over a specified period is given by:

222
$$\psi(y,\sigma)_{res}^{High} = -\int_{west}^{east} \int_{z:\rho(x,y,z,t)\leq\sigma} v dz dx$$
(4)

where $\psi(y, \sigma)_{res}^{High}$ is the residual MOC at a given potential density surface σ across a given latitude *y*. *v* is the meridional velocity transferred in density coordinates. *dz* is

the density thickness, which is the product of $d\rho$ and $dz/d\rho$. *x*, *y*, and *z* are the usual cartesian coordinates. And $\rho(x, y, z, t)$ is the potential density, which is calculated with a reference pressure of 2000 dbar. The zonal integration here is from the west to the east and the potential density layers smaller than the given potential density σ are integrated with the vertical direction. () denotes the average operator over time (ten years used here).

231

To obtain the Eulerian MOC, the decomposition is applied to the monthly velocity over a specified period in density coordinates. As mentioned by Poulsen et al. (2018), the time scale of the monthly outputs is enough to calculate the eddy-induced circulation. First, the velocity is transferred in density coordinates. Then, the time average (ten years used here) is applied to those velocities, resulting in a time-mean field over that period and its monthly deviation. Taking the meridional velocity as an example, we define the decomposition as follows:

239

$$v(x, y, \rho, t) = \overline{v}(x, y, \rho) + v^*(x, y, \rho, t)$$
⁽⁵⁾

where \bar{v} is the time-mean meridional velocity and v^* is the deviation. The streamfunction derived from the time-mean field represents the Eulerian mean overturning circulation over that period, which includes the standing eddy. The formula is given by:

$$\psi(y,\sigma)_{Euler}^{High} = -\int_{west}^{east} \int_{\bar{z}:\bar{\rho}(x,y,z,t)\leq\sigma} \bar{\nu}dzdx$$
(6)

where $\psi(y, \sigma)_{Euler}^{High}$ is the Eulerian MOC at a given potential density surface σ across a given latitude y. \bar{v} is the time-mean velocity in density coordinates over a specified period (ten years). dz is the product of $d\rho$ and $dz/d\rho$. x, y, and z are the usual cartesian coordinates. And $\overline{\rho(x, y, z, t)}$ is the time-mean potential density. The zonal integration here is from the west to the east and the time-mean potential density layers smaller than the given potential density σ are integrated with the vertical direction.

251

Finally, the difference between the residual MOC (ψ_{res}) and the Eulerian MOC (ψ_{Euler})

253 is the eddy-induced MOC:

$$\psi(y,\sigma)^{*\,High} = \psi(y,\sigma)_{res}^{High} - \psi(y,\sigma)_{Euler}^{High}$$
(7)

which captures the motion that varies on a temporal timescale shorter than the period
of the applied time-averaging operator (ten years used here). And the eddy-induced
MOC here only represents the MOC induced by the transient eddy.

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259 **2.4 Decomposition of MOC in LICOML**

The decomposition of MOCs in coarse-resolution simulations (LICOML) is different from LICOMH, since the mesoscale eddy is not resolved in LICOML. For the coarseresolution simulations, the residual MOC ($\psi(y, \sigma)_{res}^{Low}$) over a specified period, which is the same as that for the eddy-resolving simulation, is calculated based on the monthly output of the meridional velocity transferred in the density coordinate. The formula is as follows:

$$\psi(y,\sigma)_{res}^{Low} = -\int_{west}^{east} \int_{z:\rho(x,y,z,t) \le \sigma} v dz dx$$
(8)

where $\psi(y,\sigma)_{res}^{Low}$ is the residual MOC for low-resolution simulations at a given 267 potential density surface σ across a given latitude y. v is the simulated residual 268 meridional velocity transferred in density coordinates. dz is the thickness of the density 269 layers, which is the product of $d\rho$ and $dz/d\rho$ and a crucial component of the MOC. x, 270 y, and z are the usual cartesian coordinates. And $\rho(x, y, z, t)$ is the potential density, 271 which is calculated with a reference pressure of 2000 dbar. The zonal integration here 272 is from the west to the east and the potential density layers smaller than the given 273 potential density σ are integrated in the vertical direction. () denotes the average 274 operator over time, which is ten years here. 275

276

However, calculations of the Eulerian MOC and the eddy-induced MOC in LICOML
are different from those for LICOMH. The eddy-induced MOC for the coarseresolution simulation is derived from the monthly parameterized eddy-induced velocity.
The formula is as follows:

281
$$\psi(y,\sigma)^{*Low} = -\int_{west}^{east} \int_{z:\rho(x,y,z,t) \le \sigma} v^* dz dx$$
(9)

where $\psi(y, \sigma)^{*Low}$ is the eddy-induced MOC for low-resolution simulations. v^* is the

parameterized eddy-induced meridional velocity. $x, y, z, \rho(x, y, z, t)$ and dz are the same as those in Eq. (7). The zonal integration is also from the west to the east and the potential density layers smaller than the given potential density σ are integrated in the vertical direction. () also denotes the average operator over time, which is 10 years here. Then, the Eulerian MOC for low-resolution simulations is as follows:

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$$\psi(y,\sigma)_{Euler}^{Low} = \psi(y,\sigma)_{res}^{Low} - \psi(y,\sigma)^{*Low}$$
(10)

289 where $\psi(y, \sigma)_{Euler}^{Low}$ is the Eulerian MOC at a given potential density surface σ across a 290 given latitude y.

291

292 **3. Responses to enhanced westerlies**

293 **3.1 Enhanced westerlies**

Figure 1a shows the 12-month running mean monthly series of the zonal wind stress 294 averaged in the Southern Ocean (40-60°S and 0-360°E) and its linear trend for 295 LICOML. The wind stress was computed using CORE II forcing and model-predicted 296 SST, which indicates an increasing trend from 1949 to 2007 with a magnitude of about 297 298 0.007 Pa/decade (significant by Mann-Kendall non-parametric test of the significance level of 95%). The trend is consistent with the enhanced westerlies in the Southern 299 Ocean that appeared during recent decades found in previous studies (Swart and Fyfe, 300 2012; Bracegirdle et al., 2013; Farneti et al., 2015; Gent, 2016). The difference in the 301 zonal wind stress between 1998–2007 and 1960–1969 is presented in Figure 1b. There 302 is a general enhancement of the zonal wind stress in the Southern Ocean with a 303 maximum of 0.1 Pa and about a 25.2% increase of the strength, which is defined by 304 averaging over 40-60°S and 0-360°E. Furthermore, a slightly poleward shift of the 305 zonal wind stress is also shown, which is confirmed by previous studies (e.g., Goyal et 306 al., 2021). This significant multidecadal intensification of westerlies in the Southern 307 Ocean is believed to be driven partially by ozone depletion and global warming 308 (Thompson and Solomon, 2002; Marshall, 2003; Miller et al., 2016). 309



310 -0.09 -0.06 -0.03 0 0.03 0.06 0.09 1 a
311 Figure 1. (a) The black line is the 12-month running mean zonal wind stress averaged
312 in the Southern Ocean (40°S–60°S and 0–360°E) from LICOML. The thick black line
313 is the linear trend of the monthly series. (b) The differences of the zonal wind stress
314 from LICOML between periods of 1998–2007 and 1960–1969, and the zonally
315 averaged values. The red solid and blue dashed lines are for 1960–1969 and 1998–
316 2007, respectively.

The linear trend of the zonal wind stress in the Southern Ocean from LICOMH is almost the same as that from LICOML with a magnitude of 0.007 Pa/decade (Fig. S1). For the comparison between the two periods, LICOMH shows an increase in strength of 23.6%, which is 1.6% weaker than the 25.2% from LICOML. That offset comes from both the surface forcing and the feedback from simulated surface speed. The former is mainly due to the mapping process. Therefore, the latter factor may dominate the differences. In addition, the simulated sea surface temperature may also lead to differences through the calculation of the drag coefficient. However, the zonal wind stress trends between LICOMH and LICOML are almost the same (0.007 Pa/decade for both). Thus, in terms of the response of MOC to intensified westerlies, the difference in the wind stress magnitude between LICOMH and coarse-resolution simulations can be ignored. In general, the enhanced westerlies are well simulated in both LICOML and LICOMH (Fig. S1).

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332 3.2 Response in the eddy-resolving experiment

The response of the Southern Ocean MOC to the intensified westerlies is estimated by 333 the eddy-resolving experiment (LICOMH), in which mesoscale eddies can be resolved 334 explicitly. The first row of Figure 2 shows the residual, Eulerian, and eddy-induced 335 MOC in the isopycnal coordinate system during 1949–2007 in the Southern Ocean. The 336 positive upper cell and the negative lower cell are presented clearly in the residual MOC 337 (Fig. 2a). They are located from 35° S to 55° S near the surface of 36.45 kg m^{-3} and from 338 35°S to 75°S near the surface of 36.90 kg m⁻³, respectively. This structure is in line 339 340 with the theoretical pattern in the isopycnal coordinate system (Farneti et al., 2015). The eddy-induced MOC shows the opposite direction to the Eulerian MOC, 341 compensating for the Eulerian MOC and leading to a weaker clockwise residual MOC 342 in the upper cell, which is consistent with previous studies (e.g., Hallberg and 343 Ganadesikan, 2006; Meredith et al., 2012; Paulsen et al., 2018). 344



346 Figure 2. The first column is the MOC of residual currents for the periods of (a) 347 1949–2007, (d) 1960–1969, (g) 1998–2007, and (j) the difference between 1998–2007 348 and 1960–1969 for LICOMH in the isopycnal coordinate. The black curves represent 349 the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the 350 isopycnal coordinate. The second and third columns are the same as the first column, 351 but for the Eulerian MOC and the eddy-induced MOC, respectively. The black 352 numbers in the first and second columns are the maximum of the closed residual and 353 Eulerian MOC. The white numbers in the third column are the minimum of the eddy-354 induced MOC. (Unit: Sv) 355

The MOC during 1960–1969 and 1998–2007 is presented in the second and third rows 357 of Figure 2 to evaluate the response of the MOC to enhanced westerlies. To quantify 358 the response, we define the maximal positive value in the whole area as an index to 359 measure the strength of the upper cell, which can represent the total north/south 360 transport in the upper overturning cell at a certain latitude. The clockwise residual MOC 361 during 1998–2007 (Fig. 2g) has a strength of 10.56 Sv, whereas it is 4.73 Sv during 362 1960–1969 (Fig. 2d), indicating a 5.83 Sv increase of the clockwise residual MOC from 363 1960–1969 to 1998–2007. That increase in the strength of the residual MOC (Fig. 2) 364

and Table. 2) takes up to 123% compared with that from 1960–1969. For the Eulerian MOC in LICOMH, its enhancement is larger than that of the residual MOC, with a strength of 5.84 Sv (Fig. 2k and Table. 2), increasing by 59% compared with 1960– 1969. The ratio of 59% is not in line with the ratio of the enhanced westerlies (25.2%), which may be caused by the changing isopycnal slope and standing eddies in the Eulerian MOC.

371

372 The distinction between the residual and the Eulerian MOC responses can be seen in the compensation effect of the eddy-induced MOC. As illustrated in Figure 2, the 373 direction of the eddy-induced MOC (Fig. 2c, 2f, and 2i) is opposite to that of the 374 Eulerian MOC (Fig. 2b, 2e, and 2h) in the region of the upper cell. Additionally, the 375 376 eddy-induced MOC displays an increase in intensity over time (Fig. 21). To further quantify this response, the minimum value in the whole area is defined as the strength 377 of the eddy-induced MOC, which can represent the total north/south transport at a 378 certain latitude. The strength is found to be -20.73 Sv during the period 1960-1969 and 379 380 -23.57 Sv during the period 1998-2007. The intensified eddy-induced MOC, referred to as the eddy compensation response, has a strength of 2.84 Sv, constituting 13.7% of 381 the eddy-induced MOC during 1960-1969. This ratio is smaller than that of the 382 intensified westerlies (23.6%). This disparity may be caused by the varying isopycnal 383 slope across the Southern Ocean, since the intensified wind stress leads to an increase 384 of a similar magnitude in the overturning with the assumption of a largely invariant 385 isopycnal slope field across the Southern Ocean (Meredith et al., 2012). 386

387

Based on the climatological MOCs and their response to changes, there are two categories of eddy compensation. The first category, referred to as simply "eddy compensation", encompasses the spatial compensation of the MOC. The second category, referred to as the "response of eddy compensation", encompasses both the spatial and temporal compensation, taking into account the enhancement of the Eulerian MOC as a result of strengthened westerlies.

395 3.3 Responses in the coarse-resolution experiments

To assess the efficacy of the parameterized eddy in the coarse-resolution ocean 396 experiments, we examine five different experiments, which are schemes K500, K1000, 397 FMH3D, FMH4D, and EG, each with a different scheme for κ (Fig. 3d, 3h, 3l, 3p, and 398 3t). As shown in Figure 3, the climatological mean eddy-induced MOC (the third 399 column) of all five experiments shows anticlockwise circulations during 1948-2009, 400 which is contrary to the Eulerian MOC (the second column). A change in the κ value 401 from 500 m² s⁻¹ to 1000 m² s⁻¹ is expected to result in a stronger eddy-induced MOC 402 (Fig. 3c and 3g). The patterns of the residual MOC in experiments with spatially varying 403 κ (Fig. 3i, 3p, and 3t) show only slight differences compared to K1000, a result of the 404 compensation between the Eulerian MOC and the eddy-induced MOC. While the 405 climatological residual MOC is barely sensitive to the κ scheme, there are much larger 406 Eulerian and eddy-induced MOCs differences between experiments with constant κ 407 and experiments with spatially varying κ . The dependence of the eddy-induced MOC 408 and the Eulerian MOC on the κ scheme may significantly impact the response of the 409 410 residual MOC to the enhanced westerlies.



411

412 Figure 3. The top panels are (a) the residual MOC, (b) the Eulerian MOC, (c) the eddy-induced MOC, and (d) the eddy transfer coefficient (κ) for the K500 experiment 413 during 1948–2009. The second to the bottom rows are the same as the first row, but 414 for the K1000, FMH3D, FMH4D, and EG experiments, respectively. The gray lines 415 represent the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in 416 the isopycnal coordinate system. The black numbers in the first and second columns 417 are the maximum of the residual and Eulerian MOC. The white numbers in the third 418 column are the minimum of the eddy-induced MOC. (Unit: Sv) 419

Figure 4 shows the responses of the residual, Eulerian, and eddy-induced MOC between 421 422 1960–1969 and 1998–2007, in which there is an approximately 25.2% enhancement of westerlies in the Southern Ocean. From the first column of Figure 4, it can be seen that 423 there are obvious differences among the responses of the residual MOC in the five 424 experiments with different κ schemes. Furthermore, the changes in the residual MOC 425 in all five experiments (first column in Fig. 4) are smaller than that of the Eulerian MOC 426 (second column in Fig. 4), which is caused by the compensation of the enhanced 427 anticlockwise eddy-induced MOC (third column in Fig. 4). Thus, the eddy 428 compensation can be reflected by the GM parameterized eddy transport regardless of 429

the κ scheme. However, compared with the other four experiments, FMH4D has the 430 most extensive enhancement and area of the anticlockwise eddy-induced MOC (third 431 column in Fig. 4) among the five experiments. That largest eddy-induced MOC from 432 FMH4D can reduce its residual MOC. But it is not the smallest, since the Eulerian MOC 433 also plays an important role. The Eulerian MOC also shows sensitivity to κ despite the 434 same change in wind stress. That may be caused by the secondary effects of κ , such as 435 436 the isopycnal slope and the meridional density gradient. The choice of κ scheme shows the crucial role of κ in simulating the response of the MOC. 437



438

Figure 4. The first row is (a) the residual MOC, (b) the Eulerian MOC, (c) the eddyinduced MOC, and (d) the eddy transfer coefficient ($\Delta \kappa$) difference between 1960– 1969 and 1998–2007 for the K500 experiment. The gray lines represent the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the isopycnal coordinate system. The second to the bottom rows are for K1000, FMH3D, FMH4D, and EG experiments, respectively. (Unit: Sv)

445

To quantify the difference among the five experiments, we also use the defined indexesto measure the strength of the upper cell, which are the maximum value of the residual

and Eulerian MOC and the minimum value of the eddy-induced MOC in the whole area. 448 The changes in the residual, Eulerian, and eddy-induced MOC between 1960–1969 and 449 1998-2007 are listed in Table 2. The enhanced eddy compensation for LICOMH is -450 2.83 Sv. For the coarse-resolution experiments, the FMH4D and EG experiments have 451 a relatively larger eddy compensation of -2.33 Sv and -1.68 Sv, respectively, which are 452 closer to LICOMH. For the K500, K1000, and FMH3D experiments, the enhanced 453 eddy-induced MOC is smaller, which is -0.41 Sv, -0.36 Sv, and -1.19 Sv, respectively. 454 Thus, the spatiotemporal variance of κ is crucial to the eddy compensation regardless 455 of the κ scheme. 456

457

Besides, the comparison between K500 and K1000 suggests that a smaller value of κ leads to a stronger eddy compensation response. The contrast between FMH3D and FMH4D indicates that the spatially varying κ is not sufficient to simulate the full compensation effect. Despite the spatiotemporally varying κ in FMH4D and EG, there is still a nonignorable different eddy compensation response between them. That implies the purely buoyancy-dependent κ leads to a stronger eddy compensation response than the time- and length-scale dependent κ .

465

Although the eddy compensation response is simulated in all five experiments, the absolute values of the response are all smaller than that in LICOMH. FMH4D has the largest eddy compensation response of -2.33 Sv, which accounts for 82% of LICOMH, whereas K500 only makes up 14% of LICOMH. Therefore, the parameterized eddy with buoyancy-dependent κ can simulate the major eddy compensation response in the eddy-resolving model. There is still at least 18% eddy compensation that cannot be simulated by the coarse-resolution experiments.

473

Table 2. The differences in the strength for the residual, Eulerian, and eddy-induced
MOC between 1960–1969 and 1998–2007 for the high-resolution and five coarseresolution experiments.

Experiments Residual Eulerian Eddy	
------------------------------------	--

LICOMH	5.83	5.84	-2.84
K500	2.78	2.60	-0.41
K1000	3.19	3.57	-0.36
FMH3D	2.10	6.45	-1.19
FMH4D	1.88	6.54	-2.33
EG	5.35	4.78	-1.68

477 Note: The strength is the maximal positive value in the whole area for residual and
478 Eulerian MOCs. And the strength for Eddy-induced MOC is the minimum value in the
479 whole area. (Unit: Sv)

Based on the comparison above, we found that the coarse-resolution experiments are 481 capable of capturing up to 82% of the eddy compensation response from the reference 482 483 eddy-resolving experiment. The spatiotemporal variation of κ based on buoyancy is crucial in simulating the eddy compensation response. This was previously pointed out 484 by Abernathey et al. (2011) through an idealized channel model. Our results indicate 485 that the temporal variation of the buoyancy-dependent κ plays a more significant role 486 487 in simulating the eddy compensation response than its spatial variation in a global coarse-resolution ocean-sea ice model. Nevertheless, further analysis is required to 488 understand the effect of the spatiotemporal variation of the eddy transfer coefficient on 489 the eddy compensation and why the temporal variation based on buoyancy is more 490 491 important.

492

480

493 **4. Influence on the eddy compensation**

As shown above, we find that the eddy compensation from the FMH4D and EG experiments is closer to the high-resolution result, whereas the other experiments show weaker eddy compensation. In this section, we further analyze why the eddy transfer coefficients with spatiotemporal variations, especially the buoyancy dependent κ lead to stronger eddy-induced MOC enhancement.

499

500 4.1 The attribution of the eddy-induced MOC

501 The eddy-induced velocity in LICOML is parameterized following Gent and 502 McWilliams (1990).

$$u^* = (\kappa \frac{\rho_x}{\rho_z})_z = (\kappa Slope_x)_z \tag{11}$$

503

$$v^* = (\kappa \frac{\rho_y}{\rho_z})_z = (\kappa Slope_y)_z$$
(12)

where u^* and v^* are the zonal and meridional eddy-induced velocity, respectively; κ is the eddy transfer coefficient; and ρ_x , ρ_y , and ρ_z are the partial differential of density in the zonal, meridional, and vertical directions, respectively. Therefore, ρ_x/ρ_z and ρ_y/ρ_z represent the zonal and meridional isopycnal slope, represented as *Slope_x* and *Slope_y*.

510

511 If κ has vertical variation, the velocity can be decomposed into two terms. The 512 meridional bolus velocity can be presented as:

513
$$v^* = (\kappa Slope_y)_z = \kappa (Slope_y)_z + Slope_y\kappa_z \qquad (13)$$

where the two terms on the right-hand side represent the impact of κ spatial structure 514 (called SS hereafter) and the impact of the vertical variation of κ (called VV hereafter). 515 The VV term is the newly introduced term owing to the vertical variation of κ , which 516 will vanish in the constant scheme of κ . Those two artificial components are divergent, 517 as their streamfunctions are not closed at the bottom (Fig. 5c-e and Fig. 6a-c). The terms 518 for the five schemes used in this study are listed in Table 3. For the experiments with 519 constant κ (K500 and K1000), their eddy-induced velocities only contain the SS part. 520 The VV part vanishes due to the lack of vertical variation in κ . For experiments with 521 522 spatially varying k (FMH3D, FMH4D, and EG), their eddy-induced velocities contain both SS and VV. The responses of SS and VV for the five experiments are also listed in 523 Table 3, which is described in Section 4.2. 524

526 Table 3. The components of the SS and VV terms among the five experiments, and the527 components of their response.

SS	VV	ΔSS	ΔVV

K500	$\kappa(Slope_y)_z$	-	$(Slope_y)'_z\kappa$ -
K1000	$\kappa(Slope_y)_z$	-	$(Slope_y)'_z\kappa$ -
FMH3D	$\kappa(Slope_y)_z$	к _z Slope_y	$(Slope_y)'_z\kappa$ $(Slope_y)'\kappa_z$
			$(Slope_y)'_z\kappa$ $(Slope_y)'\kappa_z$
FMH4D	$\kappa(Slope_y)_z$	к _z Slope_y	+ $(Slope_y)_z \kappa'$ + $(Slope_y)\kappa'_z$
			+ $(Slope_y)'_z\kappa'$ + $(Slope_y)'\kappa'_z$
			$(Slope_y)'_z\kappa$ $(Slope_y)'\kappa_z$
EG	$\kappa(Slope_y)_z$	к _z Slope_y	+ $(Slope_y)_z \kappa'$ + $(Slope_y)\kappa'_z$
			+ $(Slope_y)'_z\kappa'$ + $(Slope_y)'\kappa'_z$

Figure 5 shows the eddy-induced MOC due to the SS term among the five experiments 529 during 1960-1969 and the changes between 1960-1969 and 1998-2007. The 530 calculation is the same as equation (9) but based on the SS-induced velocity. The SS-531 induced MOC from the experiments with a constant scheme (K500 and K1000) is the 532 whole eddy-induced MOC, which is a closed circulation (Fig. 5a and 5b). However, for 533 534 the experiments with spatially varying κ , the values of the SS-induced MOC and their 535 changes are all negative, which contributes to the eddy compensation. Based on the comparison among the five experiments in Figure 5, it is clear that the spatially varying 536 κ leads to a stronger SS term than in the constant schemes. In addition, the temporal 537 variation of κ leads to a stronger response of the eddy compensation compared with the 538 spatially varying κ . The MOC strength for the two constant scheme experiments is 539 around 10 Sv (Fig. 5a and 5b), whereas it is larger than 20 Sv for the FMH3D, FMH4D, 540 and EG experiments (Fig. 5c-5e). Compared with the FMH3D scheme, the FMH4D 541 and EG schemes have larger responses, which are larger than 4 Sv between 50°S and 542 543 55°S (Fig. 5i and 5j).



Figure 5. The left column is the SS-induced MOC during 1960–1969 for (a) K500,
(b) K1000, (c) FMH3D, (d) FMH4D, and (e) EG. The right column is the difference
in the SS-induced MOC between 1960–1969 and 1998–2007 for the five experiments.
The gray lines in the left column are the zonally averaged isobaths (200, 400, 1000,
1500, 2000, and 3000 m) in the isopycnal coordinate system. (Unit: Sv)

550

Furthermore, the SS-induced MOC for the five schemes also has a different spatial 551 structure. For the constant scheme, the centers of the MOC are located around 55°S and 552 the surface of 36.89 kg m⁻³ with a maximum magnitude of 10 Sv for K500 and 18 Sv 553 for K1000 (Fig. 5a and 5b), whereas the larger than 18 Sv center of the MOC can be 554 found around 40° – 60° S and 36.43–36.89 kg m⁻³ for the FMH3D and FMH4D (Fig. 5c 555 and 5d) and south of 40°S and 36.05–36.89 kg m⁻³ for the EG experiment. In general, 556 their responses occur between 50°S and 55°S (Fig. 5f and 5g), which are also the 557 latitudes of the large wind-stress changes. These differences in the structure of the SS-558 induced response of the MOC are reflected in the response of the eddy-induced MOC 559

560 (Fig. 4c, 4g, 4k, 4o, and 4s).

561

Figure 6 shows the VV-induced MOC during 1960–1969 for experiments with spatially 562 varying schemes and their responses to enhanced westerlies. If we compare the VV 563 term with the SS term (Fig. 5), we find that the VV term and its response are always 564 positive or a clockwise MOC, which compensates for the SS term and leads to a closed 565 circulation. As the VV-induced MOC is opposite to the SS-induced MOC and the eddy-566 induced MOC, it is the SS term that dominates the eddy-induced MOC. The changes in 567 the VV-induced MOC are all about 3 Sv, which is less than the changes in the SS-568 induced MOCS, which is about 4 Sv. Therefore, the total responses of the eddy-induced 569 MOC are all approximately 1 Sv or less. The contrast between the SS- and the VV-570 induced MOC indicates that the eddy compensations for the FMH4D and EG 571 experiments come from the enhanced SS-induced MOC, rather than the introduced VV-572 induced MOC derived from the vertical variation of κ . 573



Figure 6. The left column is the VV-induced MOC during 1960–1969 for (a)
FMH3D, (b) FMH4D, and (c) EG. The right column is the difference in the VVinduced MOC between 1960–1969 and 1998–2007 for the three experiments. The
gray lines in the left column are the zonally averaged isobaths (200, 400, 1000, 1500,

2000, and 3000 m) in the isopycnal coordinate system. (Unit: Sv)

580

581 **4.2** The attribution of the response

582 The decomposition of the eddy-induced MOC has revealed that the clockwise SSinduced MOC and anticlockwise VV-induced MOC contribute to eddy compensation. 583 The SS and VV components are two components of the eddy-induced velocity resulting 584 from a mathematical decomposition. They indicate the impact of the eddy transfer 585 coefficient itself (SS) and its vertical variation (VV), respectively. To explore the 586 attribution of the SS-induced and VV-induced MOC, Figure 7 illustrates the 587 components of the SS and VV-induced MOCs, including the SS-induced velocity (Vss), 588 the VV-induced velocity (V_{VV}) and the thickness of the density layers (dz). Although 589 the dz among the five experiments is similar, V_{SS} and V_{VV} exhibit significant 590 differences, indicating that the variation in the SS and VV-induced MOC among the 591 different experiments stems from the differences in V_{SS} and V_{VV} . Hence, it is valid to 592 assess the attribution of the response of the SS and VV-induced MOCs through the SS 593 594 and VV-induced velocities.



596Figure 7. The left panels from top to bottom are the zonally integrated SS-induced597velocities $(m s^{-1})$ during 1960–1969 for (a) K500, (b) K1000, (c) FMH3D, (d)598FMH4D, and (e) EG. The middle panels (f)-(j) are the zonally integrated thicknesses599of the density layers (dz) during 1960–1969 for the five experiments. The right panels600are the zonally integrated VV-induced velocities $(m s^{-1})$ during 1960-1969 for (k)601FMH3D, (l) FMH4D, and (m) EG.

595

Based on the decomposition of the eddy-induced velocity, the response of the SS term

604 can also be decomposed as follows:

605

$$\Delta V'_{SS} = V_{SS}(1998-2007) - V_{SS}(1960-1969)$$
606

$$= (\kappa + \kappa') [(Slope_y)_z + (Slope_y)_z] - \kappa (Slope_y)_z$$
607

$$= (Slope_y)'_z \kappa + (Slope_y)_z \kappa' + (Slope_y)'_z \kappa' \qquad (14)$$

where $\Delta V'_{SS}$ represents the difference between 1998–2007 averaged and 1960–1969 averaged SS-induced velocity. κ is the eddy transfer coefficient during 1960–1969 and κ' is the difference in κ between 1998–2007 and 1960–1969. $(Slope_y)_z$ is the vertical partial derivative of the meridional isopycnal slope during 1960–1969 and $(Slope_y)'_z$ is the difference of $(Slope_y)_z$ between 1998–2007 and 1960–1969. The components of the decomposition from all five experiments are listed in Table 3. Even though the spatial and temporal variation of κ show a vital role in the SS-induced MOC, it is not clear whether the better simulations of the response of the eddy-induced MOC for the FMH4D and EG experiments come from κ itself or the isopycnal slope, which are two significant components of the eddy-induced velocity.

618

Figure 8 shows the responses of the SS-induced velocity and its components from the 619 FMH4D and EG experiments. A comparison of the response of SS-induced velocity 620 $(\Delta V'_{SS})$ and its three components reveals that the value and pattern of $(Slope_y)'_z \kappa$ are 621 almost identical to $\Delta V'_{SS}$ in both experiments. The spatial correlations between 622 $(Slope_y)'_{z}\kappa$ and $\Delta V'_{SS}$ are 0.98 and 0.96, in FMH4D and EG, respectively. On average, 623 the ratio of $(Slope_y)'_z \kappa$ to $\Delta V'_{SS}$ averaged over the whole region can reach 0.83 and 624 1.31, respectively. Conversely, the other two components ($(Slope_y)_z \kappa'$ and 625 $(Slope_y)'_z \kappa')$ exhibit much smaller spatial correlations and ratios in both experiments. 626 As such, the dominant component of $\Delta V'_{SS}$ is $(Slope_y)'_z \kappa$, which represents the 627 response of the isopycnal slope and κ during the first decade. This highlights that the 628 response of the isopycnal slope (the indirect impact of κ) plays the primary role in the 629 response of the SS-induced MOC in experiments with spatiotemporally varying κ . This 630 is because the GM parameterization is based on baroclinic instability and the change of 631 isopycnal slopes can indicate the transfer of available potential energy (APE) into the 632 eddy kinetic energy (EKE). Hence, for the parameterization of the eddy compensation 633 response, the temporal variation of the isopycnal slope (indirect impact of κ) is more 634 important than the direct response of κ . 635



Figure 8. The left column is (a) the difference of the SS term-induced velocity ($\Delta V'_{SS}$) between 1960–1969 and 1998–2007 for the FMH4D experiment and its three components (b) ($Slope_y$)'_z κ , (c) ($Slope_y$)_z κ' and (d) ($Slope_y$)'_z κ' . The right column is the same as the left column, but for the EG experiment. (Unit: 10^{-2} cm s⁻¹) 641

Although SS term-induced velocity contributes to the eddy compensation, the VV terminduced velocity is nonignorable for the final state of the eddy-induced MOC. The
response of the VV term-induced velocity can be decomposed as follows:

645
$$\Delta V'_{\rm vv} = V_{VV}(1998-2007) - V_{\rm SS}(1960-1969)$$

646
$$= (Slope_y + Slope_y')(\kappa_z + \kappa_z') - (Slope_y)\kappa_z$$

647
$$= (Slope_y)'\kappa_z + (Slope_y)\kappa_z' + (Slope_y)'\kappa_z'$$
(15)

648 where $\Delta V'_{vv}$ is the difference between 1998-2007 averaged (V_{vv} (1998-2007)) and 1960-649 1969 averaged VV-induced velocity (V_{vv} (1960-1969)). Slope_y is the meridional 650 isopycnal slope during 1960–1969 and (Slope_y)' is the difference of Slope_y between 1998–2007 and 1960–1969. κ_z represent the vertical variation of the eddy transfer coefficient during 1960-1969 and κ_z' is the difference of κ_z between 1998-2007 and 1960-1969. Thus, the response of the VV term-induced velocity consists of three terms, which are also listed in Table 3.





Figure 9. The left column is (a) the difference of the VV term-induced velocity ($\Delta V'_{VV}$) between 1960–1969 and 1998–2007 for the FMH4D experiment and its three components (b) (*Slope_y*)' κ_z , (c) (*Slope_y*) κ'_z and (d) (*Slope_y*)' κ'_z . The right column is the same as the left column, but for the EG experiment. (Unit: 10^{-2} cm s⁻¹) Figure 9 displays the response of the VV term-induced velocity and its three

Figure 9 displays the response of the VV term-induced velocity and its three components from FMH4D and EG. Different from the response of the SS-induced velocity, the response of the VV-induced velocity is determined by all three components. The spatial correlations between $(Slope_y)'\kappa_z$ and $\Delta V'_{vv}$ from FMH4D and EG are 0.51

and 0.27 respectively. The spatial correlations between $(Slope_y)\kappa'_z$ and $\Delta V'_{VV}$ are also 665 small, and so are the correlations between $(Slope_y)'\kappa'_z$ and $\Delta V'_{VV}$ (Table 4). For the 666 ratios of the three components to $\Delta V'_{VV}$, all three components show nonnegligible ratios 667 (Table 4). Based on the spatial correlations and ratios, all three components play an 668 important role in the response of the VV-induced velocity, which is different from the 669 SS-induced velocity. Thus, for the response of the VV part, which reduces the eddy 670 compensation, there is no dominant factor, as the responses of both slope and κ make a 671 large contribution to the response of VV-induced velocity. 672

673

Table 4 The spatial correlation coefficients between the change of SS-induced velocity ($\Delta V'_{SS}$) and its three components ($(Slope_y)'_z\kappa$, $(Slope_y)_z\kappa'$, $(Slope_y)'_z\kappa'$) for FMH4D and EG. The spatial correlation coefficients between the change of VVinduced velocity ($\Delta V'_{VV}$) and its three components ($(Slope_y)'\kappa_z$, $(Slope_y)\kappa'_z$, ($Slope_y)'\kappa'_z$) for FMH4D and EG. The mean ratio in the whole region of the zonal averaged three components of $\Delta V'_{SS}$ ($\Delta V'_{VV}$) to $\Delta V'_{SS}$ ($\Delta V'_{VV}$) for FMH4D and EG.

Case	Components		Spatial Correlation		Ratio	
	SS	VV	SS	VV	SS	VV
	$(Slope_y)_{z}^{\prime}\kappa$	(Slope_ý)к	0.98	0.51	0.83	1.01×10^{17}
FMH4D	(Slope_y) _z κ	$(Slope_y)\kappa_z$	0.54	0.28	0.07	6.10×10^{16}
	$(Slope_y)_z^{\prime}\kappa$	(Slope_ý)к	-0.20	-0.19	0.03	-7.14×10^{16}
	$(Slope_y)_{z}^{\prime}\kappa$	(Slope_ý)к	0.96	0.27	1.31	-97.55
EG	$(Slope_y)_z \kappa'$	$(Slope_y)\kappa_z$	0.31	0.41	-0.49	672.97
	(Slope_y), ĸ	(Slope_ý)к	-0.13	-0.09	0.33	-101.66

680

In summary, the response of the SS-induced MOC from the FMH4D and EG experiments, leading to stronger eddy compensation, can be traced back to the response of the isopycnal slope, despite the importance of κ . For experiments with nontemporally varying κ , the eddy compensation response is also traced back to the response of the isopycnal slope since κ is not varying with time.

686

687 4.3 The attribution of the isopycnal slope

As analyzed in section 3.4.2, the response of the isopycnal slope is the dominant factor leading to the SS-induced response, strengthening the eddy compensation in the FMH4D and EG experiments. This implies that the change of the isopycnal slope with time is more important than the change of κ for the response of the eddy compensation, even though the temporal variation of κ is essential for the parameterization of the eddy compensation response.

694

702

Figure 10 shows the meridional isopycnal slope, the vertical variation of the meridional isopycnal slope during 1960–1969, and their responses from 1960–1969 to 1998–2007 among the five experiments. For the impact of the value of κ , a larger value leads to a smaller meridional isopycnal slope (comparing K1000 with K500, Fig. 10a and Fig. 10b). Furthermore, the value of κ has the same impact on the isopycnal slope (Fig. 10a and 10b), the vertical variation of the isopycnal slope (Fig. 10k and 10l), and their response (Fig. 10f and 10g; Fig. 10p and 10q).



Figure 10. The zonal-averaged meridional isopycnal slope for (a) K500, (b) K1000,
(c) FMH3D, (d) FMH4D, and (e) EG during 1960–1969. The difference in the zonalaveraged meridional isopycnal slopes between 1960–1969 and 1998–2007 for (f)
K500, (g) K1000, (h) FMH3D, (i) FMH4D, and (j) EG. The zonal-averaged vertical
variation of the meridional isopycnal slope for (k) K500, (l) K1000, (m) FMH3D, (n)
FMH4D, and (o) EG. The difference in the zonal-averaged vertical variation of the

- meridional isopycnal slope between 1960–1969 and 1998–2007 for (p) K500, (q) K1000, (r) FMH3D, (s) FMH4D, and (t) EG.
- 711

In experiments with constant κ , the response of the eddy-induced MOC has only one component, which contains κ and the change of the vertical variation of the isopycnal slope (Table. 3). With a smaller response of the slope's vertical variation (Fig. 10p and 10q), K1000 still has stronger eddy compensation than K500 (Table 2), which is caused by its larger value of κ . Thus, the different simulated eddy compensation response among cases with constant schemes is caused by the value of κ itself, rather than its secondary effect.

719

Comparing FMH4D with K500 (Fig. 10 first and fourth rows), the spatiotemporally 720 varying κ leads to smaller changes of the isopycnal slope (Fig. 10i) and its vertical 721 variation (Fig. 10s). That smaller change of the isopycnal slope means a more flattened 722 slope in FMH4D than that in K500, since the response of the isopycnal slope is negative, 723 which is caused by the enhanced westerlies. For the vertical variation of the isopycnal 724 725 slope, which is the major factor for the response of SS-induced MOC, FMH4D shows 726 a smaller value than K500. That means the stronger SS-induced eddy compensation response in FMH4D than K500 is due to the larger κ in FMH4D. 727

728

Comparing FMH4D with FMH3D (Fig. 10 third and fourth rows), the additional temporal variation of κ leads to a stronger enhancement of the isopycnal slope and its vertical variation. That stronger response of the slope's vertical variation contributes to the stronger eddy compensation in FMH4D (Table 2). Thus, the additional temporal variation of buoyancy-dependent κ strengthens the eddy compensation response through κ 's secondary impact on the isopycnal slope's response.

735

The EG experiment shows a larger response of the isopycnal slope's vertical variation
than FMH4D, even though the eddy compensation from EG is weaker than that from
FMH4D (Table 2). The reason is that the larger response of the isopycnal slope's

vertical variation not only causes the stronger response of the SS-induced velocity in
EG but also leads to a stronger VV-induced velocity, which counteracts the effect of the
SS term.

742

Thus, although the isopycnal slope's response is the primary factor in the 743 parameterization of the eddy compensation response in all experiments, the different 744 eddy compensation responses between constant κ and spatiotemporally varying κ 745 746 come from κ directly. Only the additional temporally varying κ leads to a stronger eddy 747 compensation response through the response of the isopycnal slope directly. To sum up, κ affects the eddy-induced velocity based on GM parameterization first. Then, the eddy-748 induced transports of heat and salinity change the distributions of the temperature and 749 750 salinity globally, which affects the isopycnal slope. Besides, the affected surface temperature and salinity change the heat and freshwater flux at the surface, which 751 affects the temperature and salinity, even the circulation. All those impacts can affect κ 752 and the eddy-induced velocity in return, forming a positive cycle. 753

- 754
- 755

5. Summary and Discussion

In this study, we quantify the influence of five eddy transfer coefficients on the response of the Southern Ocean MOC to intensified westerlies in a non-eddy-resolving ocean model driven by CORE-II forcing. The results indicate that using a buoyancy frequency-based coefficient that varies both spatially and temporally leads to the closest simulation of the Southern Ocean MOC response to that of the reference eddy-resolving simulation. However, this parameterization can only replicate 82% of the eddy compensation response in the reference eddy-resolving model.

763

The study finds that the spatial and temporal variability in buoyancy-dependent κ leads to a six times stronger eddy compensation response than constant κ (5.7 times more than K500 and 6.5 times more than K1000). Despite the importance of the spatial and temporal variations of κ for simulating the eddy compensation response, the temporal variance of κ is more important than its spatial variance, as the eddy compensation response from FMH4D (-2.33 Sv) is two times stronger than that from FMH3D (-1.19
Sv). In addition, the contrast between FMH4D (-2.33 Sv) and EG (-1.68 Sv) highlights
the importance of the buoyancy feature, represented by the baroclinic instability, as
FMH4D is buoyancy-dependent and EG is controlled by several factors.

773

A full decomposition of the eddy-induced MOC and its respones in experiments with 774 spatiotemporally varying κ indicates that the new term derived from the vertical 775 776 variation of κ does not strengthen the eddy compensation but counteract it. And those enhanced eddy compensation responses are primarily attributed to the response of the 777 isopycnal slope, which is also the major part of the eddy compensation response in 778 experiments with constant κ . Hence, the introduction of the spatiotemporal variation of 779 κ does not change the major contribution to the eddy compensation response. The 780 impact of κ on the eddy-induced velocity based on the GM parameterization and its 781 subsequent effects on the temperature and salinity distributions, surface heat and 782 freshwater flux, and circulation all form a positive feedback cycle. 783

784

The results of this study indicate that stratification- and scale-based schemes that allow 785 for spatial and temporal variability in κ improve the simulation of the Southern Ocean 786 MOC's response to climate change. However, it is important to note that the strength of 787 the residual MOC and the Eulerian MOC still show substantial differences between the 788 LICOMH and the five coarse-resolution experiments, which may be due to differences 789 in buoyancy flux and sea ice coupling. As such, it is necessary to evaluate the eddy 790 compensation in various eddy-resolving models to gain a more realistic reference for 791 792 coarse-resolution climate ocean models.

793

794 Acknowledgments

Drs. Li, Liu, Lin, and Yu are supported by National Key R&D Program for Developing
Basic Sciences (2018YFA0605703, 2016YFC1401401, 2016YFC1401601) and the
National Natural Science Foundation of China (Grants 41931183). Dr. Chassignet is
supported by the CAS President's International Fellowship Initiative (PIFI) and NOAA

- 799 Climate Program Office MAPP Program (Award NA15OAR4310088). The data used
- 800 in this paper can be downloaded from <u>https://osf.io/nr4yf/.</u>
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- 943

944 **Figure Captions**

Figure 1. (a) The black line is the 12-month running mean zonal wind stress averaged
in the Southern Ocean (40°S–60°S and 0–360°E) from LICOML. The thick black line
is the linear trend of the monthly series. (b) The differences of the zonal wind stress
from LICOML between periods of 1998–2007 and 1960–1969, and the zonally
averaged values. The red solid and blue dashed lines are for 1960–1969 and 1998–2007,
respectively.

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Figure 2. The first column is the MOC of residual currents for the periods of (a) 1949– 952 2007, (d) 1960–1969, (g) 1998–2007, and (j) the difference between 1998–2007 and 953 1960–1969 for LICOMH in the isopycnal coordinate. The black curves represent the 954 zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the isopycnal 955 coordinate. The second and third columns are the same as the first column, but for the 956 Eulerian MOC and the eddy-induced MOC, respectively. The black numbers in the first 957 and second columns are the maximum of the closed residual and Eulerian MOC. The 958 959 white numbers in the third column are the minimum of the eddy-induced MOC. (Unit: 960 Sv)

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Figure 3. The top panels are (a) the residual MOC, (b) the Eulerian MOC, (c) the eddy-962 induced MOC, and (d) the eddy transfer coefficient (κ) for the K500 experiment during 963 1948–2009. The second to the bottom rows are the same as the first row, but for the 964 K1000, FMH3D, FMH4D, and EG experiments, respectively. The gray lines represent 965 the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the 966 isopycnal coordinate system. The black numbers in the first and second columns are 967 the maximum of the residual and Eulerian MOC. The white numbers in the third column 968 are the minimum of the eddy-induced MOC. (Unit: Sv) 969

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Figure 4. The first row is (a) the residual MOC, (b) the Eulerian MOC, (c) the eddyinduced MOC, and (d) the eddy transfer coefficient ($\Delta \kappa$) difference between 1960–1969 and 1998–2007 for the K500 experiment. The gray lines represent the zonally averaged
isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the isopycnal coordinate system.
The second to the bottom rows are for K1000, FMH3D, FMH4D, and EG experiments,
respectively. (Unit: Sv)

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Figure 5. The left column is the SS-induced MOC during 1960–1969 for (a) K500, (b)
K1000, (c) FMH3D, (d) FMH4D, and (e) EG. The right column is the difference in the
SS-induced MOC between 1960–1969 and 1998–2007 for the five experiments. The
gray lines in the left column are the zonally averaged isobaths (200, 400, 1000, 1500,
2000, and 3000 m) in the isopycnal coordinate system. (Unit: Sv)

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Figure 6. The left column is the VV-induced MOC during 1960–1969 for (a) FMH3D,
(b) FMH4D, and (c) EG. The right column is the difference in the VV-induced MOC
between 1960–1969 and 1998–2007 for the three experiments. The gray lines in the left
column are the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in
the isopycnal coordinate system. (Unit: Sv)

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Figure 7. The left panels from top to bottom are the zonally integrated SS-induced velocities (m s⁻¹) during 1960–1969 for (a) K500, (b) K1000, (c) FMH3D, (d) FMH4D, and (e) EG. The middle panels (f)-(j) are the zonally integrated thicknesses of the density layers (*dz*) during 1960–1969 for the five experiments. The right panels are the zonally integrated VV-induced velocities (m s⁻¹) during 1960-1969 for (k) FMH3D, (l) FMH4D, and (m) EG.

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Figure 8. The left column is (a) the difference of the SS term-induced velocity ($\Delta V'_{SS}$) between 1960–1969 and 1998–2007 for the FMH4D experiment and its three components (b) (*Slope_y*)'_{z} κ , (c) (*Slope_y*)_{z}{\kappa'} and (d) (*Slope_y*)'_{z}{\kappa'}. The right column is the same as the left column, but for the EG experiment. (Unit: 10^{-2} cm s⁻¹)

1002 Figure 9. The left column is (a) the difference of the VV term-induced velocity $(\Delta V'_{VV})$

between 1960–1969 and 1998–2007 for the FMH4D experiment and its three components (b) $(Slope_y)'\kappa_z$, (c) $(Slope_y)\kappa'_z$ and (d) $(Slope_y)'\kappa'_z$. The right column is the same as the left column, but for the EG experiment. (Unit: 10^{-2} cm s⁻¹)

1007 Figure 10. The zonal-averaged meridional isopycnal slope for (a) K500, (b) K1000, (c) FMH3D, (d) FMH4D, and (e) EG during 1960-1969. The difference in the zonal-1008 1009 averaged meridional isopycnal slopes between 1960–1969 and 1998–2007 for (f) K500, 1010 (g) K1000, (h) FMH3D, (i) FMH4D, and (j) EG. The zonal-averaged vertical variation of the meridional isopycnal slope for (k) K500, (l) K1000, (m) FMH3D, (n) FMH4D, 1011 and (o) EG. The difference in the zonal-averaged vertical variation of the meridional 1012 isopycnal slope between 1960-1969 and 1998-2007 for (p) K500, (q) K1000, (r) 1013 1014 FMH3D, (s) FMH4D, and (t) EG.

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Figure S1. (a) The black line is the 12-month running mean zonal wind stress averaged in the Southern Ocean (40°S–60°S and 0–360°E) from LICOML (FMH4D is chosen here). The thick black line is the linear trend of the monthly series. The red line and the thick red line are the same as the black line and the thick black line but for LICOMH.



1021-0.09-0.06-0.0300.030.060.09Pa1022Figure 1. (a) The black line is the 12-month running mean zonal wind stress averaged1023in the Southern Ocean (40°S–60°S and 0–360°E) from LICOML. The thick black line1024is the linear trend of the monthly series. (b) The differences of the zonal wind stress1025from LICOML between periods of 1998–2007 and 1960–1969, and the zonally1026averaged values. The red solid and blue dashed lines are for 1960–1969 and 1998–10272007, respectively.



Figure 2. The first column is the MOC of residual currents for the periods of (a) 1030 1949–2007, (d) 1960–1969, (g) 1998–2007, and (j) the difference between 1998–2007 1031 and 1960-1969 for LICOMH in the isopycnal coordinate. The black curves represent 1032 the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the 1033 1034 isopycnal coordinate. The second and third columns are the same as the first column, but for the Eulerian MOC and the eddy-induced MOC, respectively. The black 1035 1036 numbers in the first and second columns are the maximum of the closed residual and 1037 Eulerian MOC. The white numbers in the third column are the minimum of the eddyinduced MOC. (Unit: Sv) 1038



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Figure 3. The top panels are (a) the residual MOC, (b) the Eulerian MOC, (c) the 1041 eddy-induced MOC, and (d) the eddy transfer coefficient (κ) for the K500 experiment 1042 during 1948–2009. The second to the bottom rows are the same as the first row, but 1043 for the K1000, FMH3D, FMH4D, and EG experiments, respectively. The gray lines 1044 represent the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in 1045 1046 the isopycnal coordinate system. The black numbers in the first and second columns are the maximum of the residual and Eulerian MOC. The white numbers in the third 1047 1048 column are the minimum of the eddy-induced MOC. (Unit: Sv)



Figure 4. The first row is (a) the residual MOC, (b) the Eulerian MOC, (c) the eddyinduced MOC, and (d) the eddy transfer coefficient ($\Delta\kappa$) difference between 1960– 1969 and 1998–2007 for the K500 experiment. The gray lines represent the zonally averaged isobaths (200, 400, 1000, 1500, 2000, and 3000 m) in the isopycnal coordinate system. The second to the bottom rows are for K1000, FMH3D, FMH4D, and EG experiments, respectively. (Unit: Sv)



Figure 5. The left column is the SS-induced MOC during 1960–1969 for (a) K500,
(b) K1000, (c) FMH3D, (d) FMH4D, and (e) EG. The right column is the difference
in the SS-induced MOC between 1960–1969 and 1998–2007 for the five experiments.
The gray lines in the left column are the zonally averaged isobaths (200, 400, 1000,
1500, 2000, and 3000 m) in the isopycnal coordinate system. (Unit: Sv)



1066Figure 6. The left column is the VV-induced MOC during 1960–1969 for (a)1067FMH3D, (b) FMH4D, and (c) EG. The right column is the difference in the VV-1068induced MOC between 1960–1969 and 1998–2007 for the three experiments. The1069gray lines in the left column are the zonally averaged isobaths (200, 400, 1000, 1500,10702000, and 3000 m) in the isopycnal coordinate system. (Unit: Sv)



Figure 7. The left panels from top to bottom are the zonally integrated SS-induced velocities (m s⁻¹) during 1960–1969 for (a) K500, (b) K1000, (c) FMH3D, (d)
FMH4D, and (e) EG. The middle panels (f)-(j) are the zonally integrated thicknesses of the density layers (*dz*) during 1960–1969 for the five experiments. The right panels are the zonally integrated VV-induced velocities (m s⁻¹) during 1960-1969 for (k)
FMH3D, (l) FMH4D, and (m) EG.



1080 1080 **Figure 8.** The left column is (a) the difference of the SS term-induced velocity ($\Delta V'_{SS}$) 1082 1082 1083 1084 1084 1084 1085 1086 1087 1086 10² cms⁻¹ 1



Figure 9. The left column is (a) the difference of the VV term-induced velocity ($\Delta V'_{VV}$) between 1960–1969 and 1998–2007 for the FMH4D experiment and its three components (b) (*Slope_y*) κ_z , (c) (*Slope_y*) κ'_z and (d) (*Slope_y*) κ'_z . The right column is the same as the left column, but for the EG experiment. (Unit: 10^{-2} cm s⁻¹)



1093 Figure 10. The zonal-averaged meridional isopycnal slope for (a) K500, (b) K1000, (c) FMH3D, (d) FMH4D, and (e) EG during 1960-1969. The difference in the zonal-1094 averaged meridional isopycnal slopes between 1960-1969 and 1998-2007 for (f) 1095 K500, (g) K1000, (h) FMH3D, (i) FMH4D, and (j) EG. The zonal-averaged vertical 1096 variation of the meridional isopycnal slope for (k) K500, (l) K1000, (m) FMH3D, (n) 1097 FMH4D, and (o) EG. The difference in the zonal-averaged vertical variation of the 1098 1099 meridional isopycnal slope between 1960–1969 and 1998–2007 for (p) K500, (q) K1000, (r) FMH3D, (s) FMH4D, and (t) EG. 1100 1101

